BMJ Open Protocol for a systematic review of the financial burden experienced by people affected by head and neck cancer

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ABSTRACT

Introduction Head and neck cancer (HNC) is the seventh most common cancer worldwide. Treatment may be associated with the inability to work and substantial out-of-pocket expenses. However, to date, there is little research synthesising quantitative evidence on the financial burden experienced by people affected by HNCs, including family members and informal carers. The purpose of this systematic review is to estimate out-ofpocket costs, reduced or lost income and informal care costs associated with HNC, identify categories of financial burden and investigate which costs predominate when considering financial burden in this population.

Methods and analysis A comprehensive search of peerreviewed literature will be conducted for articles published from 01/01/2010 to 19/03/2021 (CINAHL, Cochrane library, EconLit, Embase, Medline Complete). Published, Englishlanguage articles describing primary and secondary research directly related to the topic and quantitative cost data will be included. One researcher will complete the searches and screen results for potentially eligible studies. Three other researchers will independently screen the titles and abstracts of a subset of 30% citations, that is, 10% each. Full text articles will be independently screened by three reviewers. Any disagreement will be resolved by consensus among the team. Study and patient characteristics, cost categories and financial burden will be independently extracted by one reviewer and checked by a second. Methodological quality will be evaluated independently by two reviewers. Descriptive analyses will be undertaken and a narrative summary of the included studies will be provided.

Ethics and dissemination Ethics approval is not required to conduct this research because this is a planned systematic review of published literature. Findings will be presented at leading cancer and health economic conferences, published in a peer-reviewed journal and disseminated via website postings and social media channels.

Systematic review registration number CRD42021252929.

INTRODUCTION

Head and neck cancer (HNC) is ranked as one of the top 10 cancers globally with over 850 000 new cases diagnosed each year. 12 Incidence is anticipated to continue rising with more than one million new cases

Strengths and limitations of this study

- The planned systematic review will provide insights into the financial burden faced by patients and families to inform the development of appropriate strategies for improving financial well-being in people affected by head and neck cancer.
- The systematic review protocol is reported according to the recommendations of the Preferred Reporting Items for Systematic Review and Meta-Analysis Protocols (PRISMA-P) statement and is registered with the International Prospective Register of Systematic Reviews (PROSPERO).
- The total number of studies investigating the financial burden experienced by people affected by head and neck cancer may be small; study methods may be heterogeneous; and cost estimates may be derived from diverse health financing systems, limiting study findings.

each year predicted by 2030.² Generally, HNC begins in the squamous cells of the mouth, throat and nose and includes cancers of the oral cavity, nasal cavity and paranasal sinuses, salivary glands, pharynx and larynx,³ and thus far, there is no effective screening strategy for HNC. Meticulous physical examination is the key approach for early detection. Treatment may involve chemotherapy, radiotherapy, surgery or typically, a combination of these approaches, particularly in later stages.3 Many patients experience substantial functional impairment due to the location of the tumour, such as loss of speech, difficulties with swallowing and speech and challenges with eating⁵ and symptoms associated with HNC, and its treatment include pain, breathlessness, dry mouth, fatigue and depression.⁶⁷

People affected by cancer can experience substantial out-of-pocket expenses associated with treatment costs, travel expenses and reduced household income from a diminished ability to continue paid employment.⁸⁹ Informal carers, that is, people who provide ongoing, regular assistance to a person with chronic, progressive or life-limiting



illness, typically without receiving payment for the assistance they provide, 10-12 also report significant financial burden particularly related to reduced income and the costs of care time. 13 14 Financial burden has been associated with greater mortality risk, poorer quality of life, worse symptoms and reduced concordance with treatment advice. 15-18 Consequently, promoting financial well-being is a vital component of supportive care for people affected by cancer. Increasingly, clinical practice guidelines recommend discussion of the possible costs of cancer care and potential financial burden to patients and families, particularly in vulnerable groups such as low socioeconomic status populations. 19 As socioeconomically deprived groups are disproportionally affected by HNC, 20-22 managing financial burden is particular germane for people with this type of cancer.

While attempts have been made to summarise and quantify the financial burden experienced by people affected by a broad range of cancer types, ⁸ ^{23–27} thus far, such information for people impacted by HNC is lacking. This information will help inform the development of appropriate policies, programmes and strategies for improving financial well-being in this population. Consequently, the aim of this systematic review is to address this gap in knowledge by synthesising evidence on the constituents and magnitude of financial burden faced by patients and families affected by HNC.

Review questions

This systematic review addresses three related research questions:

- 1. What is the financial burden faced by people affected by HNC?
- 2. What are the main categories of out-of-pocket expenses faced by people affected by HNC?
- 3. Which types of cost cause the greatest financial burden for people affected by HNC?

METHODS

This protocol is reported according to the recommendations of the Preferred Reporting Items for Systematic Review and Meta-Analysis Protocols (PRISMA-P) statement.²⁸

Eligibility criteria

Published, peer-reviewed, English-language articles reporting primary and secondary research directly related to the topic and quantitative cost data will be eligible for inclusion in the systematic review.

Inclusion criteria

- ► Studies including people affected by HNC, that is, patients, families and informal carers;
- ► Studies reporting and quantifying costs borne by patients and families; and
- ▶ Primary and secondary research directly related to the topic, that is, there will be no restrictions regarding research design.

Exclusion criteria

- Studies reporting qualitative data only;
- Studies which do not report cost estimates;
- Economic evaluations; and
- ▶ Non-English articles and those not peer reviewed, including comment or discussion papers, editorials, conference abstracts or papers and the grey literature.

Search strategy

A comprehensive search of the literature will be conducted from 1 January 2010 to the date of the search (CINAHL, Cochrane library, EconLit, Embase, MEDLINE Complete) to identify relevant published studies fitting the inclusion criteria. Studies published before 2010 were excluded due to more recent advances in HNC management which will affect the relative level of financial burden experienced by people with HNC.^{29–31} Keywords and subject headings, derived from previously conducted systematic reviews of financial burden or toxicity in people affected by cancer 9 23 26 and the advice of the Deakin University School of Health and Social Development liaison librarian, include the following: patient, family, carer, caregivers, cancer survivors, HNC, head and neck neoplasms, financial burden, financial toxicity, financial stress, economic hardship, costs and cost analysis and economics. The search strategies are described in the online supplemental file.

Backwards and forwards citation tracing of included articles will be conducted for additional literature unidentified by the search. Searches will be restricted to the English language only. Search results will be downloaded into Thomson Reuters EndNote V. X9.2 (2019) libraries and Rayyan software³² will be used to manage records throughout the review.

Selection process

Step 1: The titles and abstracts of the studies identified by the search will be screened independently by one researcher (SK) to determine eligibility for inclusion in the review. Full text articles will be retrieved when there is insufficient information to judge relevance. Corresponding authors will be contacted to ascertain whether studies meet inclusion criteria if necessary. Three researchers (NM, LE, SA) will independently screen a subset of 30% citations, that is, 10% each. Agreement between the reviewers will be assessed using the Kappa statistic to determine if further duplicate title and abstract reviewing is required (Kappa <0.4, ie, fair agreement). The required, an additional 25% of citations will be screened (NM, LE, SA).

Step 2: The full text articles of potentially eligible studies will be retrieved and independently assessed by three reviewers (NM, LE, SK). Backwards and forwards citation tracing of included articles will be conducted for additional literature unidentified by the search.

Any disagreement will be resolved by consensus among the team.

Risk of bias assessment

The methodological quality of the included studies will be evaluated independently by two reviewers (NM, LE)



using Larg and Moss's guide to critical evaluation of costof-illness studies³⁴ and the *Strengthening the Reporting of Observational Studies in Epidemiology* guidelines for observational studies³⁵ critical appraisal checklists. Any disagreement will be resolved by consensus among the team.

Data collection

A table will be created in Microsoft Office Excel 2013 to extract data from the included studies and summarise information about the constituents and magnitude of the financial burden for people affected by HNC. This software will be used to handle data throughout the review. The form will be piloted with two of the included articles and updated accordingly. Extracted information will include study characteristics (first author's name, publication date, country of origin, design, intervention), study population (age, gender, primary tumour site, sample size, subgroup), timeframe, costs categorised in line with the recommendations of the second panel on costeffectiveness in health and medicine (formal healthcare sector, informal healthcare sector and non-health care sectors), ³⁶ and lost patient income and lost informal carer income. The views of a third reviewer (SA) will be sought where there is ambiguity or disagreement.

Data synthesis

A narrative synthesis of the included studies will be provided in accordance with guidance from the Cochrane Collaboration.³⁷ A meta-analysis will not be conducted given the anticipated heterogeneity between studies.³⁸ Three tables summarising the key features of the included studies, cost components and quality assessment will be presented. Similarities and differences between the studies and results will be reported and cost estimates will be converted into 2020 Australian dollars using the CCEMG-EPPI-Centre Cost Converter.³⁹ If feasible, average costs per category will be calculated and all costs will be converted to annual figures to enable comparability. All relevant studies will be included in the review and an assessment of how risk of bias may have affected the main results and outcome measures will be presented. Finally, the strength of the body of evidence will be independently assessed by two reviewers (NM, LE) using the GRADE guidelines. 40 The views of a third reviewer (SA) will be sought where there is ambiguity or disagreement.

ETHICS AND DISSEMINATION

Ethics approval to conduct this research is not required because this study is a planned systematic review of published literature. The protocol is registered with PROSPERO (CRD42021252929). Findings will be presented at leading cancer and health economic conferences, published in a peer-reviewed journal and disseminated via website postings such as the Deakin University Institute for Health Transformation LinkedIn website and social media channels such as Twitter (eg, @DHE_Deakin, @IHT_Deakin) and Facebook.

Acknowledgements Many thanks to the Deakin University School of Health and Social Development liaison librarian, Rachel West, for her advice on the search strategy, and Snehal Kulkarni (SK) and Siti Mohamad Asfia (SA) for agreeing to screen the titles and abstracts.

Contributors NM led the conception and design of the work with input from LE. NM drafted the work and LE revised the protocol and manuscript critically for comment. Both authors approved the final version to be published and are accountable for all aspects of the work. NM is the guarantor for the overall content.

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Protocol for a systematic review of the financial burden experienced by people affected

by head and neck cancer

Database Search Strategies

- 1. CINAHL (VIA EBSCO)
- 2. COCHRANE LIBRARY (VIA WILEY)
- 3. ECONLIT (VIA EBSCO)
- 4. EMBASE (VIA ELSEVIER)
- 5. MEDLINE COMPLETE (VIA EBSCO)

CINAHL via EBSCO

Concept 1

- S1 TI patient* OR AB patient*
- S2 TI family OR AB family
- S3 TI families OR families
- S4 TI carer* OR AB carer*
- S5 TI caregiver* OR AB caregiver*
- S6 TI survivor* OR AB survivor*
- S7 (MH "patients") OR (MH "family") OR (MH "cancer survivors") OR (MH "caregivers")

Concept 2

- TI ((head OR neck OR mouth OR pharynx OR "oral cavity" OR "oral cavities" OR "accessory sinuses" OR "accessory sinus" OR "nasal cavity" OR "nasal cavities" OR "middle ear" OR larynx) N4 (cancer* OR tumo#r OR neoplasm*)) OR AB ((head OR neck OR mouth OR pharynx OR "oral cavity" OR "oral cavities" OR "accessory sinuses" OR "accessory sinus" OR "nasal cavity" OR "nasal cavities" OR "middle ear" OR larynx) N4 (cancer* OR tumo#r OR neoplasm*))
- S9 (MH "head and neck neoplasms") OR (MH "head") OR (MH "neck") OR (MH "mouth") OR (MH "pharyx") OR (MH "nose") OR (MH "nasal cavity") OR (MH "ear") OR (MH "larynx")

Concept 3

- S10 TI "financial toxicity" OR AB "financial toxicity"
- S11 TI "financial toxicities" OR AB "financial toxicities"
- S12 TI "financial worry" OR AB "financial worry"
- S13 TI "financial worries" OR AB "financial worries"

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S14	TI "financial burden" OR AB "financial burden"	
S15	TI "financial burdens" OR AB "financial burdens"	
S16	TI "financial hardship*" OR AB "financial hardship*"	
S17	TI "financial distress*" OR AB "financial distress*"	
S18	TI "material hardship*" OR AB "material hardship*"	
S19	TI "out of pocket" OR AB "out of pocket"	
S20	TI bankrupt* OR AB bankrupt*	
S21	TI "economic burden*" OR AB "economic burden*"	
S22	TI "economic hardship*" OR AB "economic hardship*"	
S23	TI debt* OR AB debt*	
S24	TI cost* OR AB cost*	
S25	(MH "economics") OR (MH "costs and cost analysis") OR (MH "bankruptcy") OR (MH	
"Debt, financial")		
S26	S1 OR S2 OR S3 OR S4 OR S5 OR S6 OR S7	
S27	S8 OR S9	
S28	S10 OR S11 OR S12 OR S13 OR S14 OR S15 OR S16 OR S17 OR S18 OR S19 OR S20 OR	
S21 OR S22 OR S23 OR S24 OR S25		
S29	S26 AND S27 AND S28	
S30	Limit to English and 01/01/2010 – 19/03/21	

Cochrane Library via Wiley

Concept 1

- #1 MeSH descriptor: [Patients] this term only
- #2 (patient*):ti,ab,kw with Cochrane Library publication date Between Jan 2010 and

Mar 2021

#3 (family):ti,ab,kw with Cochrane Library publication date Between Jan 2010 and Mar

2021

#4 (families):ti,ab,kw with Cochrane Library publication date Between Jan 2010 and Mar

2021

- #5 MeSH descriptor: [Family] this term only
- #6 (carer*):ti,ab,kw with Cochrane Library publication date Between Jan 2010 and Mar

2021

- #7 MeSH descriptor: [Caregivers] this term only
- #8 (caregiver*):ti,ab,kw with Cochrane Library publication date Between Jan 2010 and

Mar 2021

#9 (survivor*):ti,ab,kw with Cochrane Library publication date Between Jan 2010 and

Mar 2021

#10 MeSH descriptor: [Cancer Survivors] this term only

Concept 2

- #11 ((((head OR neck OR mouth OR pharynx OR "oral cavity" OR "oral cavities" OR "accessory sinuses" OR "accessory sinus" OR "nasal cavity" OR "nasal cavities" OR "middle ear" OR larynx) Near/4 (cancer* OR tumo?r* OR neoplasm*)))):ti,ab,kw with Cochrane Library publication date Between Jan 2010 and Mar 2021
- #12 MeSH descriptor: [Head] this term only

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#13	MeSH descriptor: [Neck] this term only	
#14	MeSH descriptor: [Mouth] this term only	
#15	MeSH descriptor: [Pharynx] this term only	
#16	MeSH descriptor: [Nose] this term only	
#17	MeSH descriptor: [Nasal Cavity] this term only	
#18	MeSH descriptor: [Ear] this term only	
#19	MeSH descriptor: [Larynx] this term only	
#20	MeSH descriptor: [Head and Neck Neoplasms] this term only	
#21	MeSH descriptor: [Squamous Cell Carcinoma of Head and Neck] this term only	
Conce	ept 3	
#22	("financial toxicity"):ti,ab,kw with Cochrane Library publication date Between Jan	
2010 and Mar 2021		
#23	("financial toxicities"):ti,ab,kw with Cochrane Library publication date Between Jan	
2010 and Mar 2021		
#24	("financial worries"):ti,ab,kw with Cochrane Library publication date Between Jan	
2010 and Mar 2021		
#25	("financial worry"):ti,ab,kw with Cochrane Library publication date Between Jan 2010	
and Mar 2021		
#26	("financial burden"):ti,ab,kw with Cochrane Library publication date Between Jan	
2010 and Mar 2021		
#27	("financial burden*"):ti,ab,kw	
#28	("financial hardship"):ti,ab,kw with Cochrane Library publication date Between Jan	

2010 and Mar 2021

- #29 ("financial hardship*"):ti,ab,kw with Cochrane Library publication date Between Jan 2010 and Mar 2021
- #30 ("financial stress"):ti,ab,kw with Cochrane Library publication date Between Jan 2010 and Mar 2021
- #31 ("financial distress"):ti,ab,kw with Cochrane Library publication date Between Jan2010 and Mar 2021
- #32 ("material hardship"):ti,ab,kw with Cochrane Library publication date Between Jan 2010 and Mar 2021
- #33 ("material hardship*"):ti,ab,kw with Cochrane Library publication date Between Jan 2010 and Jan 2021
- #34 ("out of pocket"):ti,ab,kw with Cochrane Library publication date Between Jan 2010 and Mar 2021
- #35 (bankrupt*):ti,ab,kw with Cochrane Library publication date Between Jan 2010 and Mar 2021
- #36 ("economic burden"):ti,ab,kw with Cochrane Library publication date Between Jan 2010 and Mar 2021
- #37 ("economic burden*"):ti,ab,kw with Cochrane Library publication date Between Jan 2010 and Jan 2021
- #38 ("economic hardship"):ti,ab,kw with Cochrane Library publication date Between Jan 2010 and Mar 2021
- #39 ("economic hardship*"):ti,ab,kw with Cochrane Library publication date Between Jan 2010 and Jan 2021
- #40 (debt OR debtor OR debts):ti,ab,kw with Cochrane Library publication date Between
 Jan 2010 and Mar 2021

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#41	(cost*):ti,ab,kw with Cochrane Library publication date Between Jan 2010 and Mar	
2021		
#42	MeSH descriptor: [Costs and Cost Analysis] this term only	
#43	MeSH descriptor: [Economics] this term only	
#44	("costs and cost analysis"):ti,ab,kw with Cochrane Library publication date Between	
Jan 2010 and Mar 2021		
#45	#1 OR #2 OR #3 OR #4 OR #5 OR #6 OR #7 OR #8 OR #9 OR #10	
#46	#11 OR #12 OR #13 OR #14 OR #15 OR #16 OR #17 OR #18 OR #19 OR #20 OR #21	
#47	#22 OR #23 OR #24 OR #25 OR #26 OR #27 OR #28 OR #29 OR #30 OR #31 OR #32	
OR #33 OR #34 OR #35 OR #36 OR #37 OR #38 OR #39 OR #40 OR #41 OR #42 OR #43 OR		
#44		
#48	#45 AND #46 AND #47	

EconLit via EBSCO

Concept 1

- S1 TI patient* OR AB patient*
- S2 TI family OR AB family
- S3 TI families OR families
- S4 TI carer* OR AB carer*
- S5 TI caregiver* OR AB caregiver*
- S6 TI survivor* OR AB survivor*

Concept 2

TI ((head OR neck OR mouth OR pharynx OR "oral cavity" OR "oral cavities" OR "accessory sinuses" OR "accessory sinus" OR "nasal cavity" OR "nasal cavities" OR "middle ear" OR larynx) N4 (cancer* OR tumo#r OR neoplasm*)) OR AB ((head OR neck OR mouth OR pharynx OR "oral cavity" OR "oral cavities" OR "accessory sinuses" OR "accessory sinus" OR "nasal cavity" OR "nasal cavities" OR "middle ear" OR larynx) N4 (cancer* OR tumo#r OR neoplasm*))

Concept 3

- S8 TI "financial toxicity" OR AB "financial toxicity"
- S9 TI "financial toxicities" OR AB "financial toxicities"
- S10 TI "financial worry" OR AB "financial worry"
- S11 TI "financial worries" OR AB "financial worries"
- S12 TI "financial burden" OR AB "financial burden"
- S13 TI "financial burdens" OR AB "financial burdens"
- S14 TI "financial hardship*" OR AB "financial hardship*"
- S15 TI "financial distress*" OR AB "financial distress*"

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S26

S16 TI "material hardship*" OR AB "material hardship*" S17 TI "out of pocket" OR AB "out of pocket" S18 TI bankrupt* OR AB bankrupt* S19 TI "economic burden*" OR AB "economic burden*" S20 TI "economic hardship*" OR AB "economic hardship*" S21 TI debt* OR AB debt* S22 TI cost* OR AB cost* S23 S1 OR S2 OR S3 OR S4 OR S5 OR S6 S24 S8 OR S9 OR S10 OR S11 OR S12 OR S13 OR S14 OR S15 OR S16 OR S17 OR S18 OR S19 OR S20 OR S21 OR S22 S25 **S7 AND S23 AND S24**

Limit to English and 01/01/2010 – 19/03/21

EMBASE via Elsevier

Concept 1

- S1 Patient*:ab,ti
- S2 Family:ab,ti
- S3 Carer*:ab,ti
- S4 Caregiver*:ab,ti
- S5 Survivor*:ab,ti
- S6 Patient/de
- S7 Family/de
- S8 Caregiver/de
- S9 "Cancer survivor"/de

Concept 2

- ((head OR neck OR "nasal cavity" OR "nasal cavities" OR "oral cavity" OR "oral cavities" OR larynx OR mouth OR pharynx OR "middle ear" OR "accessory sinuses" OR "accessory sinus") NEAR/4 (cancer OR cancers OR tumor OR tumors OR tumour OR tumours OR neoplasm OR neoplasms)):ab,ti
- S11 Head/de
- S12 Neck/de
- S13 Mouth/de
- S14 Pharynx/de
- S15 "Nose Cavity"/de
- S16 Ear/de
- S17 Nose/de
- S18 Larynx/de

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S19 "Head and neck tumor"/d	e
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Concept 3

S40

Conce	pt 3	
S20	"financial burden*":ab,ti	
S21	"financial toxicit*":ab,ti	
S22	"financial hardship*":ab,ti	
S23	"financial stress*":ab,ti	
S24	"financial distress*":ab,ti	
S25	"material hardship*":ab,ti	
S26	"out of pocket":ab,ti	
S27	Bankruptcy:ab,ti	
S28	Debt*:ab,ti	
S29	"economic burden":ab,ti	
S30	"economic hardship*":ab,ti	
S31	cost*:ab,ti	
S32	"Financial stress"/de	
S33	"Financial management"/de	
S34	Cost/de	
S35	Economics/de	
S36	#1 OR #2 OR #3 OR #4 OR #5 OR #6 OR #7 OR #8 OR #9	
S37	#10 OR #11 OR #12 OR #13 OR #14 OR #15 OR #16 OR #17 OR #18 OR #19	
S38	#20 OR #21 OR #22 OR #23 OR #24 OR #25 OR #26 OR #27 OR #28 OR #29 OR #30	
OR #31 OR #32 OR #33 OR #34 OR #35		
S39	#36 AND #37 AND #38	

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#39 AND [2010-2021]/py AND English: la

MEDLINE Complete via EBSCO

Concept 1

- AB (Patient* OR "family members" OR family OR families OR carer* OR caregiver*

 OR survivor*) OR TI (Patient* OR "family members" OR family OR families OR carer* OR

 caregiver* OR survivor*)
- S2 (MH "patients") OR (MH "family") OR (MH "cancer survivors") OR (MH "caregivers")

Concept 2

- AB (Head OR Neck" OR "oral cavity" OR pharynx OR "nasal cavities" OR "nasal cavity"

 OR "middle ear" OR "accessory sinuses" OR larynx OR mouth) OR TI (Head OR Neck" OR

 "oral cavity" OR pharynx OR "nasal cavities" OR "nasal cavity" OR "middle ear" OR

 "accessory sinuses" OR larynx OR mouth)
- (MH "Head and neck neoplasms") OR (MH "Head") OR (MH "Neck") OR (MH "Mouth") OR (MH "Pharynx") OR MH (Nasal Cavity") OR (MH "Ear") OR (MH "Nose") OR (MH "Larynx")

Concept 3

AB ("Financial burden" OR "financial burdens" OR "financial toxicity" OR "financial toxicities" OR "financial hardship" OR "financial hardships" OR "financial stress" OR "financial stresses" OR "financial distress" OR "financial distresses" OR "material hardship" OR "material hardships" OR "out of pocket" OR bankruptcy OR debt OR debts OR "economic burden" OR "economics burdens" OR "economic hardship" or "economic hardships" OR cost*) OR TI ("Financial burden" OR "financial burdens" OR "financial toxicity" OR "financial toxicities" OR "financial hardship" OR "financial distresses" OR "financial stress" OR "financial distresses" OR "material hardship" OR "material hardship" OR "out of pocket" OR bankruptcy OR debt OR debts OR "economic

S11

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burden" OR "economics burdens" OR"economic hardship" or "economic hardships" OR

cost*)

S6 (MH "Financial stress") OR (MH "Bankruptcy") OR (MH "Costs and cost analysis") OR

(MH "economics")

S7 S1 OR S2

S8 S3 OR S4

S9 S5 OR S6

S10 S7 AND S8 AND S9
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S10 limited to English and 01/01/2010 – 19/03/21