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An observational study of the association between COVID-19 vaccination rates and participation in a vaccine lottery

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An observational study of the association between COVID-19 vaccination rates and participation in a vaccine lottery

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Abstract

Objectives

Are financial incentives from entry in a vaccine lottery associated with a higher probability of vaccination for COVID-19?

Design

A cross-sectional study with adjustment for covariates using logistic regression

Setting

October and November 2021, Australia.

Participants

2,375 respondents of the Taking the Pulse of the Nation Survey

Interventions

Participation in the Million Dollar Vaccination Lottery

Primary and secondary outcome measures

The proportion of respondents who had any vaccination, a first dose only, or second dose compared to all other respondents

Results

Those who participated in the lottery were 2.28 times more likely to be vaccinated after the lottery opened on October 1st than those who did not. This was driven by those receiving second doses. Lottery participants were 1.38 times more likely to receive their first dose after October 1st and 2.31 times more likely to receive their second dose after October 1st.

Conclusions

Lottery participation is associated with a higher vaccination rate, with this effect dominated by a higher rate of second doses. There is a smaller insignificant difference for those receiving a first dose, suggesting lotteries may not be as effective at reducing vaccine hesitancy, compared to 'nudging' people to get their second dose more quickly.

Strengths and limitations of this study

- We use a nationally representative sample of individuals.
- We distinguish between the association between lottery participation and first and second doses.
- We adjust for a rich set of individual characteristics associated with vaccination status
- The strong association for second dose vaccinations may reflect some individuals who had already had scheduled their second dose after the lottery opened, potentially leading to an overestimate of the association.

Ethics statement

This study was approved by the University of Melbourne Faculty of Business and Economics & Melbourne Business School Human Ethics Advisory Group (Ref: 2056754.1).

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Background

 The effectiveness of using financial incentives to increase vaccination rates for the SARS-COV-2 virus is uncertain.¹⁻³ Vaccination lotteries were established to increase vaccination rates, including across at least 21 states in the United States in 2021. Most notable is the lottery in Ohio run during May-June 2021 with 5 x \$1 million prizes over five weeks. Four studies using state-level data on vaccination rates over time, and comparing states with lotteries with those with none, found lotteries were ineffective in increasing vaccination rates.⁴⁻⁷ Four studies found an increase in vaccination rates⁸⁻¹¹, including one that found increases in vaccination rates in low-income counties in Ohio but not in high-income counties.⁹ One study examined the use of financial incentives across 24 states across the U.S., mainly including lotteries, and found no overall impact on vaccination rates.¹²

Our research uses individual-level data to examine the association between vaccination rates and participation in a vaccination lottery held in Australia in October 2021. The Million Dollar Vaccination Lottery (M\$V) was open to entries from 1st to 31st of October 2021 for those aged 18 years or over who were Australian residents. Unlike some U.S. lotteries where the whole population was automatically entered the lottery, in M\$V, each person entered voluntarily by completing a short webform providing their contact details. At entry, participants could already be fully vaccinated or have had the first vaccination. Proof of vaccination was not required at entry into the lottery though individuals had to tick a box on the webpage stating that they had at least their first dose. If they were chosen to receive a prize (a provisional winner), they were required to show proof of full vaccination in the form of a government-approved electronic vaccination certificate. To claim a prize full vaccination must have occurred before 13th December, or no later than 13th January, depending on the required interval between first and second doses, which may vary across States and be up to 12 weeks. Only one entry per person was allowed.

M\$V was funded by an alliance of philanthropic organisations coordinated by the Summer Foundation. The lottery had a \$AU 1 million (\$US 0.72 million) Grand Prize in cash and a total of 3,100 daily prizes of \$AU 1,000, with a total prize pool of \$AU 4.1 million. Each entrant was eligible for the Grand Prize draw and the daily draw on the entry date. The daily prizes were in the form of a gift card that could be used at a range of participating stores. The lottery was accompanied by a \$AU 3 million marketing campaign led by Sayers that included peak-time TV, radio, and full-page national and regional newspaper advertising, extensive social media advertising, and outdoor media (e.g., electronic posters at bus stops and shopping centres). The campaign targeted culturally and

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linguistically diverse audiences and included advertising in languages such as Mandarin, Arabic, Cantonese, and Vietnamese, and areas with high populations of Indigenous people. As the campaign progressed, the targeting became more granular and nuanced in response to the analysis of data regarding the reach of the campaign, competition entrants, and vaccination rates in specific geographic locations throughout Australia. In response to concerns raised on social media about M\$V being a scam, the campaign pivoted to engage and profile daily draw winners in paid and to provide social proof about the legitimacy of M\$V. When the lottery closed, 2,744,974 Australians had entered, representing 13.7% of the adult population.

The context of the lottery was when Australia was well on its way to meeting vaccination targets. Australia's vaccination program started in March 2021. On the 30th of September, just before the lottery opened, vaccination rates had steadily increased to 77.8% percent of the population over 16 years old with a first dose and 54.2% with a second dose. New South Wales (NSW) and Victoria, the two most populous states, had experienced outbreaks since July 2021 and were under various forms of lockdown at the end of September, including night-time curfews in Victoria, closure of retail businesses and hospitality, and continuing bans on travel. Lockdowns in NSW were more targeted at specific LGAs with high case numbers. All eight states and territories agreed to a national roadmap on the 6th of August, with states individually releasing precise targets of population vaccination rates that were linked to the lifting of restrictions throughout the last quarter of 2021, with some targets being at the time the lottery was open. For example, in Victoria, the targets were 70% of the population aged 16 and over, (reached on 21st October), 80% (reached on 29th October), and 90% of 12+ years (reached on 18th November) with second dose. These targets provided non-financial incentives to get a second dose (referred to as 'fully vaccinated' at the time) as restrictions were eased when targets were met, with restrictions largely non-existent after the 90% target was reached.

The lottery provided the potential to receive financial incentives to encourage receipt of the first dose for those not vaccinated and provided incentives to those with a first dose to schedule a second dose if they had not already done so. Those with a first dose may already have had their second dose scheduled during October given the recommended fixed interval between doses, and so the lottery would not influence this group unless they changed their scheduled appointment to receive their second dose earlier. There were also those with a first dose who had not scheduled their second at the time the lottery opened. Those who already

had their second dose before the lottery opened could still enter the lottery, but their vaccination status would not be affected by the lottery.

Methods

Patient and public involvement statement. There was no patient or public involvement in this study.

Data and participants. The Taking the Pulse of the Nation (TTPN) Survey was used to collect data. This is run by the Melbourne Institute and was administered every week from April 2020 and every two weeks from January 2021. Each wave includes 1,200 respondents, so it is a repeated cross-section. This paper uses data from 2,400 respondents in Waves 44 and 45 conducted in November 2021 after the lottery was closed at the end of October.

Data were collected by a commercial provider using a mixed-mode procedure. For each wave, 400 respondents were interviewed by telephone, and 800 respondents completed a web survey. The survey provider constructed the sampling frame from a diverse set of continuously updated proprietary databases. The survey sampling procedure followed strict quotas for six states and the Australian Capital Territory (ACT). Each wave includes 600 men and 600 women, and the shares of respondents for each state and ACT are proportional to the population of that state or territory. Each survey wave takes up to six days until the gender/state quotas are reached. Waves 44 and 45 were in the field between 1st and 6th November and 15th to 20th November. The raw share of each state/location/gender/age-group strata in the survey sample is not necessarily the same as the share of this stratum in the population. For each survey wave, post-stratification inverse probability weights are calculated provided based on Greater Capital City Statistical Area (GCCSA) or 'Rest of State' for each state using respondents' postcode, age group (18-24, 24-35, 35-44, 45-54, 55-64, 64-75), and gender.

Study design and hypothesis. This is a cross-sectional observational study that examines the association between entering the lottery and the probability of receiving a vaccination after the lottery opened on October 1st. The study design exploits information on the month individuals received their first or second dose of a COVID vaccine which was asked in Waves 44 and 45 after the lottery had closed.

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Variables. Participants were asked the following questions during Waves 44 and 45 in November 2021 to determine their vaccination status. "*Are you willing to have the COVID-19 vaccine? (1) Yes, (2) No, (3) Don't Know (4), I have had the first dose of the vaccine only (5), I have had the first and second dose of the vaccine.*" If they answered option (4) they were asked the month of their first vaccination. If they answered option (5), they were asked the month of their second vaccination. They were separately asked, "*Did you enter the Million Dollar Vax Lottery? (1) Yes, 2) No.*" The main outcome variable is binary and equal to one for those who reported receiving any vaccination (first or second dose) after the lottery opened in October and is zero for the rest of respondents (including those who remained unvaccinated or those who received their first or second dose before October). In addition, we also separately analysed those who had their first dose after the lottery opened and those who had their second dose after the lottery opened.

TTPN asks a range of questions known to be associated with vaccination status, so these were included as independent variables in the analysis. We include indicators for male, age categories (25-34; 35-44; 45-49; 50-54; 55-64; 65-74; 75+), having a child under 18, income categories (25-50; 50-75; 75 percentile+), education categories (high school graduates; some college; university and above), and categories of the industry relative to the unemployed (agriculture; mining; manufacturing; electricity; construction; wholesale; retail; food services; transport; information media; insurance services; real estate services; professional, scientific and technical services; administrative services; other). Indicators for states (VIC, QLD, SA, WA, others (ACT, TAS, NT)) and living in a rural area are included. Indicators for financial stress, policy satisfaction (satisfied; not satisfied), voting preferences (liberal or national; labour; greens or democrats) are included, and an indicator for wave 45 (15 - 19, November).

The vaccination rates of individuals could be associated with the vaccination rates of others in their LGA through neighbourhood peer effects, the location of vaccination providers, and other LGA-specific factors. In addition, M\$V targeted LGAs with low vaccination rates, and so LGA vaccination rates would be associated with participation in the lottery. We, therefore, merge data on LGA-level vaccination rates using each respondent's postcode of residence.

Statistical analysis. Data are analysed using logistic regression using the outcome variable (vaccinated after October 1st, 2021) and the above covariates as independent variables to adjust for observed differences between those participating in the lottery and those who did not. Separate regressions are conducted for those receiving their first vaccination after October 1st and those receiving their second vaccination after October 1st. Results are reported as odds ratios and marginal effects of the difference in the probability of being vaccinated. We exclude respondents who did not know the month they were vaccinated.

Results

Of 2,400 respondents, 2,375 responded to the vaccination question. A further 13 respondents did not know the month they received their first vaccination, leaving 2,362 for the analysis of receipt of the first dose. At the time survey was completed in November, after the lottery had closed, 59.9% of all respondents had received two doses, 6.1% had only their first, and 22.3% were willing to be vaccinated but had not yet received their first dose, 7% were unwilling to be vaccinated, and 4.7% were unsure.

Table 1	: Des	criptive	Statistics
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	Full S	ample	In lo	ttery	Not in	lottery
	Mean	Std.	Mean	Std.	Mean	Std.
Proportion receiving any dose after October 1 st (n=2,362)	0.252	0.434	0.393	0.489	0.224	0.417
Proportion receiving first dose after October 1st (n=2,362)	0.089	0.285	0.118	0.323	0.083	0.276
Proportion receiving second dose after October 1st (2,375)	0.208	0.406	0.337	0.473	0.181	0.385
Lottery entrant	0.170	0.376	1.000	0.000	0.000	0.000
Male	0.485	0.500	0.415	0.493	0.500	0.500
Age 18 - 24	0.116	0.320	0.098	0.298	0.120	0.325
Age 25 - 34	0.192 🧹	0.394	0.184	0.388	0.194	0.396
Age 35 - 44	0.173	0.378	0.188	0.391	0.170	0.375
Age 45 - 49	0.084	0.278	0.105	0.307	0.080	0.271
Age 50 - 54	0.081	0.273	0.127	0.334	0.071	0.258
Age 55 - 64	0.152	0.359	0.192	0.395	0.144	0.351
Age 65 - 74	0.119	0.324	0.085	0.280	0.126	0.332
Age 75 above	0.082	0.275	0.020	0.139	0.095	0.294
Having a child below 18	0.310	0.463	0.321	0.467	0.308	0.462
Not graduated high school/NA	0.162	0.368	0.141	0.348	0.166	0.372
Highschool graduated	0.175	0.380	0.152	0.359	0.179	0.384
Some college	0.307	0.461	0.325	0.469	0.303	0.460
University and above	0.357	0.479	0.383	0.487	0.351	0.478
Income: below 25 percentile	0.188	0.391	0.131	0.338	0.199	0.400
Income: 25 - 50 percentile	0.288	0.453	0.279	0.449	0.290	0.454
Income: 50 - 75 percentile	0.251	0.433	0.252	0.435	0.250	0.433
Income: 75 and above percentile	0.273	0.446	0.338	0.474	0.260	0.439
Industry: agriculture, forestry and fishing	0.014	0.119	0.011	0.105	0.015	0.122
Industry: mining	0.008	0.089	0.011	0.105	0.007	0.085
Industry: manufacturing	0.026	0.159	0.021	0.143	0.027	0.162
Industry: electricity, gas, water and waste service	0.013	0.114	0.003	0.052	0.015	0.123
Industry: construction	0.030	0.172	0.033	0.178	0.030	0.171
Industry: wholesale trade	0.013	0.113	0.018	0.133	0.012	0.109
Industry: retail trade	0.071	0.257	0.092	0.289	0.067	0.250
Industry: accommodation and food services	0.021	0.143	0.014	0.119	0.022	0.147
Industry: transport, postal and warehousing	0.028	0.166	0.009	0.096	0.032	0.177
Industry: media and telecommunication	0.025	0.158	0.026	0.158	0.025	0.158
Industry: financial and insurance services	0.044	0.205	0.028	0.164	0.047	0.212

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Industry: rental, hiring and real estate services	0.009	0.093	0.006	0.080	0.009	0.095
Industry: professional, scientific and technical	0.043	0.203	0.045	0.208	0.043	0.202
Industry: administrative and support services	0.019	0.138	0.020	0.142	0.019	0.137
Industry: public administration and safety	0.022	0.146	0.033	0.178	0.019	0.138
Industry: education and training	0.039	0.194	0.053	0.223	0.036	0.187
Industry: health care and social assistance	0.061	0.240	0.082	0.275	0.057	0.232
Industry: arts and recreation services	0.011	0.104	0.016	0.126	0.010	0.099
Industry: other services	0.059	0.236	0.054	0.226	0.060	0.238
Industry: don't know, refused, unemployed	0.443	0.497	0.426	0.495	0.446	0.497
Living in rural	0.316	0.465	0.697	0.460	0.682	0.466
NSW	0.328	0.470	0.269	0.444	0.340	0.474
VIC	0.265	0.442	0.327	0.470	0.253	0.435
QLD	0.203	0.402	0.194	0.396	0.205	0.404
SA	0.071	0.257	0.059	0.235	0.073	0.261
WA	0.102	0.303	0.126	0.333	0.097	0.296
ACT, TAS, NT	0.031	0.174	0.025	0.156	0.033	0.178
Fully Vaccinated rate by LGA	78.472	14.048	79.774	11.593	78.205	14.487
With Financial Stress	0.436	0.496	0.448	0.498	0.433	0.496
Satisfied with policy	0.428	0.495	0.437	0.497	0.426	0.495
Not satisfied with policy	0.251	0.434	0.212	0.409	0.259	0.438
Indifferent with policy	0.321	0.467	0.351	0.478	0.314	0.464
Voting liberal or national	0.342	0.475	0.328	0.470	0.345	0.476
Voting labour	0.324	0.468	0.350	0.477	0.319	0.466
Voting greens or democrats	0.114	0.318	0.089	0.285	0.119	0.324
Voting others/no preference	0.219	0.414	0.233	0.423	0.217	0.412
Wave 44 (1 - 6, Nov 2021)	0.500	0.500	0.466	0.499	0.507	0.500
Wave 45 (15 – 19, Nov 2021)	0.500	0.500	0.534	0.499	0.493	0.500
Number of observations	2,375		439		1,936	

Notes: Numbers are weighted.

Table 1 shows the weighted descriptive statistics of the sample used in the analysis and compares those who participated in the lottery with those who did not. Seventeen percent of respondents participated in the lottery. After the lottery opened on October 1st, 25.2% of respondents received a vaccination (either first or second dose). For those who entered the lottery, 39.3% received a vaccination after the lottery opened on October 1st, compared to 22.4% of those who did not enter the lottery. After the lottery opened, 8.9% of respondents received their first dose. The percentage of those who entered the lottery and who received their first dose after it opened was 11.8%, compared to 8.3% for those who did not enter the lottery. The proportion who received their second dose after the lottery opened was higher at 20.8%. For those who entered the lottery, 33.7% received their second dose after the lottery.

Those who participated in the lottery were more likely to be female, slightly more likely to be under 35, more likely to be between 50 and 64 years old, and less likely to be over 65. Those who participated in the lottery were likely to have a higher income. There was also a higher proportion of lottery participants from Victoria.

Table 2: Adjusted and Unadjusted Regressions

	Any dose after	First dose after	Second dose after
	October 1st	October 1st	October 1st
Adjusted analysis			
Lottery entrant	2.281***	1.376	2.312***
(Odds Ratio, 95% CI)	(1.734 to 3.002)	(0.911 to 2.078)	(1.741 to 3.070)
Change in probability	0.156***	0.025	0.146***
(95% CI)	(0.100 to 0.211)	(-0.009 to 0.059)	(0.092 to 0.199)
Unadjusted analysis			
Lottery entrant	2.249***	1.472*	2.300***
(Odds Ratio, 95% CI)	(1.732 to 2.919)	(0.990 to 2.190)	(1.756 to 3.012)
Change in probability	0.169***	0.035	0.156
(95% CI)	(0.111 to 0.228)	(-0.004 to 0.074)	(0.100 to 0.212)
Number of observations	2,362	2,362	2,375

Notes: Results are based on logistic regressions and are all weighted. Respondents who serve as a baseline for categorical variables are in the youngest age group (18 - 24), income is below 25 percentile, education below high school, being unemployed or do not know the industry that they are in, living in NSW, without voting preference, and indifferent policy satisfaction. * = p value<0.10; ** = p value<0.05; *** = p value<0.01.

Table 2 presents the results from the unadjusted logistic regressions that include only the lottery dummy variable as an independent variable, and from the adjusted logistic regressions that include all covariates in Table 1 as independent variables (full results in Appendix 1). The differences between the adjusted and unadjusted models are small. Lottery participation is associated with a higher proportion of respondents having any dose after October 1st. Those who entered the lottery were 2.28 times more likely to have a vaccination after October 1st compared to everyone else. This is equivalent to an increase in the probability of a second dose after October 1st of 0.156 (95% CI 0.100 to 0.211: 15.6 percentage points) compared to everyone else. Lottery entry was associated with a 0.025 (95% CI -0.009 to 0.059) increase in the probability of getting the first dose after October 1st, but this was not statistically significant in the adjusted analysis, with the association driven by people getting their second dose.

The full results (Appendix 1) show that males, those in older age groups, those with children under 18, those working in accommodation and food services, public admin and safety, and other services were less likely to receive any vaccine after October 1st: that is they were more likely to have been vaccinated earlier. There is a strong age gradient suggesting that older people were more likely to get vaccinated before October 1st. Those in rental, hiring, and real estate services were more likely to get vaccinated after October 1st compared to those who were unemployed.

Table 3: Association with participation in lottery

	Odds ratio	95%	CI	
MaleAge 25 - 34Age 35 - 44Age 45 - 49Age 50 - 54Age 55 - 64Age 65 - 74Age 75 aboveHaving a child under 18HS graduatedSome collegeUniversity and aboveIncome: 25 - 50 percentileIncome: 50 - 75 percentileIncome: 75 percentile and aboveIndustry: agriculture, forestry and fishingIndustry: miningIndustry: electricity, gas, water and waste servicesIndustry: retail tradeIndustry: retail tradeIndustry: media and telecommunicationIndustry: financial and insurance servicesIndustry: retail, hiring, and real estate servicesIndustry: administrative and support services	0.766*	0.583		
	1.035	0.641	1.67	
Age 35 – 44	1.213	0.740	1.99	
Age 45 – 49	1.339	0.764	2.34	
	1.862**	1.071	3.23	
Age 55 – 64	1.340	0.814	2.20	
Age 65 – 74	0.553*	0.294	1.04	
Age 75 above	0.172***	0.069	0.42	
Having a child under 18	0.897	0.658	1.22	
HS graduated	0.835	0.524	1.33	
-	1.058	0.694	1.61	
University and above	1.213	0.780	1.88	
	1.365	0.887	2.10	
	1.398	0.881	2.21	
	1.773**	1.105	2.84	
	0.631	0.234	1.70	
	0.854	0.171	4.26	
5 6	0.483*	0.209	1.11	
	0.143*	0.019	1.07	
	0.804	0.423	1.52	
	1.044	0.649	1.67	
	0.438*	0.184	1.04	
	0.203***	0.063	0.65	
	0.658	0.301	1.43	
5	0.407**	0.175	0.94	
2	0.459	0.093	2.26	
	0.672	0.343	1.31	
	0.710	0.343	1.60	
Industry: public administration and safety	0.949	0.313	2.03	
	0.803	0.442	1.54	
Industry: education and training Industry: health care and social assistance				
	0.850	0.507	1.42	
Industry: arts and recreation services	1.354	0.453	4.04	
Industry: other services	0.591*	0.338	1.03	
Living in rural	1.068	0.810	1.40	
VIC	1.717***	1.220	2.41	
QLD	1.617**	1.008	2.59	
SA	1.406	0.826	2.39	
WA	2.092***	1.236	3.54	
ACT, TAS, NT	1.177	0.618	2.24	
Fully vaccinated rate by LGA	1.016**	1.003	1.02	
With financial stress	1.098	0.835	1.44	
Satisfied with policy	0.993	0.729	1.35	
Not satisfied with policy	0.760	0.540	1.07	
Voting liberal or national	1.003	0.699	1.43	
Voting labour	1.087	0.773	1.52	
Voting greens or democrats	0.735	0.457	1.18	
Wave 45 (15 - 19 Nov, 2021)	1.069	0.823	1.38	
Constant	0.038	0.011	0.12	

Notes: n=2375. Results are based on logistic regressions and are all weighted. Respondents who serve as a baseline for categorical variables are in the youngest age group (18 - 24), income is below 25 percentile, education below high school, being unemployed or do not know the industry that they are in, living in NSW, without voting preference, and indifferent policy satisfaction.

Table 3 examines who is more likely to participate in the lottery. Males were less likely to do so compared to females. Relative to those aged 18-24, respondents aged 50-54 were more likely to be in the lottery, while those older than 65 were less likely to enter. Compared to those in the lowest income quartile, people in the highest income quartile were more likely to enter the lottery. Those working in manufacturing, electricity, gas, water services, accommodation and food services, transport, postal and warehousing, and financial and insurance services were less likely to enter the lottery than those who were unemployed. Respondents in LGAs with higher vaccination rates were more likely to enter the lottery. Compared to those living in NSW, respondents living in Victoria, Queensland, and Western Australia were more likely to enter the lottery.

Discussion

This study finds evidence of a statistically significant association between lottery participation and receipt of vaccination after the lottery opened on October 1st. However, there was no association of lottery entry with having the first dose once the lottery opened, suggesting the lottery did not reduce vaccine hesitancy. The association was driven by those who had received a second dose after October 1st. This group includes those who had already made an appointment before October 1st to receive a second dose after October 1st. This group was still able to enter the lottery but the lottery would not have influenced when they received their second vaccination. This may lead to an overestimate of the effect of lottery participation on vaccination rates. The other group are those who had previously received a first dose sometime before October 1st and decided to schedule their second dose in response to the lottery incentives or had their second dose booked already but had it brought forward. We cannot distinguish between these groups in our data as we did not capture the month of the first dose for those who had a second dose, and so could not measure the interval between first and second doses. The mandated interval between the first and second dose varied across states, which we adjust for in the analysis, and the type of vaccine received.

Distinguishing between the effect of a lottery on first and second doses is important for policy as they imply different objectives and the targeting of policy towards different groups of the population. Policies targeting first doses relatively late in a pandemic are tackling vaccine hesitancy as well as access to vaccines, whilst policies targeting second doses are more about achieving population protection in a timely manner by improving access and 'nudging' people to get their second dose. Lotteries can potentially influence both and the

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M\$V lottery explicitly targeted LGAs with low vaccination rates and so was more focused on tackling vaccine hesitancy. However, vaccine hesitancy is determined by a complex range of factors that financial incentives do not directly address. Lotteries may be more effective as 'nudges' for those who are already motivated to get vaccinated but have not yet arranged their appointments.

Our research adds to the literature using a unique and representative sample of individuals from Australia during the time when the M\$V lottery was open. Previous evidence from the U.S., including several evaluations of the Ohio vaccine lottery, shows mixed results using difference-in-difference study designs. Of five studies that examined first doses^{4 7-9 11}, three found evidence of an effect of lotteries.^{8 9 11} Of two studies that examined second doses^{4 5}, only one found an effect of the lottery.⁵ Two studies^{6 10} used the total rate of vaccinations combining first and second doses and one of these found an effect¹⁰.

The Ohio lottery and M\$V were designed differently, implemented at different times during the pandemic, and may have had different marketing campaigns and this may influence the results. The whole population of Ohio was eligible to win and many may not have known about the lottery and so were not motivated to get vaccinated. In the U.S. at that time the rate of vaccination was slowing, suggesting a lack of motivation in the population. The M\$V lottery, however, required individuals to enter and allowed individuals entry if they had already been fully vaccinated. In addition, October 2021 was a time when vaccination rates were steadily increasing and when vaccinations targets focussing on second doses ('fully' vaccinated) had been set by some states that were linked to the lifting of harsh lockdowns. Generally, the Australian population was more motivated to get vaccinated and the M\$V lottery added to this motivation. People who were already fully vaccinated may have interpreted the lottery as a reward for their patience during lockdowns and for their earlier decision to get vaccinated.

Our results found that those with higher incomes were more likely to participate in the M\$V lottery which is opposite to expectations. Those on lower incomes are more likely to participate in lotteries generally¹³¹⁴. Our result maybe because of the specific context of COVID-19 where a large proportion of the population was or had been in a severe lockdown,

and so the lottery was also perceived as a reward for their patience and for already being vaccinated and that this perception was more widely held by those with higher incomes.

The results also showed that those in LGAs with higher vaccination rates were more likely to enter the lottery compared to LGAs with lower vaccination rates, again suggesting that those who might have already been vaccinated before October 1st were more likely to enter. The M\$V marketing campaign targeted LGAs with lower vaccination rates. Our results suggest that targeted marketing to persuade people to enter a vaccine lottery could be less effective in vaccine-hesitant populations where vaccination decisions are determined by a more complex range of factors that influence access, information, and beliefs¹⁵. Vaccine lotteries could be more effective as 'nudges' for people to get their second dose more quickly.

We do not examine the overall vaccination rate but the timing of when people received their second vaccination, so our numerical results are not comparable to those from other studies that use changes over time in population vaccination rates or the number of vaccines administered. Our data are self-reported and there is a risk of over-reporting of vaccination rates due to social desirability bias. However, this is unlikely as our self-reported rate of second vaccinations of 59.9% in the sample is lower than official data at the time it was collected (77.5% on November 1st and 87% on November 30th). This also raises concerns about the representativeness of our sample. Though our sample is representative of states and territories and uses weights based on location, gender, and age, it is from a commercial panel where respondents might be different from the general population who do not participate in commercial panel surveys in ways we do not observe that might be correlated with lottery participation or vaccine hesitancy. For example, 17% of our sample participated in the M\$V lottery compared to the national estimate of 13.7%.

The role of financial incentives to increase vaccination rates remains unclear, with some evidence suggesting that direct cash transfers might be more effective than lotteries^{3 13 16 17}, but would also be more costly to implement on a population level. Further research should directly compare lotteries and cash transfers with other strategies¹⁶ to increase vaccination uptake and reduce vaccine hesitancy, though such strategies depend on the context and the stage of the pandemic and may interact with other strategies to increase vaccination rates, particularly in vaccine-hesitant populations where other factors are likely to matter more than financial incentives.

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Authors contributions

AS conceived of the study, secured funding, designed the survey questions, contributed to the analysis, wrote and revised the manuscript, and interpreted results. DJ prepared the data and conducted all statistical analyses, contributed to writing and revising the manuscript, and interpreting results.

Conflict of Interests

None declared.

Data sharing statement

Statistical code for the analysis s available from the Dryad repository, DOI: <u>https://doi.org/10.5061/dryad.rv15dv495</u>. TTPN Survey is a proprietary data set and researchers interested in replication need to seek access to the TTPN survey by contacting the Melbourne Institute.

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Appendix	
Table A1. Full regre	ssion results
	Any dose
	October

Appendix Cable A1. Full regression results Any dose after First dose after Second dose after											
	An	y dose af									
	Odds ratio	<u>ctober 19</u> 95%	st 6 CI	Odds ratio			October 1st Odds 95% (ratio				
Lottery entrant	2.281	1.733	3.002	1.376	0.911	2.078	2.315	1.743	3.073		
Male	0.695	0.545	0.885	0.578	0.397	0.841	0.772	0.600	0.994		
Age 25 - 34	1.086	0.749	1.574	0.908	0.545	1.514	1.192	0.813	1.746		
Age 35 - 44	0.665	0.447	0.991	0.653	0.378	1.127	0.829	0.546	1.258		
Age 45 - 49	0.549	0.330	0.911	0.375	0.180	0.783	0.766	0.447	1.313		
Age 50 - 54	0.309	0.181	0.528	0.183	0.063	0.531	0.432	0.248	0.753		
Age 55 - 64	0.332	0.212	0.518	0.268	0.137	0.522	0.455	0.287	0.720		
Age 65 - 74	0.408	0.233	0.716	0.137	0.052	0.359	0.544	0.301	0.981		
Age 75 above	0.230	0.102	0.520	0.106	0.022	0.523	0.279	0.116	0.672		
Having a child under 18	0.705	0.537	0.925	0.853	0.572	1.273	0.657	0.496	0.871		
HS graduated	1.108	0.723	1.697	1.294	0.695	2.410	0.929	0.589	1.464		
Some college	0.962	0.656	1.412	1.050	0.600	1.837	0.943	0.632	1.406		
Jniversity and above	0.955	0.629	1.449	0.928	0.496	1.735	0.946	0.612	1.464		
ncome: 25 - 50 percentile	1.129	0.766	1.664	1.172	0.678	2.026	1.161	0.765	1.763		
ncome: 50 - 75 percentile	1.053	0.699	1.587	1.032	0.566	1.883	1.150	0.742	1.781		
Income: 75 percentiles and above Industry: agriculture,	1.058	0.666	1.679	1.443	0.763	2.730	0.962	0.591	1.567		
forestry and fishing	2.469	0.989	6.162	2.792	0.784	9.941	1.416	0.533	3.765		
Industry: mining	3.381	0.933	12.255	5.743	1.696	19.443	0.474	0.083	2.703		
Industry: manufacturing	0.918	0.407	2.067	1.022	0.326	3.200	0.853	0.375	1.944		
Industry: electricity, gas, water and waste service Industry: construction and	0.612 1.018	0.175 0.599	2.144 1.731	1.075 0.734	0.231 0.310	4.997 1.738	0.603 1.199	0.156 0.690	2.328 2.084		
wholesale											
ndustry: retail trade ndustry: accommodation	1.094	0.712	1.682	0.732	0.371	1.446	1.038	0.667	1.614		
and food services Industry: transport, postal	0.366 1.666	0.159 0.816	0.839 3.401	0.451 2.324	0.158 0.885	1.284 6.104	0.494 1.280	0.214 0.631	1.138 2.598		
and warehousing Industry: media and elecommunication	1.549	0.770	3.114	0.894	0.339	2.356	1.808	0.889	3.676		
ndustry: financial and nsurance services ndustry: rental, hiring and	1.067	0.567	2.008	1.019	0.363	2.859	0.885	0.447	1.753		
eal estate services	2.947	1.187	7.317	6.221	1.906	20.305	1.040	0.333	3.250		
ndustry: professional, cientific and technical ndustry: administrative and	1.170	0.655	2.090	1.169	0.485	2.818	1.133	0.630	2.039		
ndustry: administrative and support services ndustry: public	0.849	0.425	1.696	1.124	0.450	2.805	0.989	0.493	1.986		
administration and safety industry: education and	0.433	0.186	1.006	0.299	0.075	1.199	0.554	0.239	1.284		
raining	1.412	0.820	2.433	0.519	0.210	1.283	1.636	0.941	2.844		
Industry: health care and social assistance	0.785	0.468	1.317	0.736	0.339	1.600	0.887	0.508	1.549		

2										
3 4	Industry: arts and recreation services	1.172	0.386	3.554	1.061	0.240	4.688	0.944	0.317	2.812
5	Industry: other services	0.540	0.312	0.932	0.770	0.330	1.797	0.583	0.329	1.033
6	Living in rural	1.244	0.957	1.618	1.305	0.899	1.894	1.198	0.907	1.583
7 8	VIC	1.325	0.987	1.778	2.521	1.544	4.118	1.060	0.787	1.427
9	QLD	0.905	0.598	1.371	2.849	1.557	5.213	0.596	0.385	0.923
10	SA	0.891	0.550	1.443	3.842	1.984	7.440	0.544	0.327	0.904
11	WA	1.282	0.795	2.068	3.184	1.628	6.226	0.824	0.497	1.365
12 13	ACT, TAS, NT	0.671	0.363	1.242	2.472	0.971	6.290	0.536	0.277	1.038
14	Fully vaccinated rate by LGA	0.999	0.987	1.011	1.010	0.995	1.025	0.996	0.985	1.008
15 16	With financial stress	0.871	0.674	1.126	0.802	0.542	1.188	0.942	0.723	1.227
17	Satisfied with policy	0.857	0.644	1.140	1.116	0.716	1.740	0.702	0.523	0.942
18	Not satisfied with policy	1.006	0.740	1.369	0.900	0.576	1.406	0.965	0.700	1.331
19	Voting liberal or national	1.071	0.749	1.531	0.947	0.539	1.666	1.236	0.849	1.801
20	Voting labour	1.353	0.973	1.880	1.190	0.734	1.929	1.402	0.989	1.987
21 22	Voting greens or democrats	1.137	0.763	1.697	1.122	0.634	1.986	1.395	0.922	2.110
23	wave 45 (15 - 19 Nov, 2021)	1.293	1.012	1.650	1.903	1.336	2.712	1.203	0.933	1.552
24	Constant	0.486	0.152	1.554	0.027	0.006	0.124	0.497	0.151	1.641
25	Notes: Results are based on los	nit regressi	ons and a	re all weig	hted Respo	ondents wh	o serve as	a haseline	are as foll	lows: in the vo

Notes: Results are based on logit regressions and are all weighted. Respondents who serve as a baseline are as follows: in the youngest age group (18 - 24), income is below 25 percentiles, education below high school, being unemployed or do not know the industry that they are in, living in NSW, without voting preference, and indifferent policy satisfaction. * = p value< 0.10; ** = p value< 0.05; *** = 100p value<0.01.

STROBE Statement-checklist of items that should be included in reports of observational studies

	Item No	Recommendation	Pag No
Title and abstract	1	(<i>a</i>) Indicate the study's design with a commonly used term in the title or the abstract	1
		(b) Provide in the abstract an informative and balanced summary of what	1
		was done and what was found	
Introduction		was done and what was found	
Background/rationale	2	Explain the scientific background and rationale for the investigation being	3-4
		reported	
Objectives	3	State specific objectives, including any prespecified hypotheses	1
Methods			
Study design	4	Present key elements of study design early in the paper	5
Setting	5	Describe the setting, locations, and relevant dates, including periods of	5
		recruitment, exposure, follow-up, and data collection	
Participants	6	(a) Cohort study—Give the eligibility criteria, and the sources and	5
		methods of selection of participants. Describe methods of follow-up	
		Case-control study—Give the eligibility criteria, and the sources and	
		methods of case ascertainment and control selection. Give the rationale	
		for the choice of cases and controls	
		Cross-sectional study—Give the eligibility criteria, and the sources and	
		methods of selection of participants	
		(b) Cohort study—For matched studies, give matching criteria and	N/A
		number of exposed and unexposed	
		Case-control study—For matched studies, give matching criteria and the	
		number of controls per case	
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders,	5-6
		and effect modifiers. Give diagnostic criteria, if applicable	
Data sources/	8*	For each variable of interest, give sources of data and details of methods	5
measurement		of assessment (measurement). Describe comparability of assessment	
		methods if there is more than one group	
Bias	9	Describe any efforts to address potential sources of bias	6
Study size	10	Explain how the study size was arrived at	5
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If	5-6
		applicable, describe which groupings were chosen and why	
Statistical methods	12	(<i>a</i>) Describe all statistical methods, including those used to control for	6
		confounding	
		(b) Describe any methods used to examine subgroups and interactions	5
		(c) Explain how missing data were addressed	N/A
		(d) Cohort study—If applicable, explain how loss to follow-up was	5
		addressed	
		<i>Case-control study</i> —If applicable, explain how matching of cases and	
		controls was addressed	
		<i>Cross-sectional study</i> —If applicable, describe analytical methods taking	
		account of sampling strategy	
		account of sumpring strategy	1

Continued next page

Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially	
		eligible, examined for eligibility, confirmed eligible, included in the study,	
		completing follow-up, and analysed	
		(b) Give reasons for non-participation at each stage	
		(c) Consider use of a flow diagram	
Descriptive	14*	(a) Give characteristics of study participants (eg demographic, clinical, social) and	
data		information on exposures and potential confounders	
		(b) Indicate number of participants with missing data for each variable of interest	
		(c) Cohort study—Summarise follow-up time (eg, average and total amount)	
Outcome data	15*	Cohort study-Report numbers of outcome events or summary measures over time	
		Case-control study—Report numbers in each exposure category, or summary	
		measures of exposure	
		Cross-sectional study—Report numbers of outcome events or summary measures	
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and	
		their precision (e.g., 95% confidence interval). Make clear which confounders were	
		adjusted for and why they were included	
		(b) Report category boundaries when continuous variables were categorized	
		(c) If relevant, consider translating estimates of relative risk into absolute risk for a	
		meaningful time period	
Other analyses	17	Report other analyses done-eg analyses of subgroups and interactions, and	
		sensitivity analyses	
Discussion			
Key results	18	Summarise key results with reference to study objectives	
Limitations	19	Discuss limitations of the study, taking into account sources of potential bias or	
		imprecision. Discuss both direction and magnitude of any potential bias	
Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations,	
		multiplicity of analyses, results from similar studies, and other relevant evidence	
Generalisability	21	Discuss the generalisability (external validity) of the study results	
Other informati	on		
Funding	22	Give the source of funding and the role of the funders for the present study and, if	
-		-	1

*Give information separately for cases and controls in case-control studies and, if applicable, for exposed and unexposed groups in cohort and cross-sectional studies.

Note: An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at http://www.plosmedicine.org/, Annals of Internal Medicine at http://www.annals.org/, and Epidemiology at http://www.epidem.com/). Information on the STROBE Initiative is available at www.strobe-statement.org.

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An observational study of the association between COVID-19 vaccination rates and entry into the Australian 'Million Dollar Vax' competition

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An observational study of the association between COVID-19 vaccination rates and entry into the Australian 'Million Dollar Vax' competition

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Abstract

Objectives

To examine the association between financial incentives from entry into a vaccine competition with the probability of vaccination for COVID-19.

Design

A cross-sectional study with adjustment for covariates using logistic regression

Setting

October and November 2021, Australia.

Participants

2,375 respondents of the Taking the Pulse of the Nation Survey

Primary and secondary outcome measures

The proportion of respondents who had any vaccination, a first dose only, or second dose after the competition opened compared to all other respondents

Results

Those who entered the competition were 2.27 (95% CI 1.73 to 2.99) times more likely to be vaccinated after the competition opened on October 1st than those who did not—an increase in the probability of having any dose of 0.16 (95 % CI 0.10 to 0.21) percentage points. This increase was mostly driven by those receiving second doses. Entrants were 2.39 (95% CI 1.80 to 3.17) times more likely to receive their second dose after the competition opened.

Conclusions

Those who entered the Million Dollar Vax competition were associated with a higher vaccination rate compared to those who did not, with this effect dominated by those receiving second doses.

Strengths and limitations of this study

- The strong association for second dose vaccinations may reflect some individuals who had already scheduled their second dose after the competition opened, potentially leading to an overestimate of the association.
- We use a nationally representative sample of individuals.
- We distinguish between the association between competition entry and first and second doses.
- We adjust for a rich set of individual characteristics associated with vaccination status

Ethics statement

This study was approved by the University of Melbourne Faculty of Business and Economics & Melbourne Business School Human Ethics Advisory Group (Ref: 2056754.1).

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Background

 The effectiveness of using financial incentives to increase vaccination rates for the SARS-COV-2 virus is uncertain.^{[1],[2],[3]} One form of financial incentive has been the entry into vaccination competitions where participants are eligible for large randomly-drawn cash prizes. These have also been referred to as lotteries but unlike lotteries, they do not require cash payment on entry and are not a form of profit-driven gambling. Financial incentives have been used before to encourage childhood immunisation, but not in the form of competitions with cash prizes. Such competitions were established in 2021 to increase vaccination rates for COVID-19, mostly in the United States. For example, the competition in Ohio was run from May to June 2021 with 5 x million prizes over five weeks.

However, the evidence on the effect of such competitions on vaccination rates has been mixed. Four studies using state-level data on vaccination rates over time, and comparing states with vaccination competitions with those with none, found they were ineffective in increasing vaccination rates.^{[4],[5],[6],[7]} Four studies found an increase in vaccination rates^{[8], [9], ^{[10], [11]}, including one that found increases in vaccination rates in low-income counties in Ohio but not in high-income counties.^[9] One study examined the use of financial incentives across 24 states across the U.S., mainly including vaccination competitions, and found no overall impact on vaccination rates.^[12] The reason for these mixed results is unclear as all used aggregate state-level data on changes in vaccination rates over time through each used slightly different methodologies.}

Unlike most of this previous work, the aim of this research is to conduct a more granular analysis using individual-level data to examine the association between an individual's decision to get vaccinated and financial incentives. The Million Dollar Vaccination Campaign (M\$V) was open to entries from 1st to 31st of October 2021 for those aged 18 years or over who were Australian residents. This was accompanied by a significant national marketing campaign that specifically targeted local areas with low vaccination rates and with populations finding it difficult to access vaccinations. If an entrant was chosen to receive a prize, they were required to show proof of two-dose vaccination in the form of a government-approved electronic vaccination certificate.

M\$V was funded by an alliance of philanthropic organisations coordinated by the Summer Foundation. The competition was designed to increase the rate of full (two-dose) vaccinations in the context of meeting national vaccination targets that would trigger the end of harsh lockdowns in the two most populous states, New South Wales and Victoria. The objective was to speed up the rate of vaccination amongst those who intended to get

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vaccinated but had not yet done so. This was intended to reduce hospitalisations and ongoing economic costs of lockdowns. Australia's vaccination program started in March 2021. The Therapeutic Goods Administrative approved three vaccines for Australians' use in 2021: Pfizer, AstraZeneca, and Moderna, each requiring two doses for 'full vaccination'. On the 30th of September, just before the competition opened, vaccination rates had steadily increased to 77.8% percent of the population over 16 years old with a first dose and 54.2% with a second dose. New South Wales (NSW) and Victoria, the two most populous states, had experienced outbreaks since July 2021 and were under various forms of lockdown at the end of September, including night-time curfews in Victoria, closure of retail businesses and hospitality, and continuing bans on travel. Lockdowns in NSW were more targeted at specific Local Government Areas (LGAs) with high case numbers. All eight states and territories agreed to a national roadmap on 6th August 2021, with states individually releasing precise targets of population vaccination rates that were linked to the lifting of restrictions throughout the last quarter of 2021, with some target dates at the time the competition was open. For example, in Victoria, the targets were 70% of the population aged 16 and over, (reached on 21st October), 80% (reached on 29th October), and 90% of 12+ years (reached on 18th November) with a second dose. These targets provided non-financial incentives to get a second dose (referred to as fully vaccinated at the time) as restrictions were eased when targets were met, with restrictions largely non-existent after the 90% target was reached.

The competition provided the potential to receive financial incentives to encourage receipt of the first dose for those not vaccinated and provided incentives to those with a first dose to schedule a second dose if they had not already done so. The interval between the first and second doses at the time depended on the vaccine: 4-8 weeks for Astra Zeneca during an outbreak (up to 12 weeks with no outbreak) and 3-6 weeks for Pfizer from July 2021.^[13] Those with a first dose may already have had their second dose scheduled during October given the recommended fixed interval between doses, and so the incentives would not influence this group unless they changed their scheduled appointment to receive their second dose before the competition opened could still enter, but their vaccination status would not be affected by the competition.

Method

Patient and public involvement statement. There was no patient or public involvement in the research.

 Data and participants. The Taking the Pulse of the Nation (TTPN) Survey was run by the Melbourne Institute and was administered every week from April 2020 and every two weeks from January 2021. Each wave included 1,200 different respondents and so is a repeated cross-section design. The analysis used data from 2,400 respondents in Waves 44 and 45 conducted in November 2021 after the competition was closed at the end of October. Of 2,400 respondents, 2,375 responded to the vaccination question. A further 13 respondents did not know the month they received their first vaccination, leaving 2,362 for our analysis as a final sample.

The TTPN Survey dataset was collected by a commercial provider using a mixedmode procedure. TTPN was designed to track changes in the economic and social well-being of Australians during the pandemic. For each wave, 400 respondents were interviewed by telephone, and 800 respondents completed a web survey. The survey provider constructed the sampling frame from a diverse set of continuously updated proprietary databases. The survey sampling procedure followed strict quotas for six states and the Australian Capital Territory (ACT). Each wave included 600 men and 600 women, and the shares of respondents for each state and ACT are proportional to the population of that state or territory. Data collection for each survey wave took up to six days to collect until the gender/state quotas are reached. These data have been extensively used in previous research about COVID-19 including Australian's hesitancy to get vaccinated, vaccine choice, border re-opening decisions, and responses towards workplace vaccination and testing mandate.^{[14], [15], [16], [17]}

The raw share of each state/location/gender/age-group strata in the survey sample was not necessarily the same as the share of this stratum in the population. For each survey wave, post-stratification inverse probability weights were calculated based on Greater Capital City Statistical Area (GCCSA) or 'Rest of State' for each state using respondents' postcode, age group (18-24, 24-35, 35-44, 45-54, 55-64, 64-75), and gender. The populations of each stratum are calculated based on the latest ABS estimated resident population projections from the 2016 Census. These weights were used in all analyses.

Study design and hypothesis. Using data from a cross-sectional survey, the main hypothesis is whether there is an association between competition entry and vaccination after September 30th when the competition opened. We test if the proportion of those vaccinated after September 30th (which defines our dependent variable) is different for those who entered the competition compared to those who did not. Unlike some U.S. lotteries where the whole population was automatically entered, each person entered the M\$V voluntarily by

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completing a short webform providing their contact details. Proof of vaccination was not required at entry though individuals had to tick a box on the webpage stating that they had at least their first dose. Those who had already had their second dose before the competition opened could enter. If they were chosen to receive a prize (a provisional winner), they were required to show proof of full vaccination (interpreted at the time as two doses) in the form of a government-approved electronic vaccination certificate. To claim a prize full (two-dose) vaccination must have occurred before 13th December, or no later than 13th January, depending on the required interval between first and second doses, which may vary across States and be up to 12 weeks. Only one entry per person was allowed.

The competition had a \$AU 1 million (\$US 0.72 million) Grand Prize in cash and a total of 3,100 daily prizes of \$AU 1,000, with a total prize pool of \$AU 4.1 million. Each entrant was eligible for the Grand Prize draw and the daily draw on the entry date. The daily prizes were in the form of a gift card that could be used at a range of participating stores. The lottery was accompanied by a \$AU 3 million marketing campaign led by Sayers that included peak-time TV, radio, and full-page national and regional newspaper advertising, extensive social media advertising, and outdoor media. The campaign targeted culturally and linguistically diverse audiences and included advertising in languages such as Mandarin, Arabic, and Vietnamese, and areas with high populations of Indigenous people. As the campaign progressed, the targeting became more granular and nuanced in response to the analysis of data regarding the reach of the campaign, competition entrants, and vaccination rates in specific geographic locations throughout Australia. In response to concerns raised on social media about M\$V being a scam, the campaign pivoted to engage and profile daily draw winners and to provide social proof about the legitimacy of M\$V. When the competition closed, 2,744,974 Australians had entered, representing 13.7% of the adult population. The study design exploited information on the month individuals received their first or second dose of a COVID vaccine which was asked in Waves 44 and 45 after the competition had closed.

Variables. Participants were asked the following questions during Waves 44 and 45 in November 2021 to determine their vaccination status. "*Are you willing to have the COVID-19 vaccine?* (*1*) *Yes,* (*2*) *No,* (*3*) *Don't Know* (*4*), *I have had the first dose of the vaccine only* (*5), I have had the first and second dose of the vaccine.*" If they answered option (4) they were asked the month of their first vaccination. If they answered option (5), they were asked the month of their first and second vaccination. They were separately asked, "*Did you enter*

the Million Dollar Vax Lottery? (1) Yes, 2) No." which is used to define the main independent variable of competition entry.

 The main outcome variable is defined according to the timing of each individual's vaccination and is equal to one for those who reported receiving any vaccination (first or second dose) after the competition opened in October and is zero for the rest of the respondents (including those who remained unvaccinated or those who received their first or second dose before October). In addition, we separately analysed those who had their first dose after the competition opened and those who had their second dose after the competition opened and those who had their second dose after the competition opened and those who had their second dose after the competition opened and those who had their second dose after the number vaccinated after the competition opened with the rest of the population. The denominator, therefore, includes those who had any dose before September 30th, as well as those who remained unvaccinated throughout. The unvaccinated are a key part of the denominator as this group could potentially have changed their decision in response to the lottery.

TTPN asked a range of questions known to be associated with vaccination status, so these were included as independent variables in the analysis. We included indicators for male, age categories (aged 25-34; aged 35-44; aged 45-49; aged 50-54; aged55-64; aged 65-74; 75+), having a child under 18, income categories (25-50 percentile; 50-75 percentile; 75 percentile+; refused to report), education categories (high school graduates; some college; university and above), and categories of the industry relative to the unemployed (agriculture; mining; manufacturing; electricity; construction; wholesale; retail; food services; transport; information media; insurance services; real estate services; professional, scientific and technical services; administrative services; other). These categories are defined using 2006 Australian and New Zealand Standard Industry Classification from the Australian Bureau of Statistics. Indicators for the states of residence and living in a rural area were included. Indicators for financial stress, policy satisfaction (satisfied; not satisfied), voting preferences (liberal or national; labour; greens or democrats) were included, and an indicator for wave 45 (15 - 19, November) was included.

The vaccination rates of individuals could be associated with the vaccination rates of others in their LGA through neighbourhood peer effects, the location of vaccination providers, and other LGA-specific factors. In addition, M\$V targeted LGAs with low vaccination rates, and so LGA vaccination rates would be associated with the competition entry. We, therefore, merged data on LGA-level vaccination rates using each respondent's postcode of residence.

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Statistical analysis. Data were analysed using logistic regression with aforementioned covariates as independent variables to adjust for observed differences between those participating in the competition and those who did not. We chose a logistic model to estimate the probability of receiving a vaccine if the respondent entered the lottery. Separate regressions were conducted for those receiving their first vaccination after September 30th and those receiving their second vaccination after September 30th. Results were reported as odds ratios and differences in predicted probabilities of being vaccinated, with 95% confidence intervals.

Results

Descriptive statistics of our final sample. At the time the survey was completed in November after entry had closed, 60.4% of all respondents had received two doses, and 6.1% had only their first. Among those who had not yet received their first dose, 65.7% were willing to be vaccinated, 21.8% were unwilling to be vaccinated, and 12.4% were unsure. In Appendix Table 1, we have included the unweighted number of respondents in each of the categories of vaccination timing and competition entry.

Full San Mean 0.252 0.088	Std. 0.434 0.283	Mean 0.393	Std. 0.489	Mean 0.224	Std 0 417
			0.489	0.224	0.417
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	0.200	0.115	0.320	0.082	0.275
0.209	0.407	0.343	0.475	0.182	0.386
0.169	0.375	1.000	0.000	0.000	0.000
0.485	0.500	0.412	0.493	0.500	0.500
0.116	0.321	0.099	0.299	0.120	0.325
0.192	0.394	0.182	0.386	0.194	0.396
0.173	0.378	0.189	0.392	0.169	0.375
0.084	0.277	0.104	0.305	0.080	0.271
0.081	0.273	0.129	0.335	0.071	0.257
0.153	0.360	0.194	0.396	0.144	0.351
0.120	0.325	0.086	0.281	0.126	0.332
0.082	0.274	0.017	0.131	0.095	0.293
0.311	0.463	0.321	0.467	0.309	0.462
0.161	0.368	0.140	0.347	0.166	0.372
0.173	0.378	0.147	0.355	0.178	0.383
0.308	0.462	0.327	0.470	0.304	0.460
0.357	0.479	0.386	0.487	0.352	0.478
0.188	0.391	0.132	0.339	0.199	0.399
0.288	0.453	0.281	0.450	0.290	0.454
0.251	0.434	0.250	0.434	0.251	0.434
0.199	0.400	0.235	0.425	0.192	0.394
	0.169 0.485 0.116 0.192 0.173 0.084 0.081 0.153 0.120 0.082 0.311 0.161 0.173 0.308 0.357 0.188 0.288 0.251	$\begin{array}{cccccccccccccccccccccccccccccccccccc$	$\begin{array}{cccccccccccccccccccccccccccccccccccc$		$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$

Table 1: Descriptive Statistics

Income: refused	0.074	0.262	0.101	0.302	0.068	0.
Industry: agriculture, forestry and fishing	0.014	0.119	0.011	0.105	0.015	0.
Industry: mining	0.008	0.089	0.011	0.105	0.007	0.
Industry: manufacturing	0.026	0.159	0.021	0.144	0.027	0.
Industry: electricity, gas, water service	0.013	0.114	0.003	0.052	0.015	0.
Industry: construction and wholesale	0.043	0.202	0.051	0.220	0.041	0.
Industry: retail trade	0.072	0.258	0.093	0.291	0.067	0.
Industry: accommodation and food	0.021	0.143	0.014	0.119	0.022	0
Industry: transport and warehousing	0.029	0.167	0.009	0.096	0.032	0
Industry: media and telecommunication	0.026	0.158	0.026	0.159	0.026	0
Industry: financial and insurance services	0.044	0.205	0.028	0.164	0.047	0
Industry: rental, hiring and real estate	0.009	0.093	0.007	0.080	0.009	0
Industry: professional and scientific	0.043	0.203	0.045	0.208	0.043	0
Industry: administrative and support	0.019	0.138	0.021	0.142	0.019	0
Industry: public administration and safety	0.022	0.146	0.033	0.178	0.020	0
Industry: education and training	0.039	0.194	0.053	0.224	0.036	0
Industry: health care and social assistance	0.061	0.239	0.079	0.271	0.057	0
Industry: arts and recreation services	0.011	0.105	0.016	0.127	0.010	0
Industry: other services	0.059	0.235	0.054	0.226	0.060	0
Industry: refused/don't know/not in the labor force	0.442	0.497	0.424	0.495	0.446	0
Living in rural	0.316	0.465	0.306	0.461	0.318	0
NSW	0.329	0.470	0.271	0.445	0.341	0
VIC	0.263	0.441	0.324	0.468	0.251	0
QLD	0.204	0.403	0.196	0.397	0.205	0
SA	0.070	0.255	0.057	0.232	0.073	0
WA	0.102	0.303	0.127	0.334	0.097	0
ACT, TAS, NT	0.031	0.174	0.025	0.157	0.033	0
	70,400	14.000	70 70 4	11 (04	70.166	1.4
Fully Vaccinated rate by LGA	78.420	14.000	79.794	11.624	78.166	14
With Financial Stress	0.436	0.496	0.447	0.498	0.434	0
Satisfied with policy	0.428	0.495	0.435	0.496	0.427	0
Not satisfied with policy	0.252	0.434	0.211	0.409	0.260	0
Indifferent with policy	0.320	0.467	0.354	0.479	0.313	0
Voting liberal or national	0.342	0.475	0.329	0.470	0.345	0
Voting labour	0.324	0.468	0.350	0.478	0.319	0
Voting greens or democrats	0.114	0.318	0.086	0.281	0.120	0
Voting others/no preference	0.219	0.414	0.235	0.424	0.216	0
Wave 44 (1 - 6, Nov 2021)	0.500	0.500	0.465	0.499	0.507	0
Wave 45 (15 – 19, Nov 2021)	0.500	0.500	0.535	0.499	0.493	0
Number of observations	2,362		436		1,926	

Note: Data are weighted

 Table 1 shows the weighted descriptive statistics of the sample used in the analysis and compares those who participated in the competition with those who did not. Seventeen percent of respondents participated in the competition. After the competition opened on October 1st, 25.2% of respondents received a vaccination. Of those who entered the lottery, 39.3% received a vaccination after the competition opened on October 1st, compared to 22.4% of those who did not enter. After the competition opened, 8.8% of respondents received their first dose. The percentage of those who entered the competition and who received their first dose after it opened was 11.5%, compared to 8.2% for those who did not enter. The proportion who received their second dose after the competition opened was higher at 20.9%. Of those who entered the competition, 34.3% received their second dose after the competition opened was after the competition opened compared to 18.2% of respondents who did not enter.

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Those who chose to enter the competition were more likely to be female, more likely to be between 50 and 64 years old, and less likely to be over 65. Those who entered were likely to have a higher income. There was also a higher proportion of entrants in Victoria.

Regression results for the association of the competition entrant and vaccination take-up

Table 2 presents the results from the unadjusted logistic regressions that include only the dummy variable (entrants vs non-entrants) as an independent variable, and from the adjusted logistic regressions that include all covariates in Table 1 as independent variables. The differences between the adjusted and unadjusted models are small. Competition entry is associated with a higher proportion of respondents having any dose after September 30th. Those who entered were 2.27 times more likely to have a vaccination after September 30th compared to everyone else. This is equivalent to an increase in the probability of having any dose of 0.155 (95% CI 0.100 to 0.210) compared to everyone else. Entry was associated with a 0.022 (95% CI -0.011 to 0.056) increase in the probability of getting the first dose after September 30th, but this was not statistically significant in the adjusted analysis, with the association driven by people getting their second dose. Those who entered were 2.39 times more likely to have a second dose after September 30th compared to everyone else. This is equivalent to an increase to everyone else. This is equivalent to an increase in the probability of 0.152 (95% CI 0.0011 to 0.056) increase in the probability of getting the first dose after September 30th, but this was not statistically significant in the adjusted analysis, with the association driven by people getting their second dose. Those who entered were 2.39 times more likely to have a second dose after September 30th compared to everyone else. This is equivalent to an increase in the probability of a second dose after September 30th of 0.152 (95% CI 0.098 to 0.206) compared to everyone else.

	Any dose after September 30 th	First dose after September 30 th	Second dose after September 30 th
A divisted analysis	September 50	September 30	September 50
Adjusted analysis	2 27 4 * * *	1.0.41	2 200***
Entrant vs. non-entrant	2.274***	1.341	2.389***
(Odds Ratio, 95% CI)	(1.727 to 2.994)	(0.884 to 2.033)	(1.800 to 3.169)
Change in probability	0.155***	0.022	0.152***
(95% CI)	(0.100 to 0.210)	(-0.011 to 0.056)	(0.098 to 0.206)
Unadjusted analysis			
Entrant vs. non-entrant	2.249***	1.451*	2.351***
(Odds Ratio, 95% CI)	(1.732 to 2.919)	(0.971 to 2.169)	(1.795 to 3.080)
Change in probability	0.169***	0.033	0.161
(95% CI)	(0.111 to 0.228)	(-0.006 to 0.072)	(0.105 to 0.217)
Number of observations	2,362	2,362	2,362

Table 2: Adjusted and Unadjusted Regressions

Notes: Results are based on logistic regressions and are all weighted. Respondents who serve as a baseline for categorical variables are in the youngest age group (18 - 24), income is below 25 percentile, education below high school, being unemployed or do not know the industry that they are in, living in NSW, without voting preference, and indifferent policy satisfaction. Full results are available in Appendix Table 2. * = p value<0.10; ** = p value<0.05; *** = p value<0.01.

Appendix Table 2 shows that males, those in older age groups, those with children under 18, those working in accommodation and food services, public admin and safety, and other services were less likely to receive any vaccine after September 30th: that is they were more likely to have been vaccinated earlier. There is a strong age gradient suggesting that older people were more likely to get vaccinated before October 1st. Those in rental, hiring, and real estate services were more likely to get vaccinated after September 30th compared to those who were out of the labour force.

Characteristics for those who enter the competition Table 3 examines who is more likely to enter the competition. Males were less likely to do so compared to females. Relative to those aged 18-24, respondents aged 50-54 were more likely to enter, while those older than 65 were less likely to enter. Compared to those in the lowest income quartile, people in the highest income quartile were more likely to enter. Those working in manufacturing, electricity, gas, water services, accommodation and food services, transport, postal and warehousing, and financial and insurance services were less likely to enter than those who were unemployed. Respondents in LGAs with higher vaccination rates were more likely to enter. Compared to those living in NSW, respondents living in Victoria, Queensland, and Western Australia were more likely to enter M\$V.

	Odds ratio	95% (CI
Male	0.756**	0.574	0.994
Age 25 – 34	1.007	0.624	1.624
Age 35 – 44	1.230	0.750	2.019
Age 45 – 49	1.294	0.736	2.274
Age 50 – 54	1.860**	1.070	3.235
Age 55 – 64	1.316	0.799	2.167
Age 65 – 74	0.534*	0.285	1.003
Age 75 above	0.145***	0.055	0.381
Having a child under 18	0.891	0.652	1.216
High school graduated	0.816	0.509	1.309
Some college	1.078	0.705	1.648
University and above	1.280	0.821	1.994
Income: 25 - 50 percentile	1.339	0.871	2.060
Income: 50 - 75 percentile	1.317	0.827	2.097
Income: 75 percentile and above	1.531	0.913	2.568
Income: refused	1.987**	1.123	3.515
Industry: agriculture, forestry and fishing	0.647	0.237	1.765
Industry: mining	0.917	0.184	4.581
Industry: manufacturing	0.529	0.227	1.233
Industry: electricity, gas, water and waste services	0.151*	0.020	1.153
Industry: construction and wholesale	0.885	0.465	1.685
Industry: retail trade	1.085	0.674	1.746
Industry: accommodation and food services	0.448*	0.187	1.076

Table 3: Association with entry into M\$V (n=2,362)

Industry: transport, postal and warehousing	0.212***	0.066	0.682
Industry: media and telecommunication	0.699	0.319	1.531
Industry: financial and insurance services	0.430	0.185	1.002
Industry: rental, hiring, and real estate services	0.487	0.098	2.417
Industry: professional, scientific and technical	0.700	0.356	1.376
Industry: administrative and support services	0.742	0.331	1.667
Industry: public administration and safety	0.997	0.460	2.161
Industry: education and training	0.839	0.434	1.623
Industry: health care and social assistance	0.830	0.495	1.391
Industry: arts and recreation services	1.390	0.469	4.121
Industry: other services	0.625*	0.357	1.094
Living in rural	1.095	0.830	1.445
VIC	1.703***	1.208	2.401
QLD	1.668**	1.038	2.680
SA	1.363	0.797	2.330
WA	2.170***	1.277	3.685
ACT, TAS, NT	1.192	0.620	2.293
Fully vaccinated rate by LGA	1.017***	1.004	1.030
With financial stress	1.101	0.836	1.450
Satisfied with policy	0.973	0.715	1.326
Not satisfied with policy	0.744*	0.528	1.049
Voting liberal or national	1.032	0.719	1.482
Voting labour	1.112	0.790	1.564
Voting greens or democrats	0.712	0.441	1.149
Wave 45 (15 - 19 Nov, 2021)	1.055	0.812	1.371
Constant	0.034***	0.010	0.116

Notes: Results are based on logistic regressions and the estimates are all weighted. Respondents who serve as a baseline for categorical variables are in the youngest age group (18-24), income is below 25 percentile, education below high school, being unemployed or do not know the industry that they are in, living in NSW, without voting preference, and indifferent policy satisfaction. * = p value<0.01; ** = p value<0.05; *** = p value<0.01.

Discussion

This study finds evidence of a statistically significant association between entry into the M\$V competition and receipt of vaccination after the competition opened on October 1st. The association was driven by those who had received a second dose after September 30th. Those who received their second dose after the competition opened included those who had previously received the first dose sometime before October 1st and decided to schedule their second dose in response to the financial incentives. Some in this group could have brought their appointment forward or were persuaded not to delay their appointment any further. However, others in this group would not have been influenced by financial incentives if their second appointment had already been booked. This could lead to an overestimate of the effect of competition entry participation on vaccination rates.

Distinguishing between the effect of financial incentives on first and second doses is important for a policy as they imply different objectives and the targeting of policy towards different groups of the population. M\$V aimed to encourage the population to achieve second-dose vaccination targets more quickly than would otherwise have happened. M\$V was therefore focused on individuals who are already motivated. It is not surprising that the competition was not associated with an increase in first doses given the more complex range of factors influencing vaccine hesitancy.

 Our research adds to the literature using a unique and representative sample of individuals from Australia when the M\$V competition was open. Previous evidence from the U.S., including several evaluations of the Ohio vaccine incentives, shows mixed results using difference-in-difference study designs. Of five studies that examined first doses^{[4] [7] [8] [9] [11]}, three found evidence of an effect of incentives. ^{[8] [9] [11]} Of two studies that examined second doses ^{[4] [5]}, only one found an effect.^[5] Two studies^{[6] [10]} used the total rate of vaccinations combining first and second doses and one of these found an effect^[10].

The Ohio incentives and M\$V were designed differently, implemented at different times during the pandemic, and may have had different marketing campaigns and this may influence the results. The whole population of Ohio was eligible to win whereas the M\$V competition required individuals to enter. In the U.S. at that time the rate of vaccination was slowing, suggesting a lack of motivation in the population. In addition, October 2021 was a time when vaccination rates were steadily increasing and when vaccination targets focussing on second doses had been set by some states that were linked to the lifting of harsh lockdowns. Generally, the Australian population was more motivated to get vaccinated and the M\$V competition added to this motivation. People who were already fully vaccinated may have interpreted the competition as a reward for their patience during lockdowns and for their earlier decision to get vaccinated, and for this group therefore the competition did not influence their decision to get vaccinated.

Our results found that those with higher incomes were more likely to participate in the M\$V competition. Though the literature on lotteries suggests those on lower incomes are more likely to enter, recall that vaccination competitions are not lotteries as they do not involve gambling. ^{[18][19]} The financial incentives offered through entry into M\$V were likely to have been perceived as a reward for getting vaccinated and this perception may have been more widely held by those with higher incomes. The results also showed that those in LGAs with higher vaccination rates were more likely to enter the competition compared to LGAs with lower vaccination rates, suggesting that those who might have already been vaccinated before October 1st were more likely to enter. The M\$V marketing campaign targeted LGAs with lower vaccination rates and so assumed the campaign would be more effective in these LGAs. Our results suggest that targeted marketing to persuade people to enter a vaccine competition could be less effective in more vaccine-hesitant populations where vaccination decisions are determined by a more complex range of factors that influence access,

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information, and beliefs.^[20] In line with the objectives of M\$V, vaccine competitions are more effective as 'nudges' for people to get their second dose more quickly.

We do not examine the overall vaccination rate but the timing of when people received their second vaccination, so our numerical results are not comparable to those from other studies that use changes over time in population vaccination rates or the number of vaccines administered. Our data are self-reported and there is a risk of over-reporting of vaccination rates due to social desirability bias. However, this is unlikely as our self-reported rate of second vaccinations of 59.9% in the sample is lower than official data at the time it was collected (77.5% on November 1st and 87% on November 30th). This also raises concerns about the representativeness of our sample. Though our sample is representative of states and territories and uses weights based on location, gender, and age, it is from a commercial panel where respondents might be different from the general population who do not participate in commercial panel surveys in ways we do not observe that might be correlated with entry into the competition. For example, 17% of our sample participated in the M\$V compared to the national estimate of 13.7%. The use of weights will ensure the sample is more representative with respect to postcode, age, gender, and state, but we recognize that the population might not be representative with respect to other variables we do not observe in the data or which are not measured for the population.

The role of financial incentives to increase vaccination rates remains unclear.^{[3] [18] [20]} ^[21] Their use as nudges to speed up vaccination is likely to be effective. Policies to increase vaccination rate depend on the context and the stage of the pandemic and may interact with other strategies to increase vaccination rates, particularly in vaccine-hesitant populations where other factors are likely to matter more than financial incentives.

Funding and acknowledgments

This research was funded by the Summer Foundation (grant number: N/A) and used data from The Taking the Pulse of the Nation (TTPN) Survey run by the Melbourne Institute: Applied Economic and Social Research, University of Melbourne. We thank Di Winkler from the Summer Foundation for comments on an earlier draft.

Authors contributions

AS conceived of the study, secured funding, designed the survey questions, contributed to the analysis, wrote and revised the manuscript, and interpreted the results. DJ prepared the data and conducted all statistical analyses, contributed to writing and revising the manuscript, and interpreted results.

Conflict of Interests

None declared.

Data sharing statement

Statistical code for the analysis s available from the Dryad repository, DOI: <u>https://doi.org/10.5061/dryad.rv15dv495</u>. TTPN Survey is a proprietary data set and researchers interested in replication need to seek access to the TTPN survey by contacting the Melbourne Institute.

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Appendix

Appendix Table 1. Number of respondents in each of the categories of vaccination behaviour

			Non-			
	Entrants	Percent	entrants	Percent	Total	Percen
Received first dose before but no second dose	6	1.38%	37	1.92%	43	1.82%
Received first dose before and second dose after	122	28.0%	285	14.80%	407	17.2%
Received first and second dose before	258	59.2%	646	33.54%	904	38.3%
Total who received first dose before	386	88.5%	968	50.26%	1354	57.3%
Received first dose after but not second dose	20	4.59%	82	4.26%	102	4.32%
Received first dose after and second dose after	30	6.88%	88	4.57%	118	5.00%
Total who received first dose after	50	11.5%	170	8.83%	220	9.31%
Total who received second dose after	152	34.9%	373	19.4%	525	22.2%
Total who received first or second dose before (any						
dose)	386	88.5%	968	50.3%	1354	57.3%
Total who received first or second dose after Sept. 30						
(any dose)	172	39.4%	455	23.6%	627	26.5%
Unvaccinated at survey (November)	0	0.0%	788	40.9%	788	33.4%
Total in each group (Entrant vs. Non-entrants)	436	100.0%	1926	100.0%	2362	100.0%
Denominator is rest of sample						
Proportion Anydose after		39.4%		23.6%		26.5%
Proportion first dose after		11.5%		8.83%		9.31%
Proportion second dose after		34.9%		19.4%		22.2%
**the above % correspond to existing Table 1 but						
are unweighted						
Proportion Anydose after		96.6%		35.5%		43.0%
Proportion first dose after		28.1%		13.3%		15.1%
Proportion second dose after		85.4%		29.1%		36.0%

Appendix Table 2. Full regression results (n=2,362)

Toportion mist dose after				11.5 /0			0.0570		
Proportion second dose after				34.9%			19.4%		
**the above % correspond to existing Tal	ble 1 but								
are unweighted Proportion Anydose after				96.6%			35.5%		
Proportion first dose after				28.1%			13.3%		
Proportion second dose after				85.4%			29.1%		
Appendix Table 2. Full regression					64		6	64	
	•	lose after		First dose			Second dos		
		nber 30tl		Septembe		CT	September		
	Odds ratio	95%		Odds ratio	95%		Odds ratio	95%	
Competition entrant	2.274***	1.727	2.994	1.341	0.885	2.033	2.389***	1.800	
Male	0.700***	0.55	0.891	0.578***	0.399	0.838	0.794*	0.617	
Age 25 - 34	1.088	0.75	1.578	0.916	0.547	1.535	1.210	0.826	
Age 35 - 44	0.668**	0.448	0.995	0.673	0.388	1.169	0.792	0.522	
Age 45 - 49	0.548**	0.33	0.911	0.383**	0.182	0.804	0.767	0.447	
Age 50 - 54	0.309***	0.18	0.528	0.191***	0.066	0.554	0.425***	0.244	
Age 55 - 64	0.332***	0.212	0.519	0.270***	0.138	0.529	0.454***	0.287	
Age 65 - 74	0.405***	0.231	0.711	0.137***	0.054	0.349	0.542**	0.300	
Age 75 above	0.229***	0.102	0.512	0.108***	0.023	0.515	0.284**	0.118	
Having a child under 18	0.708**	0.54	0.929	0.886	0.593	1.325	0.654***	0.494	
HS graduated	1.104	0.72	1.694	1.296	0.692	2.425	0.953	0.603	
Some college	0.961	0.655	1.41	1.059	0.604	1.857	0.932	0.624	
University and above	0.957	0.632	1.45	0.908	0.483	1.706	0.926	0.599	
Income: 25 - 50 percentile	1.122	0.762	1.65	1.201	0.689	2.091	1.135	0.750	
Income: 50 - 75 percentile	1.041	0.693	1.563	1.043	0.566	1.921	1.169	0.758	
Income: 75 percentiles and above	1.009	0.633	1.607	1.289	0.647	2.569	1.032	0.631	
Income: refused	1.141	0.607	2.146	1.758	0.771	4.005	0.903	0.455	
Industry: agriculture, forestry and fishing	2.465*	0.995	6.108	2.843	0.834	9.693	1.353	0.507	
Industry: mining	3.445*	0.942	12.594	6.204***	1.777	21.652	0.442	0.076	
Industry: manufacturing	0.946	0.418	2.138	1.133	0.363	3.539	0.803	0.352	
Industry: electricity, gas, water and waste service		0.176	2.175	1.165	0.246	5.513	0.559	0.145	
Industry: construction and wholesale	1.035	0.605	1.771	0.772	0.321	1.854	1.052	0.601	
Industry: retail trade	1.112	0.719	1.718	0.775	0.389	1.544	1.004	0.641	
Industry: accommodation and food services	0.369**	0.161	0.85	0.472	0.166	1.341	0.485*	0.209	
Industry: transport, postal and warehousing	1.681	0.822	3.436	2.430*	0.923	6.396	1.237	0.611 0.850	
Industry: media and telecommunication	1.589		3.22	0.970		2.580	1.748		

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3	Industry: financial and insurance services	1.089	0.576	2.059	0.840	0.261	2.700	0.956	0.487	1.879
4	Industry: rental, hiring and real estate services	3.026**	1.217	7.523	6.700**	2.037	22.043	1.006	0.323	3.133
5	Industry: professional, scientific and technical	1.191	0.666	2.129	1.256	0.520	3.031	1.107	0.613	1.999
	Industry: administrative and support services	0.859	0.429	1.72	1.209	0.483	3.029	0.948	0.470	1.912
6	Industry: public administration and safety	0.439*	0.189	1.021	0.322	0.080	1.301	0.526	0.227	1.218
7	Industry: education and training	1.441	0.833	2.492	0.558	0.225	1.384	1.592	0.909	2.789
8	Industry: health care and social assistance	0.799	0.473	1.349	0.794	0.364	1.733	0.890	0.506	1.566
	Industry: arts and recreation services	1.192	0.393	3.611	1.125	0.261	4.850	0.928	0.312	2.763
9	Industry: other services	0.547**	0.316	0.947	0.814	0.350	1.892	0.564*	0.318	1.001
10	Living in rural	1.251*	0.963	1.625	1.296	0.892	1.882	1.207	0.915	1.592
11	VIC	1.329*	0.99	1.783	2.458***	1.504	4.016	1.076	0.799	1.449
12	QLD	0.926	0.608	1.409	2.796***	1.535	5.093	0.605**	0.389	0.942
	SA	0.905	0.557	1.472	3.307***	1.705	6.416	0.616*	0.368	1.033
13	WA	1.316	0.814	2.126	3.117***	1.601	6.069	0.847	0.510	1.405
14	ACT, TAS, NT	0.676	0.364	1.256	2.441*	0.961	6.202	0.543*	0.281	1.051
15	Fully vaccinated rate by LGA	1	0.988	1.013	1.010	0.995	1.025	0.998	0.985	1.010
	With financial stress	0.873	0.675	1.128	0.832	0.563	1.229	0.925	0.708	1.209
16	Satisfied with policy	0.857	0.644	1.141	1.097	0.703	1.711	0.724**	0.538	0.972
17	Not satisfied with policy	1.004	0.738	1.364	0.896	0.572	1.402	0.979	0.710	1.350
18	Voting liberal or national	1.082	0.764	1.533	0.998	0.574	1.735	1.185	0.822	1.709
19	Voting labour	1.366*	0.987	1.89	1.226	0.754	1.996	1.386*	0.985	1.949
	Voting greens or democrats	1.148	0.772	1.705	1.101	0.620	1.955	1.432*	0.952	2.155
20	wave 45 (15 - 19 Nov, 2021)	1.283**	1.004	1.639	1.884***	1.322	2.686	1.216	0.941	1.572
21	Constant	0.433	0.261	1.411	0.027***	0.006	0.123	0.449	0.134	1.509
	Notas, Daculta and hazad on logit regressions	m d omo oll y	waighted	Decmon	domto who a		hogoling	ana ao falla	rear in th	a view goot og

Notes: Results are based on logit regressions and are all weighted. Respondents who serve as a baseline are as follows: in the youngest age group (18 - 24), income below 25 percentile, education below high school, being out of labour force or do not know the industry that they are in, living in NSW, without voting preference, and indifferent policy satisfaction. * = p value<0.10; ** = p value<0.05; *** = p value<0.01.

STROBE Statement-checklist of items that should be included in reports of observational studies

	Item No	Recommendation	Pag No
Title and abstract	1	(<i>a</i>) Indicate the study's design with a commonly used term in the title or the abstract	1
		(b) Provide in the abstract an informative and balanced summary of what	1
		was done and what was found	
Introduction		was done and what was found	
Background/rationale	2	Explain the scientific background and rationale for the investigation being	3-4
		reported	
Objectives	3	State specific objectives, including any prespecified hypotheses	1
Methods			
Study design	4	Present key elements of study design early in the paper	5
Setting	5	Describe the setting, locations, and relevant dates, including periods of	5
		recruitment, exposure, follow-up, and data collection	
Participants	6	(a) Cohort study—Give the eligibility criteria, and the sources and	5
		methods of selection of participants. Describe methods of follow-up	
		Case-control study—Give the eligibility criteria, and the sources and	
		methods of case ascertainment and control selection. Give the rationale	
		for the choice of cases and controls	
		Cross-sectional study—Give the eligibility criteria, and the sources and	
		methods of selection of participants	
		(b) Cohort study—For matched studies, give matching criteria and	N/A
		number of exposed and unexposed	
		Case-control study—For matched studies, give matching criteria and the	
		number of controls per case	
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders,	5-6
		and effect modifiers. Give diagnostic criteria, if applicable	
Data sources/	8*	For each variable of interest, give sources of data and details of methods	5
measurement		of assessment (measurement). Describe comparability of assessment	
		methods if there is more than one group	
Bias	9	Describe any efforts to address potential sources of bias	6
Study size	10	Explain how the study size was arrived at	5
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If	5-6
		applicable, describe which groupings were chosen and why	
Statistical methods	12	(<i>a</i>) Describe all statistical methods, including those used to control for	6
		confounding	
		(b) Describe any methods used to examine subgroups and interactions	5
		(c) Explain how missing data were addressed	N/A
		(d) Cohort study—If applicable, explain how loss to follow-up was	5
		addressed	
		<i>Case-control study</i> —If applicable, explain how matching of cases and	
		controls was addressed	
		<i>Cross-sectional study</i> —If applicable, describe analytical methods taking	
		account of sampling strategy	
		account of sumpring strategy	1

Continued next page

Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially	
		eligible, examined for eligibility, confirmed eligible, included in the study,	
		completing follow-up, and analysed	
		(b) Give reasons for non-participation at each stage	
		(c) Consider use of a flow diagram	
Descriptive	14*	(a) Give characteristics of study participants (eg demographic, clinical, social) and	
data		information on exposures and potential confounders	
		(b) Indicate number of participants with missing data for each variable of interest	
		(c) Cohort study—Summarise follow-up time (eg, average and total amount)	
Outcome data	15*	Cohort study-Report numbers of outcome events or summary measures over time	
		Case-control study—Report numbers in each exposure category, or summary	
		measures of exposure	
		Cross-sectional study—Report numbers of outcome events or summary measures	
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and	
		their precision (e.g., 95% confidence interval). Make clear which confounders were	
		adjusted for and why they were included	
		(b) Report category boundaries when continuous variables were categorized	
		(c) If relevant, consider translating estimates of relative risk into absolute risk for a	
		meaningful time period	
Other analyses	17	Report other analyses done-eg analyses of subgroups and interactions, and	
		sensitivity analyses	
Discussion			
Key results	18	Summarise key results with reference to study objectives	
Limitations	19	Discuss limitations of the study, taking into account sources of potential bias or	
		imprecision. Discuss both direction and magnitude of any potential bias	
Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations,	
		multiplicity of analyses, results from similar studies, and other relevant evidence	
Generalisability	21	Discuss the generalisability (external validity) of the study results	
Other informati	on		
Funding	22	Give the source of funding and the role of the funders for the present study and, if	
-		-	1

*Give information separately for cases and controls in case-control studies and, if applicable, for exposed and unexposed groups in cohort and cross-sectional studies.

Note: An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at http://www.plosmedicine.org/, Annals of Internal Medicine at http://www.annals.org/, and Epidemiology at http://www.epidem.com/). Information on the STROBE Initiative is available at www.strobe-statement.org.

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An observational study of the association between COVID-19 vaccination rates and entry into the Australian 'Million Dollar Vax' competition

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R. O.

An observational study of the association between COVID-19 vaccination rates and entry into the Australian 'Million Dollar Vax' competition

Dajung Jun, Anthony Scott (corresponding author: <u>a.scott@unimelb.edu.au</u>) Melbourne Institute: Applied Economic and Social Research The University of Melbourne

Abstract

Objectives

To examine the association between financial incentives from entry into a vaccine competition with the probability of vaccination for COVID-19.

Design

A cross-sectional study with adjustment for covariates using logistic regression

Setting

October and November 2021, Australia.

Participants

2,375 respondents of the Taking the Pulse of the Nation Survey

Primary and secondary outcome measures

The proportion of respondents who had any vaccination, a first dose only, or second dose after the competition opened.

Results

Those who entered the competition were 2.27 (95% CI 1.73 to 2.99) times more likely to be vaccinated after the competition opened on October 1st than those who did not enter—an increase in the probability of having any dose of 0.16 (95 % CI 0.10 to 0.21) percentage points. This increase was mostly driven by those receiving second doses. Entrants were 2.39 (95% CI 1.80 to 3.17) times more likely to receive their second dose after the competition opened.

Conclusions

Those who entered the Million Dollar Vax competition were more likely to receive a vaccination after the competition opened compared to those who did not enter the competition, with this effect dominated by those receiving second doses.

Strengths and limitations of this study

- We use a nationally representative sample of individual self-reported vaccination status and timings.
- We distinguish between the association between competition entry and first and second doses.
- We adjust for a rich set of individual characteristics associated with vaccination status, and examine the factors influencing competition entry
- The strong association for second dose vaccinations may reflect some individuals who had already scheduled their second dose after the competition opened, potentially leading to an overestimate of the association.

Background

The effectiveness of using financial incentives to increase vaccination rates for the SARS-COV-2 virus is uncertain.^{[1],[2],[3]} One form of financial incentive has been the entry into vaccination competitions where participants are eligible for large randomly-drawn cash prizes. These have also been referred to as lotteries but unlike lotteries, they do not require cash payment on entry and are not a form of profit-driven gambling. Financial incentives have been used before to encourage childhood immunisation, but not in the form of competitions with cash prizes. Such competitions were established in 2021 to increase vaccination rates for COVID-19, mostly in the United States. For example, the competition in Ohio was run from May to June 2021 with 5 x million prizes over five weeks.

However, the evidence on the effect of such competitions on vaccination rates has been mixed. Four studies using state-level data on vaccination rates over time, and comparing states with vaccination competitions with those with none, found they were ineffective in increasing vaccination rates.^{[4],[5],[6],[7]} Four studies found an increase in vaccination rates^{[8], [9], ^{[10], [11]}, including one that found increases in vaccination rates in low-income counties in Ohio but not in high-income counties.^[9] One study examined the use of financial incentives across 24 states across the U.S., mainly including vaccination competitions, and found no overall impact on vaccination rates.^[12] The reason for these mixed results is unclear as all used aggregate state-level data on changes in vaccination rates over time through each used slightly different methodologies.}

Unlike most of this previous work, the aim of this research is to conduct a more granular analysis using individual-level data to examine the association between an individual's decision to get vaccinated and financial incentives. The Million Dollar Vaccination Campaign (M\$V) was open to entries from 1st to 31st of October 2021 for those aged 18 years or over who were Australian residents. This was accompanied by a significant national marketing campaign that specifically targeted local areas with low vaccination rates and with populations finding it difficult to access vaccinations. If an entrant was chosen to receive a prize, they were required to show proof of two-dose vaccination in the form of a government-approved electronic vaccination certificate.

M\$V was funded by an alliance of philanthropic organisations coordinated by the Summer Foundation. The competition was designed to increase the rate of full (two-dose) vaccinations in the context of meeting national vaccination targets that would trigger the end of harsh lockdowns in the two most populous states, New South Wales and Victoria. The objective was to speed up the rate of vaccination amongst those who intended to get

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vaccinated but had not yet done so. This was intended to reduce hospitalisations and ongoing economic costs of lockdowns. Australia's vaccination program started in March 2021. The Therapeutic Goods Administrative approved three vaccines for Australians' use in 2021: Pfizer, AstraZeneca, and Moderna, each requiring two doses for 'full vaccination'. On the 30th of September, just before the competition opened, vaccination rates had steadily increased to 77.8% percent of the population over 16 years old with a first dose and 54.2% with a second dose. New South Wales (NSW) and Victoria, the two most populous states, had experienced outbreaks since July 2021 and were under various forms of lockdown at the end of September, including night-time curfews in Victoria, closure of retail businesses and hospitality, and continuing bans on travel. Lockdowns in NSW were more targeted at specific Local Government Areas (LGAs) with high case numbers. All eight states and territories agreed to a national roadmap on 6th August 2021, with states individually releasing precise targets of population vaccination rates that were linked to the lifting of restrictions throughout the last quarter of 2021, with some target dates at the time the competition was open. For example, in Victoria, the targets were 70% of the population aged 16 and over, (reached on 21st October), 80% (reached on 29th October), and 90% of 12+ years (reached on 18th November) with a second dose. These targets provided non-financial incentives to get a second dose (referred to as fully vaccinated at the time) as restrictions were eased when targets were met, with restrictions largely non-existent after the 90% target was reached.

The competition provided the potential to receive financial incentives to encourage receipt of the first dose for those not vaccinated and provided incentives to those with a first dose to schedule a second dose if they had not already done so. The interval between the first and second doses at the time depended on the vaccine: 4-8 weeks for Astra Zeneca during an outbreak (up to 12 weeks with no outbreak) and 3-6 weeks for Pfizer from July 2021.^[13] Those with a first dose may already have had their second dose scheduled during October given the recommended fixed interval between doses, and so the incentives would not influence this group unless they changed their scheduled appointment to receive their second dose before the competition opened could still enter, but their vaccination status would not be affected by the competition.

Method

Patient and public involvement statement. There was no patient or public involvement in the research.

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Data and participants. The Taking the Pulse of the Nation (TTPN) Survey was run by the Melbourne Institute and was administered every week from April 2020 and every two weeks from January 2021. Each wave included 1,200 different respondents and so is a repeated cross-section design. The analysis used data from 2,400 respondents in Waves 44 and 45 conducted in November 2021 after the competition was closed at the end of October. Of 2,400 respondents, 2,375 responded to the vaccination question. A further 13 respondents did not know the month they received their first vaccination, leaving 2,362 for our analysis as a final sample.

The TTPN Survey dataset was collected by a commercial provider using a mixedmode procedure. TTPN was designed to track changes in the economic and social well-being of Australians during the pandemic. For each wave, 400 respondents were interviewed by telephone, and 800 respondents completed a web survey. The survey provider constructed the sampling frame from a diverse set of continuously updated proprietary databases. The survey sampling procedure followed strict quotas for six states and the Australian Capital Territory (ACT). Each wave included 600 men and 600 women, and the shares of respondents for each state and ACT are proportional to the population of that state or territory. Data collection for each survey wave took up to six days to collect until the gender/state quotas are reached. These data have been extensively used in previous research about COVID-19 including Australian's hesitancy to get vaccinated, vaccine choice, border re-opening decisions, and responses towards workplace vaccination and testing mandate.^{[14], [15], [16], [17]}

The raw share of each state/location/gender/age-group strata in the survey sample was not necessarily the same as the share of this stratum in the population. For each survey wave, post-stratification inverse probability weights were calculated based on Greater Capital City Statistical Area (GCCSA) or 'Rest of State' for each state using respondents' postcode, age group (18-24, 24-35, 35-44, 45-54, 55-64, 64-75), and gender. The populations of each stratum are calculated based on the latest ABS estimated resident population projections from the 2016 Census. These weights were used in all analyses.

Study design and hypothesis. Using data from a cross-sectional survey, the main hypothesis is whether the proportion of all respondents who were vaccinated after September 30th is different for those who entered the competition compared to those who did not. Unlike some U.S. lotteries where the whole population was automatically entered, each person entered the M\$V voluntarily by completing a short webform providing their contact details. Proof of vaccination was not required at entry though individuals had to tick a box on the webpage

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 stating that they had at least their first dose. Those who had already had their second dose before the competition opened could enter. If they were chosen to receive a prize (a provisional winner), they were required to show proof of full vaccination (interpreted at the time as two doses) in the form of a government-approved electronic vaccination certificate. To claim a prize full (two-dose) vaccination must have occurred before 13th December, or no later than 13th January, depending on the required interval between first and second doses, which may vary across States and be up to 12 weeks. Only one entry per person was allowed.

The competition had a \$AU 1 million (\$US 0.72 million) Grand Prize in cash and a total of 3,100 daily prizes of \$AU 1,000, with a total prize pool of \$AU 4.1 million. Each entrant was eligible for the Grand Prize draw and the daily draw on the entry date. The daily prizes were in the form of a gift card that could be used at a range of participating stores. The lottery was accompanied by a \$AU 3 million marketing campaign led by Sayers that included peak-time TV, radio, and full-page national and regional newspaper advertising, extensive social media advertising, and outdoor media. The campaign targeted culturally and linguistically diverse audiences and included advertising in languages such as Mandarin, Arabic, and Vietnamese, and areas with high populations of Indigenous people. As the campaign progressed, the targeting became more granular and nuanced in response to the analysis of data regarding the reach of the campaign, competition entrants, and vaccination rates in specific geographic locations throughout Australia. In response to concerns raised on social media about M\$V being a scam, the campaign pivoted to engage and profile daily draw winners and to provide social proof about the legitimacy of M\$V. When the competition closed, 2,744,974 Australians had entered, representing 13.7% of the adult population. The study design exploited information on the month individuals received their first or second dose of a COVID vaccine which was asked in Waves 44 and 45 after the competition had closed.

Variables. Participants were asked the following questions during Waves 44 and 45 in November 2021 to determine their vaccination status. "*Are you willing to have the COVID-19 vaccine? (1) Yes, (2) No, (3) Don't Know (4), I have had the first dose of the vaccine only (5), I have had the first and second dose of the vaccine.*" If they answered option (4) they were asked the month of their first vaccination. If they answered option (5), they were asked the month of their first and second vaccination. They were separately asked, "*Did you enter the Million Dollar Vax Lottery? (1) Yes, 2) No.*" which is used to define the main independent variable of competition entry.

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The main outcome variable is defined according to the timing of each individual's vaccination and is equal to one for those who reported receiving any vaccination after the competition opened in October and is zero for the rest of the respondents. The denominator includes respondents who were either unvaccinated or those who received their first or second dose before October. The unvaccinated are in the denominator only for the group who did not enter the competition as this group could potentially have changed their decision in response to the competition – that is they were 'eligible' to be vaccinated. In addition, we separately analysed those who had only their first dose after the competition opened and those who had their second dose after the competition opened.

TTPN asked a range of questions known to be associated with vaccination status, so these were included as independent variables in the analysis. We included indicators for male, age categories (aged 25-34; aged 35-44; aged 45-49; aged 50-54; aged55-64; aged 65-74; 75+), having a child under 18, income categories (25-50 percentile; 50-75 percentile; 75 percentile+; refused to report), education categories (high school graduates; some college; university and above), and categories of the industry relative to the unemployed (agriculture; mining; manufacturing; electricity; construction; wholesale; retail; food services; transport; information media; insurance services; real estate services; professional, scientific and technical services; administrative services; other). These categories are defined using 2006 Australian and New Zealand Standard Industry Classification from the Australian Bureau of Statistics. Indicators for the states of residence and living in a rural area were included. Indicators for financial stress, policy satisfaction (satisfied; not satisfied), voting preferences (liberal or national; labour; greens or democrats) were included, and an indicator for wave 45 (15 - 19, November) was included.

The vaccination rates of individuals could be associated with the vaccination rates of others in their LGA through neighbourhood peer effects, the location of vaccination providers, and other LGA-specific factors. In addition, M\$V targeted LGAs with low vaccination rates, and so LGA vaccination rates would be associated with the competition entry. We, therefore, merged data on LGA-level vaccination rates using each respondent's postcode of residence.

Statistical analysis. Data were analysed using logistic regression with aforementioned covariates as independent variables to adjust for observed differences between those participating in the competition and those who did not. We chose a logistic model to estimate

the probability of receiving a vaccine if the respondent entered the competition after September 30th. Separate regressions were conducted for those receiving their first vaccination after September 30th and those receiving their second vaccination after September 30th. Results were reported as odds ratios and differences in predicted probabilities of being vaccinated, with 95% confidence intervals.

Results

Descriptive statistics of our final sample. When the survey was completed in November (after entry had closed), 60.4% of all respondents had received two doses, and 6.1% had only their first. Among those who had not yet received their first dose, 65.7% were willing to be vaccinated, 21.8% were unwilling to be vaccinated, and 12.4% were unsure.

Table 1 shows the weighted descriptive statistics of the sample used in the analysis and compares those who participated in the competition with those who did not. Seventeen percent of respondents participated in the competition. After the competition opened on October 1st, 25.2% of respondents received a vaccination. Of those who entered the lottery, 39.3% received a vaccination after the competition opened on October 1st, compared to 22.4% of those who did not enter. After the competition opened, 8.8% of respondents received their first dose. The percentage of those who entered the competition and who received their first dose after it opened was 11.5%, compared to 8.2% for those who did not enter. The proportion who received their second dose after the competition opened was higher at 20.9%. Of those who entered the competition, 34.3% received their second dose after the competition opened compared to 18.2% of respondents who did not enter. Appendix Table A1 shows the unweighted number of respondents in each of the categories of vaccination timing and competition entry which were used to construct the dependent variables in the last three rows of this table.

Those who chose to enter the competition were more likely to be female, more likely to be between 50 and 64 years old, and less likely to be over 65. Those who entered were likely to have a higher income. There was also a higher proportion of entrants in Victoria.

Table 1: Descriptive Statistics

	Full Sar	nple	Entrant		Non-en	trant
	Mean	Std.	Mean	Std.	Mean	Std
Proportion receiving any dose after						
September 30th	0.252	0.434	0.393	0.489	0.224	0.417
Proportion receiving first dose after						
September 30th	0.088	0.283	0.115	0.320	0.082	0.275
Proportion receiving second dose after						
September 30th	0.209	0.407	0.343	0.475	0.182	0.386

Competition entrant	0.169	0.375	1.000	0.000	0.000	0.0
Male	0.485	0.500	0.412	0.493	0.500	0.5
Age 18 - 24	0.116	0.321	0.099	0.299	0.120	0.32
Age 25 - 34	0.192	0.394	0.182	0.386	0.194	0.3
Age 35 - 44	0.173	0.378	0.189	0.392	0.169	0.3
Age 45 - 49	0.084	0.277	0.104	0.305	0.080	0.2
Age 50 - 54	0.081	0.273	0.129	0.335	0.071	0.2
Age 55 - 64	0.153	0.360	0.129	0.396	0.144	0.2
Age 65 - 74	0.120	0.325	0.086	0.281	0.126	0.3
Age 75 and above	0.082	0.274	0.017	0.131	0.095	0.2
Having a child below 18	0.311	0.463	0.321	0.467	0.309	0.4
Not graduated high school/NA	0.161	0.368	0.140	0.347	0.166	0.3
High school graduated	0.173	0.378	0.147	0.355	0.178	0.3
Some college	0.308	0.462	0.327	0.470	0.304	0.4
University and above	0.357	0.479	0.386	0.487	0.352	0.4
Income: below 25 percentile	0.188	0.391	0.132	0.339	0.199	0.3
Income: 25 - 50 percentile	0.288	0.453	0.281	0.450	0.290	0.4
Income: 50 - 75 percentile	0.251	0.434	0.250	0.434	0.251	0.4
Income: 75 and above percentile	0.199	0.400	0.235	0.425	0.192	0.3
Income: refused	0.074	0.262	0.101	0.302	0.068	0.2
Industry: agriculture, forestry and fishing	0.014	0.119	0.011	0.105	0.015	0.1
Industry: mining	0.008	0.089	0.011	0.105	0.007	0.0
Industry: manufacturing	0.026	0.159	0.021	0.144	0.027	0.1
Industry: electricity, gas, water service	0.013	0.114	0.003	0.052	0.015	0.1
Industry: construction and wholesale	0.043	0.202	0.051	0.220	0.041	0.1
Industry: retail trade	0.072	0.258	0.093	0.220	0.041	0.2
Industry: accommodation and food	0.021	0.143	0.014	0.119	0.022	0.1
Industry: transport and warehousing	0.029	0.167	0.009	0.096	0.032	0.1
Industry: media and telecommunication	0.026	0.158	0.026	0.159	0.026	0.1
Industry: financial and insurance services	0.044	0.205	0.028	0.164	0.047	0.2
Industry: rental, hiring and real estate	0.009	0.093	0.007	0.080	0.009	0.0
Industry: professional and scientific	0.043	0.203	0.045	0.208	0.043	0.2
Industry: administrative and support	0.019	0.138	0.021	0.142	0.019	0.1
Industry: public administration and safety	0.022	0.146	0.033	0.178	0.020	0.1
Industry: education and training	0.039	0.194	0.053	0.224	0.036	0.1
Industry: health care and social assistance	0.061	0.239	0.079	0.271	0.057	0.2
Industry: arts and recreation services	0.001	0.105	0.016	0.127	0.010	0.1
Industry: other services	0.059	0.235	0.054	0.226	0.060	0.2
Industry: refused/don't know/not in the labor force Living in rural	0.442 0.316	0.497 0.465	0.424 0.306	0.495 0.461	0.446 0.318	0.4 0.4
-						
NSW	0.329	0.470	0.271	0.445	0.341	0.4
VIC	0.263	0.441	0.324	0.468	0.251	0.4
QLD	0.204	0.403	0.196	0.397	0.205	0.4
SA	0.070	0.255	0.057	0.232	0.073	0.2
WA	0.102	0.303	0.127	0.334	0.097	0.2
ACT, TAS, NT	0.031	0.174	0.025	0.157	0.033	0.1
Fully Vaccinated rate by LGA	78.420	14.000	79.794	11.624	78.166	14.4
With Financial Stress	0.436	0.496	0.447	0.498	0.434	0.4
Satisfied with policy	0.428	0.495	0.435	0.496	0.427	0.4
Not satisfied with policy	0.428	0.434	0.433	0.490	0.427	0.4
Indifferent with policy	0.320	0.467	0.354	0.479	0.313	0.4
Voting liberal or national	0.342	0.475	0.329	0.470	0.345	0.4
Voting labour	0.324	0.468	0.350	0.478	0.319	0.4
Voting greens or democrats	0.114	0.318	0.086	0.281	0.120	0.3
Voting others/no preference	0.219	0.414	0.235	0.424	0.216	0.4
Wave 44 (1 - 6, Nov 2021)	0.500	0.500	0.465	0.499	0.507	0.5
Wave 45 (15 – 19, Nov 2021)	0.500	0.500	0.535	0.499	0.493	0.5
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Regression results for the association of competition entry and vaccination take-up

Table 2 presents the results from the unadjusted logistic regressions that include only the dummy variable (entrants vs non-entrants) as an independent variable, and from the adjusted logistic regressions that include all covariates in Table 1 as independent variables. The differences between the adjusted and unadjusted models are small. Competition entry is associated with a higher proportion of respondents having any dose after September 30th. Those who entered were 2.27 times more likely to have a vaccination after September 30th compared to everyone else. This is equivalent to an increase in the probability of having any dose of 0.155 (95% CI 0.100 to 0.210) compared to everyone else. Entry was associated with a 0.022 (95% CI -0.011 to 0.056) increase in the probability of getting the first dose after September 30th, but this was not statistically significant in the adjusted analysis, with the association driven by people getting their second dose. Those who entered were 2.39 times more likely to have a second dose after September 30th compared to everyone else. This is equivalent to an increase to everyone else. This is equivalent to an increase in the probability of 0.152 (95% CI 0.098 to 0.206) compared to everyone else.

	Any dose after	First dose after	Second dose after
	September 30 th	September 30 th	September 30 th
Adjusted analysis			
Entrant vs. non-entrant	2.274***	1.341	2.389***
(Odds Ratio, 95% CI)	(1.727 to 2.994)	(0.884 to 2.033)	(1.800 to 3.169)
Change in probability	0.155***	0.022	0.152***
(95% CI)	(0.100 to 0.210)	(-0.011 to 0.056)	(0.098 to 0.206)
Unadjusted analysis			
Entrant vs. non-entrant	2.249***	1.451*	2.351***
(Odds Ratio, 95% CI)	(1.732 to 2.919)	(0.971 to 2.169)	(1.795 to 3.080)
Change in probability	0.169***	0.033	0.161
(95% CI)	(0.111 to 0.228)	(-0.006 to 0.072)	(0.105 to 0.217)
Number of observations	2,362	2,362	2,362

Table 2: Adjusted and Unadjusted Regressions

Notes: Results are based on logistic regressions and are all weighted. Respondents who serve as a baseline for categorical variables are in the youngest age group (18 - 24), income is below 25 percentile, education below high school, being unemployed or do not know the industry that they are in, living in NSW, without voting preference, and indifferent policy satisfaction. Full results are available in Appendix Table 2. * = p value<0.10; ** = p value<0.05; *** = p value<0.01.

Appendix Table A2 shows that males, those in older age groups, those with children under 18, those working in accommodation and food services, public admin and safety, and other services were less likely to receive any vaccine after September 30th: that is they were more likely to have been vaccinated earlier. There is a strong age gradient suggesting that older people were more likely to get vaccinated before October 1st reflecting that these age

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groups were eligible to be vaccinated earlier than the younger age groups. Those in rental, hiring, and real estate services were more likely to get vaccinated after September 30th compared to those who were out of the labour force.

Characteristics for those who enter the competition Of those who entered the competition, 60.6% had been vaccinated (either first or second dose) before the competition opened, compared to 35.5% of non-entrants. Table 3 examines the characetristics of those who are more likely to enter the competition. Males were less likely to do so compared to females. Relative to those aged 18-24, respondents aged 50-54 were more likely to enter, while those older than 65 were less likely to enter. Compared to those in the lowest income quartile, people in the highest income quartile were more likely to enter. Those working in manufacturing, electricity, gas, water services, accommodation and food services, transport, postal and warehousing, and financial and insurance services were less likely to enter than those who were unemployed. Respondents in LGAs with higher vaccination rates were more likely to enter. Compared to those living in NSW, respondents living in Victoria, Queensland, and Western Australia were more likely to enter M\$V.

Table 3: Association with	entry into M\$V (n=2,362)

	Odds ratio	95% C	CI
Male	0.756**	0.574	0.994
Age 25 – 34	1.007	0.624	1.624
Age 35 – 44	1.230	0.750	2.019
Age 45 – 49	1.294	0.736	2.274
Age 50 – 54	1.860**	1.070	3.235
Age 55 – 64	1.316	0.799	2.167
Age 65 – 74	0.534*	0.285	1.003
Age 75 above	0.145***	0.055	0.381
Having a child under 18	0.891	0.652	1.216
High school graduated	0.816 🥿	0.509	1.309
Some college	1.078	0.705	1.648
University and above	1.280	0.821	1.994
Income: 25 - 50 percentile	1.339	0.871	2.060
Income: 50 - 75 percentile	1.317	0.827	2.097
Income: 75 percentile and above	1.531	0.913	2.568
Income: refused	1.987**	1.123	3.515
Industry: agriculture, forestry and fishing	0.647	0.237	1.765
Industry: mining	0.917	0.184	4.581
Industry: manufacturing	0.529	0.227	1.233
Industry: electricity, gas, water and waste services	0.151*	0.020	1.153
Industry: construction and wholesale	0.885	0.465	1.685
Industry: retail trade	1.085	0.674	1.746
Industry: accommodation and food services	0.448*	0.187	1.076
Industry: transport, postal and warehousing	0.212***	0.066	0.682
Industry: media and telecommunication	0.699	0.319	1.531
Industry: financial and insurance services	0.430	0.185	1.002
Industry: rental, hiring, and real estate services	0.487	0.098	2.417

Industry: professional, scientific and technical	0.700	0.356	1.376
Industry: administrative and support services	0.742	0.331	1.667
Industry: public administration and safety	0.997	0.460	2.161
Industry: education and training	0.839	0.434	1.623
Industry: health care and social assistance	0.830	0.495	1.391
Industry: arts and recreation services	1.390	0.469	4.121
Industry: other services	0.625*	0.357	1.094
Living in rural	1.095	0.830	1.445
VIC	1.703***	1.208	2.401
QLD	1.668**	1.038	2.680
ŜĂ	1.363	0.797	2.330
WA	2.170***	1.277	3.685
ACT, TAS, NT	1.192	0.620	2.293
Fully vaccinated rate by LGA	1.017***	1.004	1.030
With financial stress	1.101	0.836	1.450
Satisfied with policy	0.973	0.715	1.326
Not satisfied with policy	0.744*	0.528	1.049
Voting liberal or national	1.032	0.719	1.482
Voting labour	1.112	0.790	1.564
Voting greens or democrats	0.712	0.441	1.149
Wave 45 (15 - 19 Nov, 2021)	1.055	0.812	1.371
Constant	0.034***	0.010	0.116

Notes: Results are based on logistic regressions and the estimates are all weighted. Respondents who serve as a baseline for categorical variables are in the youngest age group (18-24), income is below 25 percentile, education below high school, being unemployed or do not know the industry that they are in, living in NSW, without voting preference, and indifferent policy satisfaction. * = p value<0.10; ** = p value<0.05; *** = p value<0.01.

Discussion

 This study finds evidence of a statistically significant association between entry into the M\$V competition and receipt of vaccination after the competition opened on October 1st. The association was driven by those who had received a second dose after September 30th. Those who received their second dose after the competition opened included those who had previously received the first dose sometime before October 1st and decided to schedule their second dose in response to the financial incentives. Some in this group could have brought their appointment forward or were persuaded not to delay their appointment any further. However, others in this group would not have been influenced by financial incentives if their second appointment had already been booked. This could lead to an overestimate of the effect of competition entry participation on vaccination rates.

Distinguishing between the effect of financial incentives on first and second doses is important for policy as they imply different objectives and the targeting of policy towards different groups of the population. M\$V aimed to encourage the population to achieve second-dose vaccination targets more quickly than would otherwise have happened. M\$V was therefore focused on individuals who are already motivated. It is not surprising that the competition was not associated with an increase in first doses given the more complex range of factors influencing vaccine hesitancy.

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Our research adds to the literature using a unique and representative sample of individuals from Australia when the M\$V competition was open. Previous evidence from the U.S., including several evaluations of the Ohio vaccine incentives, shows mixed results using difference-in-difference study designs. Of five studies that examined first doses^{[4] [7] [8] [9] [11]}, three found evidence of an effect of incentives. ^{[8] [9] [11]} Of two studies that examined second doses ^{[4] [5]}, only one found an effect.^[5] Two studies^{[6] [10]} used the total rate of vaccinations combining first and second doses and one of these found an effect^[10].

The Ohio incentives and M\$V were designed differently, implemented at different times during the pandemic, and may have had different marketing campaigns and this may influence the results. The whole population of Ohio was eligible to win whereas the M\$V competition required individuals to enter. In the U.S. at that time the rate of vaccination was slowing, suggesting a lack of motivation in the population. In addition, October 2021 was a time when vaccination rates were steadily increasing and when vaccination targets focussing on second doses had been set by some states that were linked to the lifting of harsh lockdowns. Generally, the Australian population was more motivated to get vaccinated and the M\$V competition added to this motivation. People who were already fully vaccinated may have interpreted the competition as a reward for their patience during lockdowns and for their earlier decision to get vaccinated, and for this group therefore the competition did not influence their decision to get vaccinated.

Our results found that those with higher incomes were more likely to participate in the M\$V competition. Though the literature on cash lotteries suggests those on lower incomes are more likely to enter, recall that vaccination competitions are not lotteries as they do not involve gambling.^{[18][19]} The financial incentives offered through entry into M\$V were likely to have been perceived as a reward for getting vaccinated and this perception may have been more widely held by those with higher incomes. The results also showed that those in LGAs with higher vaccination rates were more likely to enter the competition compared to LGAs with lower vaccination rates, suggesting that those who might have already been vaccinated before October 1st were more likely to enter. The M\$V marketing campaign targeted LGAs with lower vaccination rates and so assumed the campaign would be more effective in these LGAs. Our results suggest that targeted marketing to persuade people to enter a vaccine competition could be less effective in more vaccine-hesitant populations where vaccination decisions are determined by a more complex range of factors that influence access, information, and beliefs.^[20] In line with the objectives of M\$V, vaccine competitions are more effective as 'nudges' for people to get their second dose more quickly.

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We do not examine the overall vaccination rate but the timing of when people received their second vaccination, so our numerical results are not comparable to those from other studies that use changes over time in population vaccination rates or the number of vaccines administered. Our data are self-reported and there is a risk of over-reporting of vaccination rates due to social desirability bias. However, this is unlikely as our self-reported rate of second vaccinations of 59.9% in the sample is lower than official data at the time it was collected (77.5% on November 1st and 87% on November 30th). This also raises concerns about the representativeness of our sample. Though our sample is representative of states and territories and uses weights based on location, gender, and age, it is from a commercial panel where respondents might be different from the general population who do not participate in commercial panel surveys in ways we do not observe that might be correlated with entry into competitions. For example, 17% of our sample participated in the M\$V compared to the national estimate of 13.7%. The use of weights will ensure the sample is more representative with respect to postcode, age, gender, and state, but we recognize that the population might not be representative with respect to other variables we do not observe in the data or which are not measured for the population.

Our results are also driven by the inclusion of the unvaccinated in the denominator of the control group (non-entrants). By design, there are no unvaccinated respondents amongst lottery entrants. It is appropriate to include the unvaccinated as we report population estimates of vaccination. If we exclude the unvaccinated then this increases the probability of receiving any vaccination amongst non-entrants from 23.6 percent to 40 percent (unweighted data from Appendix Table A1) and so the difference in the percentage vaccinated compared to competition entrants falls to be close to zero. However, the inclusion of unvaccinated respondents is necessary to reflect a population estimate of the association since the unvaccinated were eligible to be vaccinated and chose not to do so, even after the competition opened.

The role of financial incentives to increase vaccination rates remains unclear.^{[3] [18] [20]} ^[21] Their use as nudges to speed up vaccination is likely to be effective. Policies to increase vaccination rates depend on the context and the stage of the pandemic and may interact with other strategies to increase vaccination rates, particularly in vaccine-hesitant populations where other factors are likely to matter more than financial incentives.

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Authors contributions

AS conceived of the study, secured funding, designed the survey questions, contributed to the analysis, wrote and revised the manuscript, and interpreted the results. DJ prepared the data and conducted all statistical analyses, contributed to writing and revising the manuscript, and interpreted results.

Conflict of Interests

None declared.

Data sharing statement

Statistical code for the analysis s available from the Dryad repository, DOI: <u>https://doi.org/10.5061/dryad.rv15dv495</u>. TTPN Survey is a proprietary data set and researchers interested in replication need to seek access to the TTPN survey by contacting the Melbourne Institute.

Ethics statement

This study was approved by the University of Melbourne Faculty of Business and Economics & Melbourne Business School Human Ethics Advisory Group (Ref: 2056754.1).

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Appendix

Table A1. Number of respondents in each of the categories of vaccination timing

	Entrants	Percent	Non- entrants	Percent	Total	Percent
1. Received first dose before but no second dose	6	1.38%	37	1.92%	43	1.82%
2. Received first dose before and second dose after	122	28.0%	285	14.80%	407	17.2%
3. Received first and second dose before	258	59.2%	646	33.54%	904	38.3%
4. Received first dose after but not second dose	20	4.59%	82	4.26%	102	4.32%
5. Received first dose after and second dose after	30	6.88%	88	4.57%	118	5.00%
6. Unvaccinated at survey (November)	0	0.0%	788	40.9%	788	33.4%
Received any dose after October (2+4+5)	172	39.4%	455	23.6%	627	26.5%
Received first dose after October (4+5)	50	11.5%	170	8.8%	220	9.3%
Received second dose after October (2+5)	152	34.9%	373	19.4%	525	22.2%
Total	436	100.0%	1926	100.0%	2362	100.0%

Table A2. Full regression results (n=2,362) Image: Comparison of the second second

Male 0.700*** 0.55 0.891 0.578*** 0.390 0.838 0.794* 0.617 Age 25 - 54 0.668** 0.448 0.995 0.673 0.388 1.169 0.792 0.522 Age 55 - 44 0.309*** 0.18 0.528 0.191*** 0.066 0.554 0.224 Age 55 - 64 0.332*** 0.212 0.519 0.270*** 0.188 0.529 0.454*** 0.224 Age 55 - 74 0.405*** 0.212 0.512 0.108*** 0.023 0.515 0.284** 0.130 Age 75 above 0.229*** 0.120 0.512 0.108*** 0.023 0.515 0.524*** 0.130 Some college 0.961 0.655 1.41 1.059 0.604 1.857 0.932 0.624 University and above 0.977 0.632 1.45 0.908 0.481 1.766 0.926 0.926 0.926 0.926 0.926 0.926 0.926 0.926 0.926 0.921 </th <th></th> <th>Any c</th> <th>lose after</th> <th>•</th> <th>First dose</th> <th>e after</th> <th></th> <th>Second dos</th> <th>e after</th> <th></th>		Any c	lose after	•	First dose	e after		Second dos	e after	
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HS graduated 1.104 0.72 1.694 1.296 0.692 2.425 0.953 0.603 Some college 0.961 0.655 1.41 1.059 0.604 1.857 0.932 0.624 University and above 0.957 0.632 1.45 0.908 0.483 1.706 0.926 0.599 Income: 25 - 50 percentile 1.122 0.762 1.65 1.201 0.689 2.091 1.135 0.750 Income: 75 percentile 1.041 0.663 1.667 1.289 0.647 2.569 1.032 0.631 Income: refused 1.041 0.667 2.146 1.758 0.771 4.005 0.903 0.455 Industry: agriculture, forestry and fishing 2.465* 0.995 6.108 2.843 0.834 9.693 1.553 0.507 Industry: mining 0.445* 0.994 0.2146 1.758 0.771 4.005 0.903 0.455 Industry: agriculture, forestry and fishing 0.445* 0.994 0.2146 1.758 0.771 4.005 0.903 0.455 Industry: agriculture, forestry and fishing 0.445* 0.942 12.594 6.204*** 1.777 21.652 0.442 0.076 Industry: manufacturing 0.946 0.418 2.138 1.133 0.363 3.539 0.803 0.352 Industry: construction and wholesale 1.035 0.605 1.771 0.772 0.321 1.854 1.052 0.601 Industry: accommodation and food services 0.369** 0.161 0.85 0.472 0.166 1.341 0.485* 0.209 Industry: media and lecommunication 1.589 0.784 3.22 0.970 0.365 2.580 1.237 0.611 Industry: retail trade 1.191 0.666 2.129 0.843 0.261 2.700 0.956 0.487 Industry: retail rade and support services 0.859 0.474 1.217 7.52 0.320 1.208 0.433 1.006 0.323 Industry: retail and insurance services 1.089 0.576 0.219 0.270 0.365 2.280 1.748 0.830 Industry: rinacial and insurance services 0.369** 0.161 0.85 0.472 0.166 1.341 0.485* 0.209 Industry: rinacial and insurance services 0.369 * 0.321 0.250 0.3031 1.107 0.613 Industry: retail, hiring and real estate services 0.599 0.874 0.261 2.700 0.956 0.487 Industry: retail and insurance services 0.439* 0.199 1.711 0.522 0.610 0.303 1.0107 0.532 Industry: retail, hiring and real estate services 0.459 0.724 1.209 0.483 3.029 0.948 0.470 Industry: retail and insurance services 0.459 0.575 1.127 0.502 0.504 1.301 0.526 0.227 Industry: retail and insurance services 0.459 0.575 1.128 0.506 1.301 0.526 0.227 Industry: retail and insurance services 0.459 0.737 1.33 0.490 0.506 1.301 0.526 0.227 Industry: re	Age 75 above	0.229***	0.102	0.512	0.108***	0.023	0.515	0.284**	0.118	0.6
Some college 0.961 0.655 1.41 1.059 0.604 1.857 0.932 0.654 University and above 0.957 0.632 1.45 0.908 0.483 1.706 0.926 0.599 Income: 50 - 75 percentile 1.021 0.663 1.633 0.566 1.921 1.169 0.751 Income: 75 percentiles and above 1.009 0.633 1.607 1.289 0.667 2.569 1.032 0.631 Income: refused 1.141 0.607 2.146 1.758 0.771 4.005 0.903 0.455 Industry: mining 3.445* 0.942 1.238 1.133 0.363 3.539 0.803 0.352 Industry: construction and waste service 0.618 0.176 1.775 0.772 0.321 1.854 1.002 0.601 Industry: construction and wolesale 1.112 0.719 1.718 0.775 0.389 1.544 1.004 0.641 Industry: construction and food services 1.029 0.	Having a child under 18	0.708**	0.54	0.929	0.886	0.593	1.325	0.654***	0.494	0.80
University Income: 0.957 0.632 1.45 0.908 0.483 1.706 0.926 0.599 Income: 57 50 percentile 1.122 0.762 1.65 1.201 0.689 2.091 1.135 0.750 Income: 75 percentile and above 1.009 0.633 1.607 1.289 0.647 2.569 1.032 0.631 Income:refused 1.141 0.607 2.146 1.758 0.771 4.005 0.903 0.455 Industry:gariculture, forestry and fishing 4.45^* 0.995 6.108 2.843 0.831 9.693 1.353 0.507 Industry:munfacturing 0.946 0.418 2.138 1.133 0.363 3.539 0.803 0.352 Industry:entraftaturde 1.112 0.716 2.175 1.165 0.246 5.513 0.559 0.145 Industry:entraftaturde 1.112 0.719 1.718 0.775 0.389 1.544 1.004 0.611 Industry:entraport,postal and watehousing 1.681 0.822 0.472 0.166 1.341 0.485^* 0.209 Industry:entraport,postal and watehousing 1.681 0.822 0.766 2.20970 0.365 2.700 0.956 0.487 Industry:entraciand electoneumunication 1.589 0.724 2.256 0.204 1.207 0.956 0.429 Industry: <td>HS graduated</td> <td>1.104</td> <td>0.72</td> <td>1.694</td> <td>1.296</td> <td>0.692</td> <td>2.425</td> <td>0.953</td> <td>0.603</td> <td>1.50</td>	HS graduated	1.104	0.72	1.694	1.296	0.692	2.425	0.953	0.603	1.50
$ \begin{array}{llllllllllllllllllllllllllllllllllll$	Some college	0.961	0.655	1.41	1.059	0.604	1.857	0.932	0.624	1.39
$ \begin{array}{llllllllllllllllllllllllllllllllllll$	University and above	0.957	0.632	1.45	0.908	0.483	1.706	0.926	0.599	1.43
Income: 75 percentiles and above 1.009 0.633 1.607 1.289 0.647 2.569 1.032 0.631 Income: refused 1.141 0.607 2.146 1.778 0.771 4.005 0.903 0.455 Industry: griculture, forestry and fishing 2.465* 0.995 6.108 2.843 0.834 9.693 1.335 0.507 Industry: manufacturing 0.946 0.418 2.138 1.133 0.363 5.513 0.559 0.145 Industry: construction and wholesale 1.012 0.719 1.718 0.772 0.321 1.854 1.052 0.601 Industry: construction and food services 0.369** 0.161 0.85 0.472 0.166 1.341 0.485* 0.209 Industry: incancial and insurance services 1.089 0.784 3.22 0.970 0.365 2.580 1.748 0.850 Industry: professional, scientific and technical 1.191 0.666 2.129 1.237 2.043 1.006 0.323 Industry: media and teal estate services 0.826* 1.217 7.523 6.700**	Income: 25 - 50 percentile	1.122	0.762	1.65	1.201	0.689	2.091	1.135	0.750	1.71
$ \begin{array}{llllllllllllllllllllllllllllllllllll$	Income: 50 - 75 percentile	1.041	0.693	1.563	1.043	0.566	1.921	1.169	0.758	1.80
$ \begin{array}{llllllllllllllllllllllllllllllllllll$	Income: 75 percentiles and above	1.009	0.633	1.607	1.289	0.647	2.569	1.032	0.631	1.6
Industry: mining 3.445* 0.942 12.594 6.204*** 1.777 21.652 0.442 0.076 Industry: extructing 0.946 0.418 2.138 1.133 0.363 3.539 0.803 0.352 Industry: extruction and wholesale 1.035 0.605 1.771 0.772 0.321 1.854 1.052 0.601 Industry: extruction and wholesale 1.112 0.719 1.718 0.775 0.389 1.544 1.004 0.641 Industry: accommodation and food services 0.369** 0.161 0.85 0.472 0.166 1.341 0.485* 0.209 Industry: transport, postal and warehousing 1.681 0.822 3.436 2.430* 0.923 6.396 1.237 0.611 Industry: ental, hiring and real estate services 1.089 0.576 2.059 0.840 0.261 2.700 0.956 0.487 Industry: ental, hiring and real estate services 0.899 0.429 1.72 1.206 0.520 3.031 1.107 0.613 Industry: ental, hiring and real estate services 0.859 0.429	Income: refused	1.141	0.607	2.146	1.758	0.771	4.005	0.903	0.455	1.7
Industry: manufacturing 0.946 0.418 2.138 1.133 0.363 3.539 0.803 0.352 Industry: electricity, gas, water and waste service 0.618 0.176 2.177 1.165 0.246 5.513 0.559 0.145 Industry: construction and wholesale 1.035 0.605 1.771 0.772 0.321 1.854 1.052 0.601 Industry: accommodation and food services 0.369** 0.161 0.85 0.472 0.166 1.341 0.488* 0.209 Industry: media and telecommunication 1.589 0.784 3.22 0.970 0.365 2.580 1.748 0.851 Industry: rentai, hiring and real estate services 1.089 0.576 2.059 0.840 0.261 2.700 0.956 0.437 Industry: professional, scientific and technical 1.191 0.666 2.129 1.256 0.520 3.031 1.107 0.613 Industry: education and training 1.441 0.833 2.492 0.558 0.225 1.384 1.	Industry: agriculture, forestry and fishing	2.465*	0.995	6.108	2.843	0.834	9.693	1.353	0.507	3.6
Industry: electricity, gas, water and waste service 0.618 0.176 2.175 1.165 0.246 5.513 0.559 0.145 Industry: construction and wholesale 1.035 0.605 1.771 0.772 0.321 1.854 1.052 0.601 Industry: retail trade 1.112 0.719 1.718 0.772 0.389 1.544 1.004 0.641 Industry: accommodation and food services 0.369** 0.161 0.85 0.472 0.166 1.341 0.485* 0.209 Industry: incancial and insurance services 1.089 0.784 3.22 0.970 0.365 2.580 1.748 0.850 Industry: intrancial and insurance services 1.089 0.576 2.059 0.840 0.261 2.700 0.956 0.487 Industry: administrative and septry services 3.026** 1.217 7.523 6.700** 2.037 22.043 1.006 0.323 Industry: administrative and septry services 0.859 0.429 1.72 1.209 0.483 3.029 0.948 0.470 Industry: atris and recreation services 0.799 <td>Industry: mining</td> <td>3.445*</td> <td>0.942</td> <td>12.594</td> <td>6.204***</td> <td>1.777</td> <td>21.652</td> <td>0.442</td> <td>0.076</td> <td>2.5</td>	Industry: mining	3.445*	0.942	12.594	6.204***	1.777	21.652	0.442	0.076	2.5
Industry: construction and wholesale 1.035 0.605 1.771 0.772 0.321 1.854 1.052 0.601 Industry: retail trade 1.112 0.719 1.718 0.775 0.389 1.544 1.004 0.641 Industry: accommodation and food services 0.369** 0.161 0.855 0.472 0.166 1.341 0.485* 0.209 Industry: transport, postal and warehousing 1.681 0.822 3.436 2.430* 0.923 6.396 1.237 0.611 Industry: transport, postal and marehousing 1.681 0.822 3.436 2.430* 0.925 2.580 1.748 0.850 Industry: transport, postal and treal estate services 3.026** 1.217 7.523 6.700** 2.037 22.043 1.006 0.323 Industry: administrative and support services 0.859 0.429 1.72 1.209 0.483 3.029 0.948 0.470 Industry: ducation and training 1.441 0.833 2.492 0.558 0.225 1.384 1.592 0.909 Industry: ducation and training 1.441			0.418		1.133	0.363	3.539	0.803		1.83
Industry: retail trade 1.112 0.719 1.718 0.775 0.389 1.544 1.004 0.641 Industry: accommodation and food services 0.369** 0.161 0.85 0.472 0.166 1.341 0.485* 0.209 Industry: media and telecommunication 1.589 0.784 3.22 0.970 0.365 2.580 1.748 0.850 Industry: media and telecommunication 1.589 0.784 3.22 0.970 0.365 2.580 1.748 0.850 Industry: administrative and support services 1.089 0.576 2.059 0.840 0.261 2.700 0.956 0.487 Industry: administrative and support services 0.859 0.429 1.72 1.206 0.520 3.031 1.107 0.613 Industry: eadministrative and social assistance 0.799 0.473 1.349 0.794 0.343 0.292 1.384 1.592 0.909 Industry: health care and social assistance 0.799 0.473 1.349 0.794 0.364 1.733 0.890 0.506 Industry: health care and social assistance	Industry: electricity, gas, water and waste service	0.618	0.176	2.175	1.165	0.246	5.513	0.559	0.145	2.15
Industry: accommodation and food services 0.369** 0.161 0.85 0.472 0.166 1.341 0.485* 0.209 Industry: transport, postal and warehousing 1.681 0.822 3.436 2.430* 0.923 6.396 1.237 0.611 Industry: financial and insurance services 1.089 0.576 2.0970 0.365 2.580 1.748 0.850 Industry: financial and insurance services 1.089 0.576 2.059 0.840 0.261 2.700 0.956 0.487 Industry: administrative and support services 0.859 0.429 1.72 1.209 0.483 3.029 0.948 0.470 Industry: ublic administration and safety 0.439* 0.189 1.021 0.322 0.588 0.225 1.384 1.592 0.909 Industry: ublic administration and safety 0.439* 0.189 1.021 0.322 0.586 0.225 1.384 1.592 0.909 Industry: ublic administration and safety 0.439* 0.189 1.021 0.322 1.301 0.526 0.227 Industry: other services 0.547** </td <td>Industry: construction and wholesale</td> <td>1.035</td> <td>0.605</td> <td>1.771</td> <td>0.772</td> <td>0.321</td> <td>1.854</td> <td>1.052</td> <td>0.601</td> <td>1.84</td>	Industry: construction and wholesale	1.035	0.605	1.771	0.772	0.321	1.854	1.052	0.601	1.84
$ \begin{array}{llllllllllllllllllllllllllllllllllll$	5					0.389	1.544			1.57
Industry: media and telecommunication 1.589 0.784 3.22 0.970 0.365 2.580 1.748 0.850 Industry: financial and insurance services 1.089 0.576 2.059 0.840 0.261 2.700 0.956 0.487 Industry: professional, scientific and technical 1.191 0.666 2.129 1.256 0.520 3.031 1.107 0.613 Industry: administrative and support services 0.859 0.429 1.72 1.209 0.483 3.029 0.948 0.470 Industry: administration and safety 0.439* 0.189 1.021 0.322 0.080 1.301 0.526 0.227 Industry: education and training 1.441 0.833 2.492 0.558 0.225 1.384 1.592 0.909 Industry: ats and recreation services 1.192 0.333 3.611 1.125 0.264 4.850 0.928 0.312 Industry: ing in rural 1.251* 0.963 1.625 1.296 0.892 1.882 1.207 0.915 VIC 1.329* 0.99 1.783 2.458*** <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td>0.485*</td> <td></td> <td>1.12</td>								0.485*		1.12
Industry: financial and insurance services 1.089 0.576 2.059 0.840 0.261 2.700 0.956 0.487 Industry: rental, hiring and real estate services 3.026** 1.217 7.523 6.700** 2.037 22.043 1.006 0.323 Industry: professional, scientific and technical 1.191 0.666 2.129 1.256 0.520 3.031 1.107 0.613 Industry: public administration and safety 0.439* 0.189 1.021 0.322 0.080 1.301 0.526 0.227 Industry: education and training 1.441 0.833 2.492 0.558 0.225 1.384 1.592 0.909 Industry: etucation and social assistance 0.799 0.473 1.349 0.794 0.364 1.733 0.890 0.506 Industry: etucation services 1.192 0.393 3.611 1.125 0.261 4.850 0.928 0.312 Industry: other services 0.547** 0.316 0.947 0.814 0.350 1.892 0.564*										2.50
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Industry: administrative and support services 0.859 0.429 1.72 1.209 0.483 3.029 0.948 0.470 Industry: public administration and safety $0.439*$ 0.189 1.021 0.322 0.080 1.301 0.526 0.227 Industry: education and training 1.441 0.833 2.492 0.558 0.225 1.384 1.592 0.909 Industry: health care and social assistance 0.799 0.473 1.349 0.794 0.364 1.733 0.890 0.506 Industry: arts and recreation services 1.192 0.393 3.611 1.125 0.261 4.850 0.928 0.312 Industry: other services $0.547**$ 0.316 0.947 0.814 0.350 1.892 $0.564*$ 0.318 Living in rural $1.251*$ 0.963 1.625 1.296 0.892 1.882 1.207 0.915 QLD 0.926 0.608 1.409 $2.796***$ 1.535 5.093 $0.605**$ 0.389 SA 0.905 0.557 1.472 $3.307***$ 1.705 6.416 $0.616*$ 0.368 WA 1.316 0.814 2.126 $3.117***$ 1.601 6.069 0.847 0.510 ACT, TAS, NT 0.676 0.364 1.256 $2.441*$ 0.961 6.202 $0.543*$ 0.281 Fully vaccinated rate by LGA1 0.988 1.013 1.010 0.995 1.025 0.998 0.8										3.1
Industry: public administration and safety 0.439^* 0.189 1.021 0.322 0.080 1.301 0.526 0.227 Industry: education and training 1.441 0.833 2.492 0.558 0.225 1.384 1.592 0.909 Industry: health care and social assistance 0.799 0.473 1.349 0.794 0.364 1.733 0.890 0.506 Industry: arts and recreation services 1.192 0.393 3.611 1.125 0.261 4.850 0.928 0.312 Industry: other services 0.547^{**} 0.316 0.947 0.814 0.350 1.892 0.564^* 0.318 Living in rural 1.251^* 0.963 1.625 1.296 0.892 1.882 1.207 0.915 VIC 1.329^* 0.99 1.783 2.458^{***} 1.504 4.016 1.076 0.799 QLD 0.926 0.608 1.409 2.796^{***} 1.535 5.093 0.605^{**} 0.389 SA 0.905 0.557 1.472 3.307^{***} 1.705 6.416 0.616^{*} 0.368 WA 1.316 0.814 2.126 3.117^{***} 1.601 6.069 0.847 0.510 ACT, TAS, NT 0.676 0.364 1.256 2.441^* 0.961 6.202 0.543^* 0.281 Fully vaccinated rate by LGA1 0.988 1.013 1.010 0.995 1.025 0.998 0.985 <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td>1.9</td>										1.9
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Industry: arts and recreation services 1.192 0.393 3.611 1.125 0.261 4.850 0.928 0.312 Industry: other services 0.547^{**} 0.316 0.947 0.814 0.350 1.892 0.564^* 0.318 Living in rural 1.251^* 0.963 1.625 1.296 0.892 1.882 1.207 0.915 VIC 1.329^* 0.99 1.783 2.458^{***} 1.504 4.016 1.076 0.799 QLD 0.926 0.608 1.409 2.796^{***} 1.535 5.093 0.605^{**} 0.389 SA 0.905 0.557 1.472 3.307^{***} 1.705 6.416 0.616^* 0.368 WA 1.316 0.814 2.126 3.117^{***} 1.601 6.069 0.847 0.510 ACT, TAS, NT 0.676 0.364 1.256 2.441^* 0.961 6.202 0.543^* 0.281 Fully vaccinated rate by LGA1 0.988 1.013 1.010 0.995 1.025 0.998 0.985 With financial stress 0.877 0.674 1.141 1.097 0.703 1.711 0.724^{**} 0.538 Satisfied with policy 1.004 0.738 1.364 0.896 0.572 1.402 0.979 0.710 Voting liberal or national 1.082 0.764 1.533 0.998 0.574 1.735 1.185 0.822 Voting greens or democrats $1.$										2.7
Industry: other services 0.547^{**} 0.316 0.947 0.814 0.350 1.892 0.564^* 0.318 Living in rural 1.251^* 0.963 1.625 1.296 0.892 1.882 1.207 0.915 VIC 1.329^* 0.99 1.783 2.458^{***} 1.504 4.016 1.076 0.799 QLD 0.926 0.608 1.409 2.796^{***} 1.535 5.093 0.605^{**} 0.389 SA 0.905 0.557 1.472 3.307^{***} 1.705 6.416 0.616^* 0.368 WA 1.316 0.814 2.126 3.117^{***} 1.601 6.069 0.847 0.510 ACT, TAS, NT 0.676 0.364 1.256 2.441^* 0.961 6.202 0.543^* 0.281 Fully vaccinated rate by LGA1 0.988 1.013 1.010 0.995 1.025 0.998 0.985 With financial stress 0.873 0.675 1.128 0.832 0.563 1.229 0.925 0.708 Not satisfied with policy 1.004 0.738 1.364 0.896 0.572 1.402 0.979 0.710 Voting liberal or national 1.082 0.764 1.533 0.998 0.574 1.735 1.185 0.822 Voting greens or democrats 1.148 0.772 1.705 1.101 0.620 1.955 1.432^* 0.952										1.5
Living in rural 1.251^* 0.963 1.625 1.296 0.892 1.882 1.207 0.915 VIC 1.329^* 0.99 1.783 2.458^{***} 1.504 4.016 1.076 0.799 QLD 0.926 0.608 1.409 2.796^{***} 1.535 5.093 0.605^{**} 0.389 SA 0.905 0.557 1.472 3.307^{***} 1.705 6.416 0.616^{**} 0.368 WA 1.316 0.814 2.126 3.117^{***} 1.601 6.069 0.847 0.510 ACT, TAS, NT 0.676 0.364 1.256 2.441^* 0.961 6.202 0.543^* 0.281 Fully vaccinated rate by LGA1 0.988 1.013 1.010 0.995 1.025 0.998 0.985 With financial stress 0.873 0.675 1.128 0.832 0.563 1.229 0.925 0.708 Satisfied with policy 0.857 0.644 1.141 1.097 0.703 1.711 0.724^{**} 0.538 Not satisfied with policy 1.004 0.738 1.364 0.896 0.574 1.735 1.185 0.822 Voting labour 1.366^* 0.987 1.89 1.226 0.754 1.996 1.386^* 0.995 Voting greens or democrats 1.148 0.772 1.705 1.101 0.620 1.955 1.432^* 0.952										2.70
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ACT, TAS, NT0.6760.3641.2562.441*0.9616.2020.543*0.281Fully vaccinated rate by LGA10.9881.0131.0100.9951.0250.9980.985With financial stress0.8730.6751.1280.8320.5631.2290.9250.708Satisfied with policy0.8570.6441.1411.0970.7031.7110.724**0.538Not satisfied with policy1.0040.7381.3640.8960.5721.4020.9790.710Voting liberal or national1.0820.7641.5330.9980.5741.7351.1850.822Voting greens or democrats1.1480.7721.7051.1010.6201.9551.432*0.952										1.0
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Voting labour 1.366* 0.987 1.89 1.226 0.754 1.996 1.386* 0.985 Voting greens or democrats 1.148 0.772 1.705 1.101 0.620 1.955 1.432* 0.952	1 2									1.3
Voting greens or democrats 1.148 0.772 1.705 1.101 0.620 1.955 1.432* 0.952	6									1.7
	6									1.94
										2.1
wave 45 (15 - 19 Nov, 2021) 1.283^{***} 1.004 1.639 1.884^{****} 1.522 2.086 1.216 0.941 Constant 0.433 0.261 1.411 0.027^{***} 0.006 0.123 0.449 0.134	wave 45 (15 - 19 Nov, 2021)	1.283**	1.004	1.639	1.884***	1.322	2.686	1.216	0.941	1.5° 1.50

Notes: Results are based on logit regressions and are all weighted. Respondents who serve as a baseline are as follows: in the youngest age group (18 - 24), income below 25 percentile, education below high school, being out of labour force or do not know the industry that they are in, living in NSW, without voting preference, and indifferent policy satisfaction. * = p value<0.10; ** = p value<0.05; *** = p value<0.01.

STROBE Statement—checklist of items that should be included in reports of observational studies

	Item No	Recommendation	Pag No
Title and abstract	1	(a) Indicate the study's design with a commonly used term in the title or	1
		the abstract	
		(b) Provide in the abstract an informative and balanced summary of what	1
		was done and what was found	
Introduction			1
Background/rationale	2	Explain the scientific background and rationale for the investigation being reported	3-4
Objectives	3	State specific objectives, including any prespecified hypotheses	1
Methods			1
Study design	4	Present key elements of study design early in the paper	5
Setting	5	Describe the setting, locations, and relevant dates, including periods of	5
-		recruitment, exposure, follow-up, and data collection	
Participants	6	(a) Cohort study—Give the eligibility criteria, and the sources and	5
		methods of selection of participants. Describe methods of follow-up	
		Case-control study—Give the eligibility criteria, and the sources and	
		methods of case ascertainment and control selection. Give the rationale	
		for the choice of cases and controls	
		Cross-sectional study—Give the eligibility criteria, and the sources and	
		methods of selection of participants	
		(b) Cohort study—For matched studies, give matching criteria and	N/2
		number of exposed and unexposed	
		Case-control study—For matched studies, give matching criteria and the	
		number of controls per case	
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders,	5-6
		and effect modifiers. Give diagnostic criteria, if applicable	
Data sources/	8*	For each variable of interest, give sources of data and details of methods	5
measurement		of assessment (measurement). Describe comparability of assessment	
		methods if there is more than one group	
Bias	9	Describe any efforts to address potential sources of bias	6
Study size	10	Explain how the study size was arrived at	5
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If	5-6
		applicable, describe which groupings were chosen and why	
Statistical methods	12	(a) Describe all statistical methods, including those used to control for	6
		confounding	
		(b) Describe any methods used to examine subgroups and interactions	5
		(c) Explain how missing data were addressed	N/2
		(d) Cohort study—If applicable, explain how loss to follow-up was	5
		addressed	
		Case-control study—If applicable, explain how matching of cases and	
		controls was addressed	
		Cross-sectional study—If applicable, describe analytical methods taking	
			1
		account of sampling strategy	

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Results			
Participants	13*	(a) Report numbers of individuals at each stage of study-eg numbers potentially	7
		eligible, examined for eligibility, confirmed eligible, included in the study,	
		completing follow-up, and analysed	
		(b) Give reasons for non-participation at each stage	7
		(c) Consider use of a flow diagram	N/A
Descriptive	14*	(a) Give characteristics of study participants (eg demographic, clinical, social) and	7
data		information on exposures and potential confounders	
		(b) Indicate number of participants with missing data for each variable of interest	N/A
		(c) Cohort study—Summarise follow-up time (eg, average and total amount)	N/A
Outcome data	15*	Cohort study—Report numbers of outcome events or summary measures over time	N/A
		Case-control study-Report numbers in each exposure category, or summary	7-8
		measures of exposure	
		Cross-sectional study—Report numbers of outcome events or summary measures	7-8
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and	7-8
		their precision (e.g., 95% confidence interval). Make clear which confounders were	
		adjusted for and why they were included	
		(b) Report category boundaries when continuous variables were categorized	7-8
		(c) If relevant, consider translating estimates of relative risk into absolute risk for a	N/A
		meaningful time period	
Other analyses	17	Report other analyses done-eg analyses of subgroups and interactions, and	8
		sensitivity analyses	
Discussion			
Key results	18	Summarise key results with reference to study objectives	8
Limitations	19	Discuss limitations of the study, taking into account sources of potential bias or	8-9
		imprecision. Discuss both direction and magnitude of any potential bias	
Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations,	8-10
		multiplicity of analyses, results from similar studies, and other relevant evidence	
Generalisability	21	Discuss the generalisability (external validity) of the study results	8-11
Other informati	on		
Funding	22	Give the source of funding and the role of the funders for the present study and, if	2
		applicable, for the original study on which the present article is based	

*Give information separately for cases and controls in case-control studies and, if applicable, for exposed and unexposed groups in cohort and cross-sectional studies.

Note: An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at http://www.plosmedicine.org/, Annals of Internal Medicine at http://www.annals.org/, and Epidemiology at http://www.epidem.com/). Information on the STROBE Initiative is available at www.strobe-statement.org.