

BMJ Open is committed to open peer review. As part of this commitment we make the peer review history of every article we publish publicly available.

When an article is published we post the peer reviewers' comments and the authors' responses online. We also post the versions of the paper that were used during peer review. These are the versions that the peer review comments apply to.

The versions of the paper that follow are the versions that were submitted during the peer review process. They are not the versions of record or the final published versions. They should not be cited or distributed as the published version of this manuscript.

BMJ Open is an open access journal and the full, final, typeset and author-corrected version of record of the manuscript is available on our site with no access controls, subscription charges or pay-per-view fees (<u>http://bmjopen.bmj.com</u>).

If you have any questions on BMJ Open's open peer review process please email <u>info.bmjopen@bmj.com</u>

BMJ Open

Optical aberrations following implantation of multifocal IOLs: a systematic review protocol

Journal:	BMJ Open
Manuscript ID	bmjopen-2021-059350
Article Type:	Protocol
Date Submitted by the Author:	27-Nov-2021
Complete List of Authors:	Henein, Christin; University College London Institute of Ophthalmology; NIHR Moorfields Biomedical Research Centre Fang, Clarissa E H; Manchester Royal Eye Hospital Bokre, Desta; University College London Institute of Ophthalmology Khan, Maaz; University College London Institute of Ophthalmology Adan, Ahmed; University College London Institute of Ophthalmology Bouremel, Yann; University College London Institute of Ophthalmology Nanavaty, Mayank; Sussex Eye Hospital; Brighton and Sussex Medical School
Keywords:	Cataract and refractive surgery < OPHTHALMOLOGY, Ophthalmology < SURGERY, OPHTHALMOLOGY



1 2		
3	1	Optical aberrations following implantation of multifocal IOLs: a systematic review protocol
4 5	2	Authors:
6 7	3	Christin Henein ^{1,2} , Clarissa E.H. Fang ³ , Desta Bokre ¹ , Maaz Khan ¹ , Ahmed Adan ¹ , Yann
8 9	4	Bouremel ¹ , Mayank A. Nanavaty ^{4,5}
10 11	5	
12 13	6	Affiliations
14 15	7	¹ UCL, Institute of Ophthalmology, London, UK
16	8	² NIHR Moorfields Biomedical Research Centre, London, UK
17	9	³ Manchester Royal Eye Hospital, Manchester, UK
10	10	⁴ Sussex Eye Hospital, University Hospitals Sussex NHS Foundation Trust, Eastern Road, Brighton,
20	11	United Kingdom. BN2 5BF
21 22	12	⁵ Brighton & Sussex Medical School, University of Sussex, Falmer, Brighton. United Kingdom. BN1
23	13	9PX
24 25	14	
26 27	15	
27 28 29 30 31 32 33 34 35 36 37	16	Corresponding author*
	17	I, the Submitting Author has the right to grant and does grant on behalf of all authors of the Work (as
	18	defined in the below author licence), an exclusive licence and/or a non-exclusive licence for
	19	contributions from authors who are: i) UK Crown employees; ii) where BMJ has agreed a CC-BY
	20	licence shall apply, and/or iii) in accordance with the terms applicable for US Federal Government
38 39	21	officers or employees acting as part of their official duties; on a worldwide, perpetual, irrevocable,
40 41	22	royalty-free basis to BMJ Publishing Group Ltd ("BMJ") its licensees and where the relevant Journal is
42 43	23	co-owned by BMJ to the co-owners of the Journal, to publish the Work in BMJ Open and any other
44 45	24	BMJ products and to exploit all rights, as set out in our licence.
46 47	25	
48 49 50 51	26	Authors contribution
52 53	27	MN conceived the idea for the review. CH, MK, AA and DB drafted and revised the protocol with
54 55	28	suggestions from YB, CEHF and MN who reviewed the protocol and provided feedback on the draft.
56 57 58	29	DB constructed the search.
59 60	30	Conflicts of interest

1		
2 3 4 5	31	The authors declare no conflict of interest.
6 7 8	32	Data sharing statement
9 10 11	33	No additional data is available.
12 13 14 15	34	Patient and Public Involvement
15 16	35	Patients and public were not involved in the development of this protocol. The primary outcome of the
17 18 19	36	review is patient-centred.
20 21 22 23	37	Acknowledgments
24 25 26	38	The authors would like to acknowledge the funding as mentioned below.
27 28 29	39	Funding
30 31 32	40	CH receives support from the National Institute for Health Research CL 2020-18-009
33 34 35 36	41	Email addresses:
37 38	42	c.henein@ucl.ac.uk, d.bokre@ucl.ac.uk, maaz.khan.20@ucl.ac.uk, ahmed.adan.20@ucl.ac.uk,
39 40	43	y.bouremel@ucl.ac.uk, fangclarissa@gmail.com, mayank.nanavaty@nhs.net
41 42 43 44	44	
45 46 47		
48		
49 50		
50 51		
52		
53		
54 55		
56		
57 50		
วช 59		
60		

1 ว		
2	45	
4 5	46	Abstract
6 7 8 9 10 11 12 13	47	Introduction: Multifocal IOLs are used to restore vision at different focal distances. The technology
	48	of multifocal IOLs is continually advancing. Optical aberrations a property of lenses that causes
	49	spreading of light over a region resulting in a blurred or distorted image. This study aims to
	50	systematically review investigator measured and patient reported optical aberrations following
14 15	51	implantation of multifocal intraocular lenses during phacoemulsification surgery to treat presbyopia in
16 17	52	adults.
18 19	53	Methods and Analysis: We will conduct an electronic database search for randomized controlled
20 21	54	trials, prospective non-randomized studies, observational studies in Ovid MEDLINE, Ovid EMBASE,
22 23	55	Cochrane Central Register of Controlled Trials (CENTRAL), Web of Science, Scopus, and
24 25	56	ClinicalTrials.gov. Eligibility criteria will include quantitative articles written in English and containing
26 27	57	data on optical aberrations. Two independent reviewers will screen titles and abstracts and extract
28 29	58	data from full texts, reporting outcomes according to Preferred Reporting Items for Systematic
30 31	59	Reviews and Meta-Analyses (PRISMA) guidelines. Data extraction of key characteristics will be
32	60	completed using customized forms. Methodological quality will be assessed using Cochrane
33 34	61	Handbook 6.2.
35 36	62	Ethics and Dissemination Ethics approval is not required for this review, as it will only include
37 38	63	published data. Findings will be published in a peer-reviewed journal and disseminated across
39 40	64	ophthalmic networks. We anticipate that the findings of this work will be of interest to multiple
41 42	65	stakeholders: people who have undergone cataract surgery, eye health professionals, ophthalmic
43 44	66	surgeons, device manufacturers and policy makers. It will also inform researchers to where there are
45 46	67	gaps in evidence and identify areas for future research.
47 48	68	Systematic review registration number: PROSPERO CRD42021271050
49 50	69	
50 51 52 53	70	Keywords: Optics, aberration, intraocular lens, multifocal
54 55 56	71	Article Summary
57 58 59 60	72	Strengths and Limitations

This systematic review protocol follows the Preferred Reporting Items for Systematic
 Review and Meta-Analysis Protocols guidelines.

This systematic review addresses a gap in the current evidence-base by providing a
 comprehensive assessment of reported optical aberrations following new and older
 generation multifocal IOL

79 Word count: 1507

81 Introduction

 Traditional monofocal IOLs provide a single point of focus and toric monofocal lenses can correct astigmatism. Multifocal IOLs have multiple focal lengths. If they have 2 foci, they are called bifocal, three foci, they are trifocal. This enables the patient with a multifocal IOL to see both objects located at a distance or near to them. They are three different mechanisms to achieve this: the technology can be refractive, diffractive or combined. Moreover, toric multifocal lens also help to correct the problem of astigmatism that only toric monofocal lens can do¹.

Multifocal IOLs are used to restore vision at different focal distances. It is generally accepted they are good for distance and intermediate focal distances. According to the lens design they can be refractive, diffractive or combined. The technology of multifocal IOLs is continually advancing. Next generation IOLs include rotationally asymmetric segmented multifocal IOL, increase in the central area with the aim to improve reading acuity, improved pupil independence and increased depth of focus. Optical aberrations a property of lenses that causes spreading of light over a region resulting in a blurred or distorted image. Optical aberrations can present as symptoms of glare, holes and stars. This symptoms may limit the patient satisfaction achieved with these IOLs and is therefore an important patient-centred outcomes to quantify. Spherical aberrations significantly contribute to quality of retinal image and subjective refraction. Optical aberrations can be reported subjectively using questionnaires or measured objectively by wavefront aberrometry analysis. Contrast sensitivity can be a more useful/ objective tool to assess visual function. Recent reviews that compared multifocal with monofocal IOLs

BMJ Open

3 4 5	100	reported outcomes on spectacle independence, visual acuity and quality of life ^{2,3} . To our knowledge
	101	this is the first review comparing different multifocal IOLs with optical aberrations as the primary
7 8	102	outcome.
9 10	103	Review aim: We aim to systematically review investigator measured and patient reported optical
11 12	104	aberrations following implantation of multifocal intraocular lenses during phacoemulsification surgery
13 14 15 16	105	to treat presbyopia.
17 18 19	106	Methods and analysis
20 21 22	107	Inclusion and exclusion criteria
23 24 25 26	108	Types of studies
20	109	We will include randomised controlled trials (RCTs) and non-randomised interventional studies
28 29	110	(retrospective or prospective studies). Observational studies will allow us to provide real-world
30 31 32 33	111	estimates of reported optical aberrations.
34 35 36	112	Types of participants
37 38	113	We will include adults aged 18 years and above with presbyopia. We will exclude studies with
39 40 41	114	participants with history of laser refractive surgery.
42 43 44 45	115	Intervention(s)
46 47	116	We will include small incision cataract extraction and multifocal lens implantation. All types of refractive
48 49 50	117	and diffractive multifocal lenses will be included in this review.
51 52 53	118	Comparator(s)
55 56	119	We will include multifocal intraocular lens or alternative type of multifocal IOL as comparators such as
50 57 58 59	120	diffractive, refractive and hybrid technologies.
60	121	Outcomes

1 2					
2 3 4 5	122	Primary outcome			
6 7 8	123	• Participant reported optical aberrations such as but not limited to glare and halos.			
9 10 11 12	124	Secondary outcomes			
12 13 14	125	Measured optical aberrations with wavefront analysis			
15 16	126	Contrast sensitivity as measured by validated test			
17	127	• Spectacle independence as determined by the participant or as determined by the			
18 19 20	128	investigator			
21	129	Uncorrected near vision acuity			
22	130	Uncorrected distance vision acuity			
24 25	131	Mean spherical equivalent within ±0.5D			
26 27	132	% of eyes seeing 20/20 or better for distance			
28 29 30 31 32 33 34	133	% of eyes seeing 20/40 or better for distance			
	134	% of eyes seeing J5 or better for near vision			
	135	YAG laser capsulotomy rates			
35 36 37 38	136	Search strategy			
39 40	137	In collaboration with an information specialist a comprehensive search strategy will be performed using			
41 42	138	a combination of controlled vocabulary and free text terms. Searches will be conducted in Ovid			
43 44	139	MEDLINE, Ovid EMBASE, Cochrane Central Register of Controlled Trials (CENTRAL), Web of			
45 46	140	Science, Scopus and ClinicalTrials.gov bibliographic databases. Other relevant sources will be			
47	141	searched such as reference lists of existing systematic reviews of multifocal IOLs. Please see appendix			
48 49 50 51	142	1 for strategy syntax for Ovid Medline 1946 – March 2021 electronic database. We will download			
	143	references identified in searches (electronic database and additional searches) into Endnote X9			
52 53 54	144	reference management software and remove duplicate abstracts.			
55 56 57 58 59 60	145	Study selection			

6

Page 7 of 13

BMJ Open

The screening process will be undertaken using Endnote X9. Two review authors will independently assess the titles and abstracts of records and exclude papers that do not meet eligibility criteria. We will obtain the full text of the remaining papers, and at least two authors will assess the papers against the inclusion criteria for the review to determine their eligibility for inclusion. Non-English language papers will be excluded. The review authors will resolve disagreements through mediation with a third reviewer.

152 Data extraction

Two review authors will extract data independently using Excel. We will pre-pilot the data extraction template. We will resolve discrepancies by discussion. Two attempts will be made to contact trial investigators for missing data. Data will be directly imported into Review Manager 5 (RevMan 5); and the accuracy of the data import will be checked by one author.

- ⁸ 157 We will collect the following information on study characteristics:
 - Study design: parallel group RCT/within-person RCT/one or both eyes reported
 - Participants: country, total number of participants, age, sex, inclusion and exclusion
 criteria
 - Intervention and comparator details: type of multifocal IOL, including number of people
 (eyes) randomised to each group
 - Primary and secondary outcomes as measured and reported in the trials
 - Length of follow-up
 - 165 Date of publication
 - Date multifocal IOL received market approval (FDA PMA, CE mark)
 - 167 Sample size
 - Funding and conflicts of interest
 - 169 Trial registration, if available

3
4
5
6
7
8
9
10
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
27
22
27
25
26
30 27
3/
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60
~ ~

183

170 Data synthesis

1 2

> 171 We will pool data using a random-effects model in RevMan 5. If there are fewer than three trials in a 172 comparison we will use a fixed-effect model. If there is inconsistency between individual study results 173 such that a pooled result may not be a good summary of the individual trial results — for example, the 174 effects are in different directions or $l^2 > 50\%$ and P < 0.1 — we will not pool the data but will describe 175 the pattern of the individual study results. If there is statistical heterogeneity we may pool the data if all 176 the effect estimates are in the same direction, such that a pooled estimate would seem to provide a 177 good summary of the individual trial results.

- 178 We will extract the following data from each included study for intervention and comparator groups
 179 separately.
 - Number of events and number of participants on which outcome data collected for
 dichotomous variables
 - Mean, standard deviation and number of participants on which outcome measured for continuous variables

For multi-arm studies we will use data relevant to our intervention and comparator groups. If two groups contain relevant data we will combine groups using the calculator within RevMan 5. If standard deviation is not available we will use information from confidence intervals and P values, where possible, to estimate it, using the RevMan 5 calculator⁴.

4 188 Assessment of risk of bias in included studies

Two review authors will assess independently the risk of bias using Cochrane's 'Risk of bias' tool for assessing risk of bias in each included study according to the following domains selection bias, performance bias, detection bias, attrition bias and selective outcome reporting bias⁵. We will resolve disagreements by discussion. We will specifically consider and report on the following sources of bias. We will grade each domain as low risk of bias, high risk of bias or unclear (lack of information or uncertainty of potential for bias). We will attempt to contact trial investigators for clarification of parameters graded as 'unclear'.

196 Dealing with missing data

197 If possible, we will conduct an intention-to-treat (ITT) analysis. We will use imputed data if computed by 198 the trial investigators using an appropriate method, but will not impute missing data ourselves. If ITT 199 data are not available, we will do an available case analysis. This assumes that data are missing at 200 random. We will assess whether this assumption is reasonable by collecting data from each included 201 trial on the number of participants excluded or lost to follow-up and reasons for loss to follow-up by 202 treatment group, if reported.

203 Assessment of heterogeneity and subgroup analysis

We will examine the overall characteristics of the studies, in particular the type of participants and types of interventions, to assess the extent to which the studies are similar enough to make pooling study results sensible. We will look at the forest plots of study results to see how consistent the results of the studies are, in particular looking at the size and direction of effects. We will calculate l² which is the percentage of the variability in effect estimates that is due to heterogeneity rather than sampling error. We will consider I² values over 50% to indicate substantial inconsistency but will also consider Chi² P value. As this may have low power when the number of studies are few we will consider P < 0.1 to indicate statistical significance of the Chi² test. If there are sufficient trials we will compare the effect of treatment in the following subgroups; diffractive, refractive and hybrid multifocal IOL and year of market approval.

44 2

214 Sensitivity analysis and assessment of reporting biases

We will examine the impact of excluding studies at high risk of bias in one or more domains. If there are 10 trials or more included in a meta-analysis, we will construct funnel plots and consider tests for asymmetry for assessment of publication bias, according to Chapter 8 of the Cochrane Handbook for Systematic Reviews of Interventions⁶.

- 56 219
- 60 220 **References**

1

2			
3	221	1.	Salerno LC, Tiveron MC, Jr., Alió JL. Multifocal intraocular lenses: Types, outcomes,
4	222		complications and how to solve them. <i>Taiwan J Ophthalmol.</i> 2017;7(4):179-184.
5	223	2.	Khandelwal SS, Jun JJ, Mak SS, Booth MS, Shekelle P, Effectiveness of multifocal and
7	223	2.	monofocal intraccular longes for cataract surgery and long replacement: a systematic
, 8	224		monorocal intraocular lenses for catalact surgery and lens replacement. a systematic
9	225		review and meta-analysis. Graeje's Archive for Clinical and Experimental
10	226		Ophthalmology. 2018;257:863-875.
11	227	3.	de Silva SR, Evans JR, Kirthi V, Ziaei M, Leyland M. Multifocal versus monofocal
12	228		intraocular lenses after cataract extraction. Cochrane Database Syst Rev.
13	229		2016;12(12):Cd003169.
14	230	4.	X. Wan WW, J. Liu, T. Tong. Estimating the sample mean and standard deviation
15	231		from the sample size, median, range and/or interquartile range, BMC Med Res
16	222		Methodol 2011/135
1/ 10	232	F	Higging ID Altman DC Catasche DC et al. The Coshrane Collaboration's tool for
10 10	255	5.	Higgins JP, Althan DG, Gølzsche PC, et al. The Collitane Collaboration's tool for
20	234	-	assessing risk of blas in randomised trials. <i>BmJ</i> . 2011;343:d5928.
21	235	6.	Higgins JPT TJ, Chandler J, Cumpston M, Li T, Page MJ, Welch VA (editors). Cochrane
22	236		Handbook for Systematic Reviews of Interventions version 6.2 (updated February
23	237		2021). 2021.
24	238		
25			
26			
27			
28			
29			
31			
32			
33			
34			
35			
36			
37			
38			
39 40			
40 //1			
41			
43			
44			
45			
46			
47			
48			
49			
50			
51 52			
52 53			
55			
55			
56			
57			
58			
59			
60			

Appendix 1. Search strategy

Ovid Medline 1946 – March 2021

Search	Search Terms	Search Results				
Lines						
1	exp "Optics and Photonics"/ 79					
2	(optic* or photonic*).mp.	476463				
3	1 or 2	518769				
4	exp Refractive Errors/ or exp Refraction, Ocular/ or exp	108238				
	Astigmatism/ or exp Myopia/ or exp Visual Acuity/					
5	(aberrat* or diffract* or refract* or HOA).mp. 461904					
6	4 or 5	541199				
7	exp Lenses, Intraocular/	15452				
8	(intraocular lens or Intra-ocular lens or intra ocular lens or IOL or	18494				
	IOLs or lens prosthes* or artificial lens).mp.					
9	7 or 8	23720				
10	(multifocal or multi focal or multi-focal or bifocal or bi-focal or	218357				
	trifocal or tri-focal or hybrid).mp.					
11	3 and 6 and 9 and 10	515				

al or multi focal or multi-focal or bifocal or bi-focal or tri-focal or hybrid).mp. ad 9 and 10

Section and topic	Item No	Checklist item	Page Line
ADMINISTRATIV	E INFO	DRMATION	
Title:		22	
Identification	1a	Identify the report as a protocol of a systematic review	P1 L1
Update	1b	If the protocol is for an update of a previous systematic review, identify as such $\underline{\underline{S}}$	P1 L1
Registration	2	If registered, provide the name of the registry (such as PROSPERO) and registration number	P3 L62
Authors:			
Contact	3a	Provide name, institutional affiliation, e-mail address of all protocol authors; provide physical mailing address of corresponding author	P2 L39
Contributions	3b	Describe contributions of protocol authors and identify the guarantor of the review	P1 L23
Amendments	4	If the protocol represents an amendment of a previously completed or published protocol, identify as such and list changes; otherwise, state plan for documenting important protocol amendments	NA
Support:		<u>e</u>	
Sources	5a	Indicate sources of financial or other support for the review	P2 L35
Sponsor	5b	Provide name for the review funder and/or sponsor	P2 L35
Role of sponsor or funder	5c	Describe roles of funder(s), sponsor(s), and/or institution(s), if any, in developing the protocol	NA
INTRODUCTION		Octop	
Rationale	e 6 Describe the rationale for the review in the context of what is already known P_4 P ₄		P4 L76
Objectives	7	Provide an explicit statement of the question(s) the review will address with reference to participants, Interventions, comparators, and outcomes (PICO)	P4 L87
METHODS		14 by	
Eligibility criteria 8 Specify the study characteristics (such as PICO, study design, setting, time frame) and report characteristics (such as years considered, language, publication status) to be used as criteria for eligibility for the review		P4 L90	
Information sources	9	Describe all intended information sources (such as electronic databases, contact with study authors, trial registers or other grey literature sources) with planned dates of coverage	P6 L122
Search strategy	10	Present draft of search strategy to be used for at least one electronic database, including planned limited such that it could be repeated	P6 L22
		Copyright.	

BMJ Open PRISMA-P (Preferred Reporting Items for Systematic review and Meta-Analysis Protocols) 2015 checelist: recommended items to

Page 13 of 13

		BMJ Open	
		1-2021-055	
Study records:		99. 50.	
Data management	11a	Describe the mechanism(s) that will be used to manage records and data throughout the review $9_{\frac{1}{2}}$	P6 L130
Selection process	11b	State the process that will be used for selecting studies (such as two independent reviewers) through each phase of the review (that is, screening, eligibility and inclusion in meta-analysis)	P6 L132
Data collection process	11c	Describe planned method of extracting data from reports (such as piloting forms, done independently in duplicate), any processes for obtaining and confirming data from investigators	P6 137
Data items	12	List and define all variables for which data will be sought (such as PICO items, funding sources), any pre-planned data assumptions and simplifications	P6 L14
Outcomes and prioritization	13	List and define all outcomes for which data will be sought, including prioritization of main and additional outcomes, with rationale	P5 L10:
Risk of bias in individual studies	14	Describe anticipated methods for assessing risk of bias of individual studies, including whether this will be done at the outcome or study level, or both; state how this information will be used in data synthesis	P8 L147
Data synthesis	15a	Describe criteria under which study data will be quantitatively synthesised	P7 L154
	15b	If data are appropriate for quantitative synthesis, describe planned summary measures, methods of handling data and methods of combining data from studies, including any planned exploration of consistency (such as I $\frac{2}{3}$ Kendall's τ)	P7 L154
	15c	Describe any proposed additional analyses (such as sensitivity or subgroup analyses, meta-regression)	P9 L208
	15d	If quantitative synthesis is not appropriate, describe the type of summary planned	P7 L159
Meta-bias(es)	16	Specify any planned assessment of meta-bias(es) (such as publication bias across studies, selective reporting within studies)	P9 L213
Confidence in cumulative evidence	17	Describe how the strength of the body of evidence will be assessed (such as GRADE)	P9 L21
* It is strongly recommender the items. Amendmen	mende its to a	ed that this checklist be read in conjunction with the PRISMA-P Explanation and Elaboration (cite where available) for importation areview protocol should be tracked and dated. The copyright for PRISMA-P (including checklist) is here by the PRISMA-P G	nt clarification roup and is
distributed under a Cr	reative	Commons Attribution Licence 4.0.	
From: Shamseer L, M meta-analysis protoco	loher I ols (PI	D, Clarke M, Ghersi D, Liberati A, Petticrew M, Shekelle P, Stewart L, PRISMA-P Group. Preferred r_{gas}^{4} orting items for system RISMA-P) 2015: elaboration and explanation. BMJ. 2015 Jan 2;349(jan02 1):g7647.	matic review
		ected -	
		by cop	
		For near review only - http://hmionen.hmi.com/site/about/quidelines.yhtml	

BMJ Open

Optical aberrations following implantation of multifocal intraocular lenses: a systematic review and meta-analysis protocol

Journal:	BMJ Open
Manuscript ID	bmjopen-2021-059350.R1
Article Type:	Protocol
Date Submitted by the Author:	24-Jun-2022
Complete List of Authors:	Henein, Christin; University College London Institute of Ophthalmology; NIHR Moorfields Biomedical Research Centre Fang, Clarissa E H; Manchester Royal Eye Hospital Bokre, Desta; University College London Institute of Ophthalmology Khan, Maaz; University College London Institute of Ophthalmology Adan, Ahmed; University College London Institute of Ophthalmology Bouremel, Yann; University College London Institute of Ophthalmology Nanavaty, Mayank; Sussex Eye Hospital; Brighton and Sussex Medical School
Primary Subject Heading :	Ophthalmology
Secondary Subject Heading:	Surgery, Evidence based practice, Ophthalmology
Keywords:	Cataract and refractive surgery < OPHTHALMOLOGY, Ophthalmology < SURGERY, OPHTHALMOLOGY

SCHOLARONE[™] Manuscripts

2 3	1	Ontional observations following implantation of multifaced introductor language a systematic review
4	т 2	optical aberrations following implantation of multilocal intraocular lenses. a systematic review
5 6	2	and meta-analysis protocol
7 8 9 10	3	Authors:
	4	Christin Henein ^{*1,2} , Clarissa E.H. Fang ³ , Desta Bokre ¹ , Maaz Khan ¹ , Ahmed Adan ¹ , Yann
11	5	Bouremel ¹ , Mayank A. Nanavaty ^{4,5}
12	6	
14 15	7	* Correspondence to Dr Christin Henein; c.henein@ucl.ac.uk
16 17	8	Affiliations
18 19	9	¹ UCL, Institute of Ophthalmology, London, UK
20 21 22 23 24	10	² NIHR Moorfields Biomedical Research Centre, London, UK
	11	³ Manchester Royal Eye Hospital, Manchester, UK
	12	⁴ Sussex Eye Hospital, University Hospitals Sussex NHS Foundation Trust, Eastern Road, Brighton,
	13	United Kingdom. BN2 5BF
25 26	14	⁵ Brighton & Sussex Medical School, University of Sussex, Falmer, Brighton. United Kingdom. BN1
27	15	9PX
28 29	16	
30 31	17	
32 33	18	Corresponding author*
34 35 36 37 38 39 40 41 42 42	19	I, the Submitting Author has the right to grant and does grant on behalf of all authors of the Work (as
	20	defined in the below author licence), an exclusive licence and/or a non-exclusive licence for
	21	contributions from authors who are: i) UK Crown employees; ii) where BMJ has agreed a CC-BY
	22	licence shall apply, and/or iii) in accordance with the terms applicable for US Federal Government
	23	officers or employees acting as part of their official duties; on a worldwide, perpetual, irrevocable,
43 44 45	24	royalty-free basis to BMJ Publishing Group Ltd ("BMJ") its licensees and where the relevant Journal is
45 46	25	co-owned by BMJ to the co-owners of the Journal, to publish the Work in BMJ Open and any other
47 48	26	BMJ products and to exploit all rights, as set out in our licence.
49 50	27	
51 52 53 54 55	28	Email addresses:
56 57	29	c.henein@ucl.ac.uk, d.bokre@ucl.ac.uk, maaz.khan.20@ucl.ac.uk, ahmed.adan.20@ucl.ac.uk,
58 59	30	y.bouremel@ucl.ac.uk, fangclarissa@gmail.com, mayank.nanavaty@nhs.net
60		



BMJ Open

2 3	32	
4 5 6	33	Abstract
6 7	34	Introduction: Multifocal IOLs are used to restore vision at different focal distances. The technology
8 9 10	35	of multifocal IOLs is continually advancing. Optical aberrations a property of lenses that causes
10 11	36	spreading of light over a region resulting in a blurred or distorted image. This study aims to
12 13	37	systematically review investigator measured and patient reported optical aberrations following
14 15	38	implantation of multifocal intraocular lenses during phacoemulsification surgery to treat presbyopia in
16 17	39	adults.
18 19	40	Methods and Analysis: We will conduct an electronic database search for randomized controlled
20 21	41	trials, prospective non-randomized studies, observational studies in Ovid MEDLINE, Ovid EMBASE,
22 23	42	Cochrane Central Register of Controlled Trials (CENTRAL), Web of Science, Scopus, and
24 25	43	ClinicalTrials.gov in March 2021. Eligibility criteria will include quantitative articles written in English
26 27	44	and containing data on optical aberrations. Two independent reviewers will screen titles and abstracts
28 29	45	and extract data from full texts, reporting outcomes according to Preferred Reporting Items for
30 31	46	Systematic Reviews and Meta-Analyses (PRISMA) guidelines. Data extraction of key characteristics
32	47	will be completed using customized forms. Methodological quality will be assessed using Cochrane
33 34 35	48	Handbook 6.2.
35 36 27	49	Systematic review registration number: PROSPERO CRD42021271050
37 38	50	
39 40 41 42	51	Keywords: Optics, aberration, intraocular lens, multifocal
43 44 45	52	Article Summary
46 47 48	53	Strengths and Limitations
49 50	54	This systematic review protocol follows the Preferred Reporting Items for Systematic
51 52	55	Review and Meta-Analysis Protocols guidelines.
53 54	56	• This systematic review addresses a gap in the current evidence-base by providing a
55 56	57	comprehensive assessment of reported optical aberrations following new and older
57 58 59	58	generation multifocal IOL
00		

3

There may be a paucity of RCTs comparing different multifocal IOLs limiting the number of paired wise meta-analysis that can be done. Word count: 1507 Introduction Multifocal intraocular lens (IOLs) have multiple focal lengths; if they have 2 foci, they are called

bifocal, three foci, they are trifocal. This enables the patient with a multifocal IOL to see both objects
located at a distance, intermediate distance or near to them. They are three different mechanisms to
achieve this: the technology can be refractive, diffractive or combined. Moreover, toric multifocal lens
also help to correct the problem of astigmatism [1].

70 Traditional monofocal IOLs provide a single point of focus. A newer enhanced monofocals and

71 extended depth-of-focus (EDOF) IOLs which creates a single elongated focal point to enhance the

72 depth of focus. For the purposes of this study we will assess optical aberrations following the

73 implantation of different types of multifocal IOL and will exclude enhanced monofocal IOL as a well as

74 EDOF IOLs.

 75 It is generally accepted multifocal IOLS are good for distance and near focal distances. According to 76 the lens design they can be refractive, diffractive or combined. The technology of multifocal IOLs is 77 continually advancing. Next generation IOLs include rotationally asymmetric segmented multifocal IOL, 78 increase in the central area with the aim to improve reading acuity and improved pupil independence.

Optical aberrations a property of lenses that causes spreading of light over a region resulting in a blurred or distorted image. Optical aberrations can present as symptoms of glare, holes and stars. This symptoms may limit the patient satisfaction achieved with these IOLs and is therefore an important patient-centred outcomes to quantify. Spherical aberrations significantly contribute to quality of retinal image and subjective refraction. Optical aberrations can be reported subjectively using questionnaires or measured objectively by wavefront aberrometry analysis. Contrast sensitivity can be a more useful/ objective tool to assess visual function. Recent reviews that compared multifocal with monofocal IOLs

BMJ Open

3 4	86	reported outcomes on spectacle independence, visual acuity and quality of life [2, 3]. To our knowledge
5 6	87	this is the first review comparing different multifocal IOLs with optical aberrations as the primary
7 8	88	outcome.
9 10 11	89	Review aim: We aim to systematically review investigator measured and patient reported optical
11 12 13	90	aberrations following implantation of multifocal intraocular lenses during phacoemulsification surgery
14 15 16	91	to treat presbyopia.
17 18 19	92	Methods and analysis
20 21 22 23	93	Inclusion and exclusion criteria
24 25 26	94	Types of studies
27 28	95	We will include randomised controlled trials (RCTs) and non-randomised interventional studies
29 30	96	(retrospective or prospective studies). Observational studies will allow us to provide real-world
31 32 33	97	estimates of reported optical aberrations.
34 35 36	98	Types of participants
37 38	99	We will include adults undergoing cataract surgery and desiring correction for anticipated post-operative
39 40 41 42	100	presbyopia. We will exclude studies with participants with history of laser refractive surgery.
43 44 45	101	Intervention(s)
46 47	102	We will include small incision cataract extraction and multifocal lens implantation. All types of refractive
48 49 50	103	and diffractive multifocal lenses will be included in this review.
51 52 53 54	104	Comparator(s)
55 56	105	We will include multifocal intraocular lens or alternative type of multifocal IOL as comparators such as
57 58 59	106	diffractive, refractive and hybrid technologies.
60	107	Outcomes

1 2

3 4	108	Primary outcome
5 6	100	Derticipant reported antical charactions such as but not limited to slore and balas
7 8	109	• Participant reported optical abenations such as but not influed to glare and flatos.
9 10 11	110	Secondary outcomes
12		
13 14	111	Measured optical aberrations with wavefront analysis
15 16	112	Contrast sensitivity as measured by validated test
17 18 19 20	113	• Spectacle independence as determined by the participant or as determined by the
	114	investigator
21 22	115	Uncorrected near vision acuity
22	116	Uncorrected distance vision acuity
24 25 26 27 28 29 30 31	117	Uncorrected intermediate distance
	118	Mean spherical equivalent within ±0.5D
	119	% of eyes seeing 20/20 or better for distance
	120	% of eyes seeing 20/40 or better for distance
32 33	121	% of eyes seeing J2 or better for near vision
34 35 36	122	YAG laser capsulotomy rates
36 37		4
38 39	123	Search strategy
40 41		
42	124	In collaboration with an information specialist a comprehensive search strategy will be performed using
43 44	125	a combination of controlled vocabulary and free text terms. Searches will be conducted in Ovid
45 46	126	MEDLINE, Ovid EMBASE, Cochrane Central Register of Controlled Trials (CENTRAL), Web of
47 48	127	Science, Scopus and ClinicalTrials.gov bibliographic databases. Other relevant sources will be
49 50	128	searched such as reference lists of existing systematic reviews of multifocal IOLs. Please see
50 51	129	supplementary file 1 for strategy syntax for Ovid Medline 1946 – March 2021 electronic database. We
52 53	130	will download references identified in searches (electronic database and additional searches) into
54 55	131	Endnote X9 reference management software and remove duplicate abstracts.
50 57 58 59 60	132	Study selection

Page 7 of 14

BMJ Open

The screening process will be undertaken using Endnote X9. Two review authors will independently assess the titles and abstracts of records and exclude papers that do not meet eligibility criteria. We will obtain the full text of the remaining papers, and at least two authors will assess the papers against the inclusion criteria for the review to determine their eligibility for inclusion. Non-English language papers will be excluded. The review authors will resolve disagreements through mediation with a third reviewer.

139 Data extraction

140 Two review authors will extract data independently using Excel. We will pre-pilot the data extraction 141 template. We will resolve discrepancies by discussion. Two attempts will be made to contact trial 142 investigators for missing data. Data will be directly imported into Review Manager 5 (RevMan 5); and 143 the accuracy of the data import will be checked by one author.

- ⁸ 144 We will collect the following information on study characteristics:
- Study design: parallel group RCT/within-person RCT/one or both eyes reported
 Study design: parallel group RCT/within-person RCT/one or both eyes reported
 Participants: country, total number of participants, age, sex, inclusion and exclusion
 criteria
- Intervention and comparator details: type of multifocal IOL, including number of people
 (eyes) randomised to each group
 - Primary and secondary outcomes as measured and reported in the trials
 - Length of follow-up
 - Date of publication
 - Date multifocal IOL received market approval (FDA PMA, CE mark)
 - Sample size
 - Funding and conflicts of interest
 - Trial registration, if available

157	Data	synthesis
137	σαια	Synthesis

We will pool data where there are at least two studies for a particular type of mIOL reporting the same outcome. We will use a random-effects model in RevMan 5. But if there are fewer than three trials in a comparison we will use a fixed-effect model. If there is inconsistency between individual study results such that a pooled result may not be a good summary of the individual trial results - for example, the effects are in different directions or $l^2 > 50\%$ and P < 0.1 — we will not pool the data but will describe the pattern of the individual study results. If there is statistical heterogeneity we may pool the data if all the effect estimates are in the same direction, such that a pooled estimate would seem to provide a good summary of the individual trial results.

We will extract the following data from each included study for intervention and comparator groups separately.

- Number of events and number of participants on which outcome data collected for dichotomous variables
- Mean, standard deviation and number of participants on which outcome measured for continuous variables

For multi-arm studies we will use data relevant to our intervention and comparator groups. If two groups contain relevant data we will combine groups using the calculator within RevMan 5. If standard deviation is not available we will use information from confidence intervals and P values, where possible, to estimate it, using the RevMan 5 calculator [4].

For the primary outcome a power calculation will made using *metapower* package in R (rstudio.com) to calculate the statistical power for meta-analysis based on Cohen's d [5]. We expect to find at least 10 studies with sample sizes of at least 40 participants and we anticipate considerable statistical heterogeneity I²=50%, with an estimated effect size of 0.35. Based on the aforementioned parameters the estimated power for a fixed effects model is 0.93 and a random effects model is 0.69.

Assessment of risk of bias in included studies

Page 9 of 14

BMJ Open

Two review authors will assess independently the risk of bias using Cochrane's 'Risk of bias' tool for assessing risk of bias in each included study according to the following domains selection bias, performance bias, detection bias, attrition bias and selective outcome reporting bias [6]. We will resolve disagreements by discussion. We will specifically consider and report on the following sources of bias. We will grade each domain as low risk of bias, high risk of bias or unclear (lack of information or uncertainty of potential for bias). We will attempt to contact trial investigators for clarification of parameters graded as 'unclear'.

189 Dealing with missing data

190 If possible, we will conduct an intention-to-treat (ITT) analysis. We will use imputed data if computed by 191 the trial investigators using an appropriate method but will not impute missing data ourselves. If ITT 192 data are not available, we will do an available case analysis. This assumes that data are missing at 193 random. We will assess whether this assumption is reasonable by collecting data from each included 194 trial on the number of participants excluded or lost to follow-up and reasons for loss to follow-up by 195 treatment group, if reported.

196 Assessment of heterogeneity and subgroup analysis

We will examine the overall characteristics of the studies, in particular the type of participants and types of interventions, to assess the extent to which the studies are similar enough to make pooling study results sensible. We will look at the forest plots of study results to see how consistent the results of the studies are, in particular looking at the size and direction of effects. We will calculate l² which is the percentage of the variability in effect estimates that is due to heterogeneity rather than sampling error. We will consider I² values over 50% to indicate substantial inconsistency but will also consider Chi² P value. As this may have low power when the number of studies are few we will consider P < 0.1 to indicate statistical significance of the Chi² test. If there are sufficient trials we will compare the effect of treatment in the following subgroups; diffractive, refractive and hybrid multifocal IOL and year of market approval.

- 59 207 Sensitivity analysis and assessment of reporting biases

We will examine the impact of excluding studies at high risk of bias in one or more domains. If there are 10 trials or more included in a meta-analysis, we will construct funnel plots and consider tests for asymmetry for assessment of publication bias, according to Chapter 8 of the Cochrane Handbook for Systematic Reviews of Interventions [7].

212 Limitations of this study

Bias such as lack of masking and confounding factors in the studies included will affect the certainty of the estimate of effect in our study. We will aim to mitigate against this by conducting sensitivity analysis by assessing the effect of excluding low quality studies. High heterogeneity amongst studies would reduce the power of this review. One of the reasons for this could be the use of different tools to measure the prevalence and extent of optical aberrations. Understanding whether the heterogeneity is clinical or statistical will be important and, in some instances, pooling of the data in a meta-analysis may not be appropriate. Publication bias could lead to overestimation of the true effect size, so clinical trial registries will be searched to identify unpublished results where possible. Furthermore, industry sponsored studies with conflicts of interests amongst investigators could introduce bias which would need to be evaluated.

223 Patient and Public Involvement

Patients and public were not involved in the development of this protocol. The primary outcome of the
 review is patient centered.

Ethics and Dissemination Ethics approval is not required for this review, as it will only include
 published data. Findings will be published in a peer-reviewed journal and disseminated across
 ophthalmic networks. We anticipate that the findings of this work will be of interest to multiple
 stakeholders: people who have undergone cataract surgery, eye health professionals, ophthalmic
 surgeons, device manufacturers and policy makers. It will also inform researchers to where there are
 gaps in evidence and identify areas for future research.

232 Authors contribution

1 2			
3 4	233	MN c	onceived the idea for the review. CH, MK, AA and DB drafted and revised the protocol with
5	234	sugge	estions from YB, CEHF and MN who reviewed the protocol and provided feedback on the draft.
7 8	235	DB co	onstructed the search.
9 10 11 12	236	Confl	icts of interest
12 13 14 15	237	The a	uthors declare no conflict of interest.
16 17 18	238	Fundi	ing
19 20 21 22	239	CH re	ceives support from the National Institute for Health Research CL 2020-18-009
23 24 25	240	Data s	sharing statement
26 27 28	241	No ad	lditional data is available.
29 30 31 32	242		
33 34 35	243	Refer	ences
36	244	1.	Salerno, L.C., M.C. Tiveron, Jr., and J.L. Alió, Multifocal intraocular lenses: Types,
37 38	245		outcomes, complications and how to solve them. Taiwan journal of ophthalmology,
39	246		2017. 7 (4): p. 179-184.
40	247	2.	Khandelwal, S.S., et al., Effectiveness of multifocal and monofocal intraocular lenses
41	248		for cataract surgery and lens replacement: a systematic review and meta-analysis.
42 43	249		Graefe's Archive for Clinical and Experimental Ophthalmology, 2018. 257: p. 863-
44	250		875.
45	251	3.	de Silva, S.R., et al., Multifocal versus monofocal intraocular lenses after cataract
46	252		extraction. Cochrane Database Syst Rev, 2016. 12(12): p. Cd003169.
4/ 10	253	4.	X. Wan, W.W., J. Liu, T. Tong, Estimating the sample mean and standard deviation
40	254		from the sample size, median, range and/or interquartile range. BMC Med Res
50	255		Methodol, 2014: p. 135.
51	256	5.	Griffin, J.W., Calculating statistical power for meta-analysis using metapower. The
52	257		Quantitative Methods for Psychology, 2021. 17 (1): p. 24-39.
53	258	6.	Higgins, J.P., et al., The Cochrane Collaboration's tool for assessing risk of bias in
54 55	259		randomised trials. Bmj, 2011. 343 : p. d5928.
56	260	7.	Higgins JPT, T.J., Chandler J, Cumpston M, Li T, Page MJ, Welch VA (editors).
57	261		Cochrane Handbook for Systematic Reviews of Interventions version 6.2 (updated
58	262		February 2021). 2021.
59 60	263		· · ·
00			

Appendix 1. Search strategy

Ovid Medline 1946 – March 2021

Search	Search Terms	Search Results
Lines		
1	exp "Optics and Photonics"/	79373
2	(optic* or photonic*).mp.	476463
3	1 or 2	518769
4	exp Refractive Errors/ or exp Refraction, Ocular/ or exp	108238
	Astigmatism/ or exp Myopia/ or exp Visual Acuity/	
5	(aberrat* or diffract* or refract* or HOA).mp.	461904
6	4 or 5	541199
7	exp Lenses, Intraocular/	15452
8	(intraocular lens or Intra-ocular lens or intra ocular lens or IOL or	18494
	IOLs or lens prosthes* or artificial lens).mp.	
9	7 or 8	23720
10	(multifocal or multi focal or multi-focal or bifocal or bi-focal or	218357
	trifocal or tri-focal or hybrid).mp.	
11	3 and 6 and 9 and 10	515

al or multi focal or multi-focal or bifocal or bi-focal or tri-focal or hybrid).mp. nd 9 and 10

address in a system	ferred ematio	l Reporting Items for Systematic review and Meta-Analysis Protocols) 2015 checधlist: recommende c review protocol*	d items to
Section and topic	Item No	Checklist item	Page Lin
ADMINISTRATIVI	E INFC	DRMATION	
Title:		22.	
Identification	1a	Identify the report as a protocol of a systematic review	P1 L1
Update	1b	If the protocol is for an update of a previous systematic review, identify as such	P1 L1
Registration	2	If registered, provide the name of the registry (such as PROSPERO) and registration number	P3 L62
Authors:		ed	
Contact	3a	Provide name, institutional affiliation, e-mail address of all protocol authors; provide physical mailing address of corresponding author	P2 L39
Contributions	3b	Describe contributions of protocol authors and identify the guarantor of the review	P1 L23
Amendments	4	If the protocol represents an amendment of a previously completed or published protocol, identify as guch and list changes; otherwise, state plan for documenting important protocol amendments	NA
Support:			
Sources	5a	Indicate sources of financial or other support for the review	P2 L35
Sponsor	5b	Provide name for the review funder and/or sponsor	P2 L35
Role of sponsor or funder	5c	Describe roles of funder(s), sponsor(s), and/or institution(s), if any, in developing the protocol	NA
INTRODUCTION			
Rationale	6	Describe the rationale for the review in the context of what is already known	P4 L76
Objectives	7	Provide an explicit statement of the question(s) the review will address with reference to participants, interventions, comparators, and outcomes (PICO)	P4 L87
METHODS		by by	
Eligibility criteria	8	Specify the study characteristics (such as PICO, study design, setting, time frame) and report characteristics (such as years considered, language, publication status) to be used as criteria for eligibility for the review	P4 L90
Information sources	9	Describe all intended information sources (such as electronic databases, contact with study authors, trial registers or other grey literature sources) with planned dates of coverage	P6 L122
Search strategy	10	Present draft of search strategy to be used for at least one electronic database, including planned limit such that it could be repeated	P6 L22

		BMJ Open	
		1-05	
Study records:			
Data management	11a	Describe the mechanism(s) that will be used to manage records and data throughout the review $g_{\overline{\omega}}$	P6 L136
Selection process	11b	State the process that will be used for selecting studies (such as two independent reviewers) through each phase of the review (that is, screening, eligibility and inclusion in meta-analysis)	P6 L132
Data collection process	11c	Describe planned method of extracting data from reports (such as piloting forms, done independently in duplicate), any processes for obtaining and confirming data from investigators	P6 137
Data items	12	List and define all variables for which data will be sought (such as PICO items, funding sources), any pre-planned data assumptions and simplifications	P6 L141
Outcomes and prioritization	13	List and define all outcomes for which data will be sought, including prioritization of main and additional outcomes, with rationale	P5 L105
Risk of bias in individual studies	14	Describe anticipated methods for assessing risk of bias of individual studies, including whether this will be done at the outcome or study level, or both; state how this information will be used in data synthesis	P8 L147
Data synthesis	15a	Describe criteria under which study data will be quantitatively synthesised	P7 L154
	15b	If data are appropriate for quantitative synthesis, describe planned summary measures, methods of handling data and methods of combining data from studies, including any planned exploration of consistency (such as I $\frac{3}{2}$ Kendall's τ)	P7 L154
	15c	Describe any proposed additional analyses (such as sensitivity or subgroup analyses, meta-regression §	P9 L208
	15d	If quantitative synthesis is not appropriate, describe the type of summary planned	P7 L159
Meta-bias(es)	16	Specify any planned assessment of meta-bias(es) (such as publication bias across studies, selective reporting within studies)	P9 L213
Confidence in cumulative evidence	17	Describe how the strength of the body of evidence will be assessed (such as GRADE)	P9 L217
* It is strongly recom	mende	d that this checklist be read in conjunction with the PRISMA-P Explanation and Elaboration (cite whengavailable) for import	ant clarification on
the items. Amendmer	nts to a	review protocol should be tracked and dated. The copyright for PRISMA-P (including checklist) is held by the PRISMA-P (Group and is
distributed under a C	reative	Commons Attribution Licence 4.0.	-
From: Shamseer L, M meta-analysis protoco	loher I ols (PH	D, Clarke M, Ghersi D, Liberati A, Petticrew M, Shekelle P, Stewart L, PRISMA-P Group. Preferred reporting items for syste (SISMA-P) 2015: elaboration and explanation. BMJ. 2015 Jan 2;349(jan02 1):g7647.	ematic review and
		^o rotecte	
		d by cop	
		ýright.	

BMJ Open

Optical aberrations following implantation of multifocal intraocular lenses: a systematic review and meta-analysis protocol

Journal:	BMJ Open
Manuscript ID	bmjopen-2021-059350.R2
Article Type:	Protocol
Date Submitted by the Author:	25-Jul-2022
Complete List of Authors:	Henein, Christin; University College London Institute of Ophthalmology; NIHR Moorfields Biomedical Research Centre Fang, Clarissa E H; Manchester Royal Eye Hospital Bokre, Desta; University College London Institute of Ophthalmology Khan, Maaz; University College London Institute of Ophthalmology Adan, Ahmed; University College London Institute of Ophthalmology Bouremel, Yann; University College London Institute of Ophthalmology Nanavaty, Mayank; Sussex Eye Hospital; Brighton and Sussex Medical School
Primary Subject Heading :	Ophthalmology
Secondary Subject Heading:	Surgery, Evidence based practice, Ophthalmology
Keywords:	Cataract and refractive surgery < OPHTHALMOLOGY, Ophthalmology < SURGERY, OPHTHALMOLOGY

SCHOLARONE[™] Manuscripts

4 5 6	2	
n	2	and meta-analysis protocol
7	3	Authors:
8 9	4	Christin Henein* ^{1,2} , Clarissa E.H. Fang³, Desta Bokre¹, Maaz Khan¹, Ahmed Adan¹, Yann
10 11	5	Bouremel ¹ , Mayank A. Nanavaty ^{4,5}
12 13	6	
14 15	7	* Correspondence to Dr Christin Henein; c.henein@ucl.ac.uk
16 17	8	Affiliations
18	9	¹ UCL, Institute of Ophthalmology, London, UK
19 20	10	² NIHR Moorfields Biomedical Research Centre, London, UK
21	11	³ Manchester Royal Eye Hospital, Manchester, UK
22 23	12	⁴ Sussex Eye Hospital, University Hospitals Sussex NHS Foundation Trust, Eastern Road, Brighton,
24	13	United Kingdom. BN2 5BF
25 26	14	⁵ Brighton & Sussex Medical School, University of Sussex, Falmer, Brighton. United Kingdom. BN1
27	15	9PX
28 29	16	
30 31	17	
32 33	18	Corresponding author*
34 35	19	I, the Submitting Author has the right to grant and does grant on behalf of all authors of the Work (as
36 37	20	defined in the below author licence), an exclusive licence and/or a non-exclusive licence for
38 39 40 41	21	contributions from authors who are: i) UK Crown employees; ii) where BMJ has agreed a CC-BY
	22	licence shall apply, and/or iii) in accordance with the terms applicable for US Federal Government
42 43	23	officers or employees acting as part of their official duties; on a worldwide, perpetual, irrevocable,
44	24	royalty-free basis to BMJ Publishing Group Ltd ("BMJ") its licensees and where the relevant Journal is
46 47	25	co-owned by BMJ to the co-owners of the Journal, to publish the Work in BMJ Open and any other
47 48	26	BMJ products and to exploit all rights, as set out in our licence.
49 50 51	27	
52 53 54 55	28	Email addresses:
56 57	29	c.henein@ucl.ac.uk, d.bokre@ucl.ac.uk, maaz.khan.20@ucl.ac.uk, ahmed.adan.20@ucl.ac.uk,
58 59 60	30	y.bouremel@ucl.ac.uk, fangclarissa@gmail.com, mayank.nanavaty@nhs.net

1



1 2		
3	32	
4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21	33	Abstract
	34	Introduction: Multifocal IOLs are used to restore vision at different focal distances. The technology
	35	of multifocal IOLs is continually advancing. Optical aberrations a property of lenses that causes
	36	spreading of light over a region resulting in a blurred or distorted image. This study aims to
	37	systematically review investigator measured and patient reported optical aberrations following
	38	implantation of multifocal intraocular lenses during phacoemulsification surgery to treat presbyopia in
	39	adults.
	40	Methods and Analysis: We will conduct an electronic database search for randomized controlled
	41	trials, prospective non-randomized studies, observational studies in Ovid MEDLINE, Ovid EMBASE,
22 23	42	Cochrane Central Register of Controlled Trials (CENTRAL), Web of Science, Scopus, and
24 25 26 27 28 29 30 31	43	ClinicalTrials.gov in March 2021. Eligibility criteria will include quantitative articles written in English
	44	and containing data on optical aberrations. Two independent reviewers will screen titles and abstracts
	45	and extract data from full texts, reporting outcomes according to Preferred Reporting Items for
	46	Systematic Reviews and Meta-Analyses (PRISMA) guidelines. Data extraction of key characteristics
32 33	47	will be completed using customized forms. Methodological quality will be assessed using Cochrane
34 35 36 37 38 39 40 41 42	48	Handbook 6.2.
	49	
	50	Ethics and Dissemination Ethics approval is not required for this review, as it will only include
	51	published data. Findings will be published in a peer-reviewed journal and disseminated across
	52	ophthalmic networks. We anticipate that the findings of this work will be of interest to multiple
43 44	53	stakeholders: people who have undergone cataract surgery, eye health professionals, ophthalmic
45 46	54	surgeons, device manufacturers and policy makers. It will also inform researchers to where there are
47 48	55	gaps in evidence and identify areas for future research.
49 50	56	
51 52	57	Systematic review registration number: PROSPERO CRD42021271050
53 54 55 56	58	
	59	Keywords: Optics, aberration, intraocular lens, multifocal
57 58 59 60	60	Article Summary

61	Strengths	and	Limitations
----	-----------	-----	-------------

- This systematic review protocol follows the Preferred Reporting Items for Systematic
 Review and Meta-Analysis Protocols guidelines.
- This systematic review addresses a gap in the current evidence-base by providing a
 comprehensive assessment of reported optical aberrations following new and older
 generation multifocal IOL
- 67 There may be a paucity of RCTs comparing different multifocal IOLs limiting the number of
 68 paired wise meta-analysis that can be done.

70 Word count: 1507

72 Introduction

Multifocal intraocular lens (IOLs) have multiple focal lengths; if they have 2 foci, they are called
bifocal, three foci, they are trifocal. This enables the patient with a multifocal IOL to see both objects
located at a distance, intermediate distance or near to them. They are three different mechanisms to
achieve this: the technology can be refractive, diffractive or combined. Moreover, toric multifocal lens
also help to correct the problem of astigmatism [1].

Traditional monofocal IOLs provide a single point of focus. A newer enhanced monofocals and extended depth-of-focus (EDOF) IOLs which creates a single elongated focal point to enhance the depth of focus. For the purposes of this study we will assess optical aberrations following the implantation of different types of multifocal IOL and will exclude enhanced monofocal IOL as a well as EDOF IOLs.

83 It is generally accepted multifocal IOLS are good for distance and near focal distances. According to
84 the lens design they can be refractive, diffractive or combined. The technology of multifocal IOLs is
85 continually advancing. Next generation IOLs include rotationally asymmetric segmented multifocal IOL,
86 increase in the central area with the aim to improve reading acuity and improved pupil independence.

1 2		
3	87	Optical aberrations a property of lenses that causes spreading of light over a region resulting in a blurred
5	88	or distorted image. Optical aberrations can present as symptoms of glare, holes and stars. This
7	89	symptoms may limit the patient satisfaction achieved with these IOLs and is therefore an important
8 9	90	patient-centred outcomes to quantify. Spherical aberrations significantly contribute to quality of retinal
10 11	91	image and subjective refraction. Optical aberrations can be reported subjectively using questionnaires
12 13	92	or measured objectively by wavefront aberrometry analysis. Contrast sensitivity can be a more useful/
14 15	93	objective tool to assess visual function. Recent reviews that compared multifocal with monofocal IOLs
16 17	94	reported outcomes on spectacle independence, visual acuity and quality of life [2, 3]. To our knowledge
18 19	95	this is the first review comparing different multifocal IOLs with optical aberrations as the primary
20 21 22	96	outcome.
23 24	97	Review aim: We aim to systematically review investigator measured and patient reported optical
25 26	98	aberrations following implantation of multifocal intraocular lenses during phacoemulsification surgery
27 28	99	to treat presbyopia.
29 30 31 32	100	Methods and analysis
33 34 35	101	Inclusion and exclusion criteria
36 37 38	102	Types of studies
39 40 41	103	We will include randomised controlled trials (RCTs) and non-randomised interventional studies
42 43	104	(retrospective or prospective studies). Observational studies will allow us to provide real-world
44 45 46	105	estimates of reported optical aberrations.
47 48 49	106	Types of participants
50 51	107	We will include adults undergoing cataract surgery and desiring correction for anticipated post-operative
52 53 54	108	presbyopia. We will exclude studies with participants with history of laser refractive surgery.
55 56 57 58 59 60	109	Intervention(s)

Page 6 of 15

BMJ Open

1 2		
3	110	We will include small incision cataract extraction and multifocal lens implantation. All types of refractive
4 5	111	and diffractive multifocal lenses will be included in this review.
6 7		
8 9	112	Comparator(s)
10 11		
12 13	113	We will include multifocal intraocular lens or alternative type of multifocal IOL as comparators such as
13 14	114	diffractive, refractive and hybrid technologies.
15 16		
17 18	115	Outcomes
19 20	110	
21 22	116	Primary outcome
23 24	117	Participant reported ontical aberrations such as but not limited to glare and balos
25 26	117	a and halos.
27	118	Secondary outcomes
28 29		
30 31	119	Measured optical aberrations with wavefront analysis
32 33	120	Contrast sensitivity as measured by validated test
34 35	121	· Spectacle independence as determined by the participant or as determined by the
36 37	122	investigator
38 39	123	Uncorrected near vision acuity
40 41	124	Uncorrected distance vision acuity
42	125	Uncorrected intermediate distance
43 44	126	Mean spherical equivalent within ±0.5D
45 46	127	% of eyes seeing 20/20 or better for distance
47 48	128	% of eyes seeing 20/40 or better for distance
49 50	129	% of eyes seeing J2 or better for near vision
51 52	130	YAG laser capsulotomy rates
53 54		
55 56	131	Search strategy
57 59		
58 59		
60		

BMJ Open

In collaboration with an information specialist a comprehensive search strategy will be performed using a combination of controlled vocabulary and free text terms. Searches will be conducted in Ovid MEDLINE, Ovid EMBASE, Cochrane Central Register of Controlled Trials (CENTRAL), Web of Science, Scopus and ClinicalTrials.gov bibliographic databases. Other relevant sources will be searched such as reference lists of existing systematic reviews of multifocal IOLs. Please see supplementary file 1 for strategy syntax for Ovid Medline 1946 – March 2021 electronic database. We will download references identified in searches (electronic database and additional searches) into Endnote X9 reference management software and remove duplicate abstracts.

140 Study selection

The screening process will be undertaken using Endnote X9. Two review authors will independently assess the titles and abstracts of records and exclude papers that do not meet eligibility criteria. We will obtain the full text of the remaining papers, and at least two authors will assess the papers against the inclusion criteria for the review to determine their eligibility for inclusion. Non-English language papers will be excluded. The review authors will resolve disagreements through mediation with a third reviewer.

147 Data extraction

Two review authors will extract data independently using Excel. We will pre-pilot the data extraction template. We will resolve discrepancies by discussion. Two attempts will be made to contact trial investigators for missing data. Data will be directly imported into Review Manager 5 (RevMan 5); and the accuracy of the data import will be checked by one author.

- 5 152 We will collect the following information on study characteristics:
 - Study design: parallel group RCT/within-person RCT/one or both eyes reported
 - Participants: country, total number of participants, age, sex, inclusion and exclusion criteria
- ⁵⁸ 156
 ⁶⁰ 157
 Intervention and comparator details: type of multifocal IOL, including number of people (eyes) randomised to each group

1 ว		
2 3 4	158	Primary and secondary outcomes as measured and reported in the trials
5 6 7	159	Length of follow-up
8 9	160	Date of publication
10 11 12	161	Date multifocal IOL received market approval (FDA PMA, CE mark)
13 14 15	162	Sample size
16 17	163	Funding and conflicts of interest
18 19 20	164	Trial registration, if available
21 22 23 24	165	Data synthesis
25 26	166	We will pool data where there are at least two studies for a particular type of mIOL reporting the same
27 28	167	outcome. We will use a random-effects model in RevMan 5. But if there are fewer than three trials in a
29 30	168	comparison we will use a fixed-effect model. If there is inconsistency between individual study results
31 32	169	such that a pooled result may not be a good summary of the individual trial results — for example, the
33 34	170	effects are in different directions or $I^2 > 50\%$ and $P < 0.1$ — we will not pool the data but will describe
35 36	171	the pattern of the individual study results. If there is statistical heterogeneity we may pool the data if all
37	172	the effect estimates are in the same direction, such that a pooled estimate would seem to provide a
39 40	173	good summary of the individual trial results.
41 42 43	174	We will extract the following data from each included study for intervention and comparator groups
44 45 46	175	separately.
47	176	Number of events and number of participants on which outcome data collected for
48 49 50	177	dichotomous variables
51 52	178	Mean, standard deviation and number of participants on which outcome measured for
53 54	179	continuous variables
55 56	180	For multi-arm studies we will use data relevant to our intervention and comparator groups. If two
57 58 59 60	181	groups contain relevant data we will combine groups using the calculator within RevMan 5. If standard

8

BMJ Open

deviation is not available we will use information from confidence intervals and P values, where
possible, to estimate it, using the RevMan 5 calculator [4].

For the primary outcome a power calculation will made using *metapower* package in R (rstudio.com) to calculate the statistical power for meta-analysis based on Cohen's *d* [5]. We expect to find at least 10 studies with sample sizes of at least 40 participants and we anticipate considerable statistical heterogeneity l²=50%, with an estimated effect size of 0.35. Based on the aforementioned parameters the estimated power for a fixed effects model is 0.93 and a random effects model is 0.69.

₉ 189

Assessment of risk of bias in included studies

Two review authors will assess independently the risk of bias using Cochrane's 'Risk of bias' tool for assessing risk of bias in each included study according to the following domains selection bias, performance bias, detection bias, attrition bias and selective outcome reporting bias [6]. We will resolve disagreements by discussion. We will specifically consider and report on the following sources of bias. We will grade each domain as low risk of bias, high risk of bias or unclear (lack of information or uncertainty of potential for bias). We will attempt to contact trial investigators for clarification of parameters graded as 'unclear'.

197 Dealing with missing data

198 If possible, we will conduct an intention-to-treat (ITT) analysis. We will use imputed data if computed by 199 the trial investigators using an appropriate method but will not impute missing data ourselves. If ITT 200 data are not available, we will do an available case analysis. This assumes that data are missing at 201 random. We will assess whether this assumption is reasonable by collecting data from each included 202 trial on the number of participants excluded or lost to follow-up and reasons for loss to follow-up by 203 treatment group, if reported.

204 Assessment of heterogeneity and subgroup analysis

We will examine the overall characteristics of the studies, in particular the type of participants and types
 of interventions, to assess the extent to which the studies are similar enough to make pooling study

results sensible. We will look at the forest plots of study results to see how consistent the results of the studies are, in particular looking at the size and direction of effects. We will calculate I² which is the percentage of the variability in effect estimates that is due to heterogeneity rather than sampling error. We will consider I² values over 50% to indicate substantial inconsistency but will also consider Chi² P value. As this may have low power when the number of studies are few we will consider P < 0.1 to indicate statistical significance of the Chi² test. If there are sufficient trials we will compare the effect of treatment in the following subgroups; diffractive, refractive and hybrid multifocal IOL and year of market approval.

Sensitivity analysis and assessment of reporting biases

We will examine the impact of excluding studies at high risk of bias in one or more domains. If there are 10 trials or more included in a meta-analysis, we will construct funnel plots and consider tests for asymmetry for assessment of publication bias, according to Chapter 8 of the Cochrane Handbook for Systematic Reviews of Interventions [7].

Limitations of this study

Bias such as lack of masking and confounding factors in the studies included will affect the certainty of the estimate of effect in our study. We will aim to mitigate against this by conducting sensitivity analysis by assessing the effect of excluding low quality studies. High heterogeneity amongst studies would reduce the power of this review. One of the reasons for this could be the use of different tools to measure the prevalence and extent of optical aberrations. Understanding whether the heterogeneity is clinical or statistical will be important and, in some instances, pooling of the data in a meta-analysis may not be appropriate. Publication bias could lead to overestimation of the true effect size, so clinical trial registries will be searched to identify unpublished results where possible. Furthermore, industry sponsored studies with conflicts of interests amongst investigators could introduce bias which would need to be evaluated.

Patient and Public Involvement

BMJ Open

2		
3 4	232	Patients and public were not involved in the development of this protocol. The primary outcome of the
5 6	233	review is patient centered.
7 8	234	Ethics and Dissemination Ethics approval is not required for this review, as it will only include
9	235	published data. Findings will be published in a peer-reviewed journal and disseminated across
10 11	236	ophthalmic networks. We anticipate that the findings of this work will be of interest to multiple
12 13	237	stakeholders: people who have undergone cataract surgery, eye health professionals, ophthalmic
14 15	238	surgeons, device manufacturers and policy makers. It will also inform researchers to where there are
16 17 18	239	gaps in evidence and identify areas for future research.
19 20 21 22	240	Authors contribution
23 24	241	MN conceived the idea for the review. CH, MK, AA and DB drafted and revised the protocol with
25 26	242	suggestions from YB, CEHF and MN who reviewed the protocol and provided feedback on the draft.
27 28 29	243	DB constructed the search.
30 31 32	244	Conflicts of interest
33 34 35	245	The authors declare no conflict of interest.
37 38 30	246	Funding
40 41 42	247	CH receives support from the National Institute for Health Research CL 2020-18-009
43 44 45	248	Data sharing statement
46 47 48	249	No additional data is available.
49 50 51 52	250	
53 54 55	251	References
56 57 58 59 60	252 253 254	 Salerno, L.C., M.C. Tiveron, Jr., and J.L. Alió, <i>Multifocal intraocular lenses: Types,</i> <i>outcomes, complications and how to solve them.</i> Taiwan journal of ophthalmology, 2017. 7(4): p. 179-184.

1

2. Khandelwal, S.S., et al., Effectiveness of multifocal and monofocal intraocular lenses for cataract surgery and lens replacement: a systematic review and meta-analysis. Graefe's Archive for Clinical and Experimental Ophthalmology, 2018. 257: p. 863-875.

- de Silva, S.R., et al., Multifocal versus monofocal intraocular lenses after cataract 3. extraction. Cochrane Database Syst Rev, 2016. 12(12): p. Cd003169.
- X. Wan, W.W., J. Liu, T. Tong, Estimating the sample mean and standard deviation 4. from the sample size, median, range and/or interquartile range. BMC Med Res Methodol, 2014: p. 135.
- Griffin, J.W., Calculating statistical power for meta-analysis using metapower. The 5. Quantitative Methods for Psychology, 2021. 17(1): p. 24-39.
- Higgins, J.P., et al., The Cochrane Collaboration's tool for assessing risk of bias in 6. randomised trials. Bmj, 2011. 343: p. d5928.
- 7. Higgins JPT, T.J., Chandler J, Cumpston M, Li T, Page MJ, Welch VA (editors), Cochrane Handbook for Systematic Reviews of Interventions version 6.2 (updated February 2021). 2021.

Ovid Medline

Search	Search Terms
Lines	
1	exp "Optics and Photonics"/
2	(optic* or photonic*).mp.
3	1 or 2
4	exp Refractive Errors/ or exp Refraction, Ocular/ or exp
	Astigmatism/ or exp Myopia/ or exp Visual Acuity/
5	(aberrat* or diffract* or refract* or HOA).mp.
6	4 or 5
7	exp Lenses, Intraocular/
8	(intraocular lens or Intra-ocular lens or intra ocular lens or IOL or
	IOLs or lens prosthes* or artificial lens).mp.
9	7 or 8
10	(multifocal or multi focal or multi-focal or bifocal or bi-focal or
	trifocal or tri-focal or hybrid).mp.
11	3 and 6 and 9 and 10 📈
Ovid Emb	ase

Ovid Embase

Search	Search Terms
Lines	
1	exp optics/
2	(optic* or photonic*).mp
3	1 or 2
4	exp eye refraction/
5	(aberrat* or diffract* or refract* or HOA).mp
6	4 or 5
7	exp lens implant/
8	(intraocular lens or Intra-ocular lens or intra ocular lens or IOL or
	IOLs or lens prosthes* or artificial lens).mp.
9	7 or 8
10	(multifocal or multi focal or multi-focal or bifocal or bi-focal or
	trifocal or tri-focal or hybrid).mp
11	3 and 6 and 9 and 10

Section and topic	Item No	Checklist item	Page Line
ADMINISTRATIV	E INFO	DRMATION	
Title:		22.	
Identification	1a	Identify the report as a protocol of a systematic review	P1 L1
Update	1b	If the protocol is for an update of a previous systematic review, identify as such	P1 L1
Registration	2	If registered, provide the name of the registry (such as PROSPERO) and registration number	P3 L62
Authors:			
Contact	3a	Provide name, institutional affiliation, e-mail address of all protocol authors; provide physical mailing address of corresponding author	P2 L39
Contributions	3b	Describe contributions of protocol authors and identify the guarantor of the review	P1 L23
Amendments	4	If the protocol represents an amendment of a previously completed or published protocol, identify as such and list changes; otherwise, state plan for documenting important protocol amendments	NA
Support:		<u>e</u>	
Sources	5a	Indicate sources of financial or other support for the review	P2 L35
Sponsor	5b	Provide name for the review funder and/or sponsor	P2 L35
Role of sponsor or funder	5c	Describe roles of funder(s), sponsor(s), and/or institution(s), if any, in developing the protocol	NA
INTRODUCTION		Octo	
Rationale	6	Describe the rationale for the review in the context of what is already known	P4 L76
Objectives	7	Provide an explicit statement of the question(s) the review will address with reference to participants, Interventions, comparators, and outcomes (PICO)	P4 L87
METHODS		14 by	
Eligibility criteria	8	Specify the study characteristics (such as PICO, study design, setting, time frame) and report characteristics (such as years considered, language, publication status) to be used as criteria for eligibility for the review	P4 L90
Information sources	9	Describe all intended information sources (such as electronic databases, contact with study authors, trial registers or other grey literature sources) with planned dates of coverage	P6 L122
Search strategy	10	Present draft of search strategy to be used for at least one electronic database, including planned limited such that it could be repeated	P6 L22
		copyright.	

BMJ Open PRISMA-P (Preferred Reporting Items for Systematic review and Meta-Analysis Protocols) 2015 checelist: recommended items to

Page 15 of 15

		BMJ Open	
Study records:		93 55	
Data management	11a	Describe the mechanism(s) that will be used to manage records and data throughout the review $\begin{cases} 9 \\ \varpi \end{cases}$	P6 L13
Selection process	11b	State the process that will be used for selecting studies (such as two independent reviewers) through each phase of the review (that is, screening, eligibility and inclusion in meta-analysis)	P6 L13
Data collection process	11c	Describe planned method of extracting data from reports (such as piloting forms, done independently in duplicate), any processes for obtaining and confirming data from investigators	P6 137
Data items	12	List and define all variables for which data will be sought (such as PICO items, funding sources), any pre-planned data assumptions and simplifications	P6 L14
Outcomes and prioritization	13	List and define all outcomes for which data will be sought, including prioritization of main and additional outcomes, with rationale	P5 L10
Risk of bias in individual studies	14	Describe anticipated methods for assessing risk of bias of individual studies, including whether this will be done at the outcome or study level, or both; state how this information will be used in data synthesis	P8 L14
Data synthesis	15a	Describe criteria under which study data will be quantitatively synthesised	P7 L15
	15b	If data are appropriate for quantitative synthesis, describe planned summary measures, methods of handling data and methods of combining data from studies, including any planned exploration of consistency (such as I ² / ₃ Kendall's τ)	P7 L15
	15c	Describe any proposed additional analyses (such as sensitivity or subgroup analyses, meta-regression	P9 L20
	15d	If quantitative synthesis is not appropriate, describe the type of summary planned	P7 L15
Meta-bias(es)	16	Specify any planned assessment of meta-bias(es) (such as publication bias across studies, selective reporting within studies)	P9 L21
Confidence in cumulative evidence	17	Describe how the strength of the body of evidence will be assessed (such as GRADE)	P9 L21
* It is strongly recomr the items. Amendmen distributed under a Cr	nende ts to a eative	ed that this checklist be read in conjunction with the PRISMA-P Explanation and Elaboration (cite where available) for import a review protocol should be tracked and dated. The copyright for PRISMA-P (including checklist) is here by the PRISMA-P (Commons Attribution Licence 4.0.	ant clarificatio Group and is
From: Shamseer L, M meta-analysis protoco	oher l ols (PF	D, Clarke M, Ghersi D, Liberati A, Petticrew M, Shekelle P, Stewart L, PRISMA-P Group. Preferred reporting items for systems f	ematic review
		t. Protected by c	
		öpyright.	