

Micrographia and related deficits in Parkinson's disease: a cross-sectional study

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ABSTRACT

Objectives: To determine the prevalence and clinical features associated with micrographia in Parkinson's Disease (PD).

Setting: This study was conducted at a Movement Disorders clinic located in a Veteran Administration Hospital.

Participants: PD subjects were included only if they satisfied UK Parkinson's Disease Society criteria for diagnosis. Subjects with history of severe tremors, dystonia, dyskinesia, strokes, peripheral neuropathy and dementia were excluded.

Design: This was a case-control study where PD subjects were prospectively enrolled and their demographics, Hoehn & Yahr stage, Unified Parkinson's Disease Rating Scale and Mini Mental Status examination (MMSE) scores were recorded. All subjects were specifically asked for micrographia on history and the handwritings were quantitatively documented. Bradykinesia was determined by history and quantified by a finger tap, Purdue pegboard and a timed walk test. Similarly, hypophonia was determined by history and the volume of speech quantified using a decibel meter. Controls were enrolled for validation of handwriting test scores and decibel meter recordings.

Primary outcome measures: Prevalence of micrographia in the PD cohort and the clinical factors that correlate with micrographia.

Results: 68 subjects with PD were enrolled (68 men; mean age 72.3 years). Micrographia was identified in 63.2% of the cohort on verbal history and in 50% of the cohort when the handwriting test was used for ascertainment. Micrographia ascertained on history correlated significantly with disease severity (Hoehn & Yahr stage), motor impairment (Unified Parkinson's Disease Rating Scale), cognitive impairment (MMSE) and both bradykinesia and hypophonia determined by history and quantitative testing. Micrographia on handwriting test correlated with age ($p=0.02$), MMSE testing ($p=0.04$), hypophonia by history ($p=0.01$) and bradykinesia by quantitative testing ($p=0.04$).

Conclusion: Micrographia was found in nearly half of the PD cohort. Disease severity and impaired cognition were important clinical correlates. Micrographia had a significant relationship with bradykinesia and hypophonia, suggesting a possible overlap in their pathophysiology.

ARTICLE SUMMARY

Article focus

■ In this study, prevalence of micrographia in Parkinson's disease (PD) is ascertained and the relationship of micrographia with bradykinesia and hypophonia is determined using standardized and quantitative assessment tools.

Key messages

■ Micrographia is present in nearly 50%–60% PD cohort and disease severity and impaired cognition are important correlates.
■ It has significant relationship with bradykinesia and hypophonia.

Strengths and limitations of this study

■ Large sample size, systematic assessment methods.
■ This study is a cross-sectional single-visit study, does not determine the effects of dopaminergic medications or shed light on the therapeutic measures.
■ The study finds significant correlation of cognition with micrographia based on MMSE testing but does not use detailed cognitive assessment battery.

INTRODUCTION

Micrographia is a clinical feature commonly associated with Parkinson's disease (PD). The literature, however, reveals a paucity of data on the prevalence and on the clinical characteristics of this potentially disabling disease manifestation. In one study, an overall prevalence of 30% was observed (at any time during their disease course, with 5% reporting micrographia as a prodromal symptom).¹ In questionnaire-based cross-sectional studies, the prevalence has ranged from as low as 9%² to as high as 75%.³ Additionally, micrographia has been found to have a high positive likelihood ratio^{4 5} of being associated with an accurate diagnosis of PD. The phenomenon of micrographia is not restricted to PD but has been reported in Huntington's disease,⁶ amyotrophic lateral

sclerosis⁷ and lupus⁸ conditions; however, these studies lack adequate number of patients to draw any conclusion on the specificity of the symptom.

Micrographia has been defined as an impairment of a fine motor skill manifesting mainly as a progressive reduction in amplitude during a writing task. Micrographia can manifest in two dimensions. Handwriting may decrease in amplitude as one writes across a single line or manifest as each line gets added with continued writing in a paragraph.^{9–11}

In PD, micrographia has been observed to accompany both bradykinesia and hypophonia,^{2,9,12} and it has been suggested that there is an overlap in the pathophysiology of micrographia and hypophonia.¹³ In this current study, we sought to study PD patients utilizing systematic clinical assessments in order to accomplish the following three aims: (1) to identify the prevalence of micrographia in a large well-characterized PD cohort, (2) to document the clinical profile of micrographia and (3) to determine if a correlation exists between micrographia, bradykinesia or hypophonia.

METHODS

The study was a single-visit study approved by the local Institutional Review Board, and all subjects gave written informed consent to participate. Subjects with PD were enrolled from a movement disorders clinic located in a veterans administration medical centre. PD subjects using United Kingdom Parkinson's Disease Society criteria¹⁴ were included. All PD patients who presented to the clinic for their regular follow-up visit were approached on a consecutive basis over a period of 2 years, and those who consented to participate were enrolled.

Subjects with neurological conditions like stroke and peripheral neuropathy that could potentially impair the handwriting assessment were excluded. Subjects with possibility of atypical parkinsonism, stroke, neuropathy in hands, h/o of significant tremors, dystonia- and levodopa-induced dyskinesias, and those unable to provide informed consent (MMSE <18) were all excluded. Age- and sex-matched control subjects were enrolled from general neurology clinics, including headache, seizures, low back pain and other non-basal ganglia neurological conditions. We specifically sought a non-basal ganglia control group that had a neurological disease in an effort to create a reasonable comparator group. Demographics of PD subjects (age, handedness, language preference), disease duration, L-Dopa dose and disease-specific assessments such as modified Hoehn & Yahr staging and Unified Parkinson's Disease Rating Scale (UPDRS) were used. All subjects were asked if they had experienced any change in their mental faculties during the course of PD, and Mini Mental Status examination (MMSE) scoring was used during the physical exam. Subjects were studied in the 'ON' medication condition that was defined as being on their regular PD medications and subjectively reporting their typical 'on' response while being examined. Pres-

ence of 'micrographia' was ascertained on history. Subjects were asked if they had specifically noted a decrease in size of letters in their handwriting during the writing task. Handwriting was then documented by a bedside clinical handwriting test in both PD and control groups. The handwriting test was designed by us specially for assessment of micrographia and was validated among the control group. In this test, subjects were asked to write the letters 'p' and 'd' using lower case in print style and using a standard diameter ball point pen on a lined paper. They were instructed to do this 20 times in two separate rows (figure 1). These 20 trials for each letter were written in blocks, and there were four such blocks consisting of five trials each. Time was not a constraining factor, but subjects were allowed to lift the pen only at the end of each block. A visual model was presented at the beginning of the test, and no practice session for writing was allowed. Auditory cues by the examiner were allowed. For analysis, trials from the first and last block of the letter 'd' were used, and areas of the 2 blocks were calculated (height \times width). For each block, height was calculated as the maximum

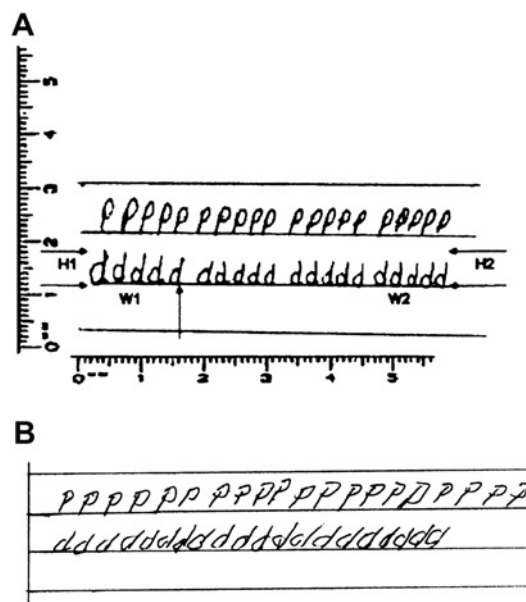


Figure 1 (A) Handwriting sample of subject 1 with PD. The letters 'p' and 'd' have been written using lower case in print style, on a lined paper, 20 times in two separate rows. These 20 trials for each letter are written in blocks and there are four such blocks consisting of five trials each. H2 and H1 represent maximum vertical stroke in last and first blocks, respectively, and W2 and W1 represent respective total distance traveled horizontally for the two blocks; these measurements are for the letters in the second row. Areas for the last ($H2 \times W2$) and the first ($H1 \times W1$) blocks was calculated and an area drop of $\geq 30\%$ (0.7) designated as micrographia and a drop of $> 50\%$ as severe micrographia. $H2 \times W2 / H1 \times W1 < 0.7$ consistent with micrographia. $H2 \times W2 / H1 \times W1 < 0.5$ consistent with severe micrographia. (B) Handwriting sample of subject 2 with PD. Second row letters are more crowded than the first, crowding particularly notable in the last few trials.

vertical stroke achieved, and the width was calculated as the total distance traveled by the pen horizontally. Areas were determined for the last and first blocks, and ratio of these areas was calculated; micrographia was defined as an area drop $\geq 30\%$; a drop of $>50\%$ represented severe micrographia (figure 1). The investigators (AWS and SWM) performed this assessment and were not blinded to the two groups, and the method of testing was validated among the neurological controls.

Hypophonia was determined by history based on specific questioning during interview where subjects were asked if they experienced a clear reduction in the volume of their speech. Difficulty in speaking such as stuttering or slurring of words was rejected for the diagnosis of hypophonia. The volume of speech or loudness was documented objectively with a decibel meter. Syllable 'A' was spoken as naturally as possible 10 times at 3 s intervals in a quiet room. The loudness of speech was recorded with the decibel meter being placed at a set distance of 50 cm from the mouth. A loudness decline of ≥ 10 dB between the first and tenth trials was defined as an objective hypophonia (the method also validated in controls).

Bradykinesia was ascertained on history by specifically asking for problems with slowness in movement and UPDRS II questions. Quantitative assessment of bradykinesia was achieved with the help of a finger tap task, Purdue Pegboard testing and a standardized timed motor walk.^{13 15} In the finger tapping task, the subject was required to tap repetitively on a hard surface using the index finger of the right and left hand, done for each side, for 30 s; the number of taps performed in this duration was recorded. The pegboard task involved placement of as many pegs as possible over a 30 s period and was performed with the right and left hands separately and with both hands simultaneously. For the walking test, subjects walked a distance of 7 m back and forth as fast as they could, and the time required for walking including turns was recorded.¹⁵

Statistical analysis

All statistical analyses were conducted using SAS V.9.2 (SAS Institute Inc.). Data were described with plotting of mean/median for all variables, including age, disease duration, disease severity on Hoehn & Yahr (H&Y) staging and on total as well as motor subsection of UPDRS scale, levodopa (L-DOPA) dose, micrographia determined by history and handwriting test analysis, hypophonia by history and by decibel meter recordings, scores on MMSE testing, scores on finger tap task, Purdue Pegboard and timed walking test. χ^2 Test was used for comparison of PD and control cohorts with regards to handwriting test and decibel meter readings. Spearman's correlation with respective approximated 95% CIs based on Fisher's z-transformation was performed to compare all of the above variables with micrographia (figure 2). Cohen's Kappa statistic was used to assess the agreement levels between micrographia and dichotomous variables, such as handwriting scores (≤ 0.7 or >0.7 ; a 30% decline)

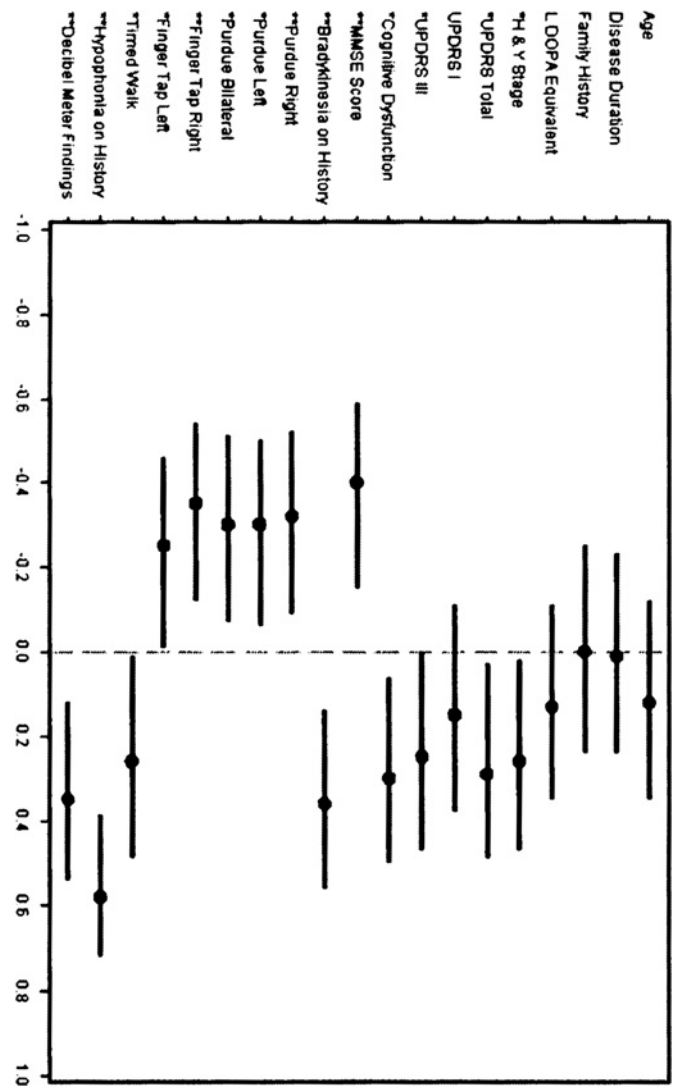


Figure 2 Forest plot used for demonstration of micrographia determined by history and its correlation with demographics, bradykinesia and hypophonia measures. * $p < 0.05$, ** $p \leq 0.01$.

and hypophonia scores (< 10 or ≥ 10 dB). All results were based on two-sided test with p values < 0.05 considered statistically significant.

RESULTS

Demographics

Sixty-eight PD subjects were enrolled (all were men, mean age = 72.3 years; mean disease duration = 7.8 years) (see table 1). Twenty additional subjects were approached; however, they did not consent for participation.

Seven subjects had disease duration of < 3 years, and six had disease durations > 15 years. All but two subjects were right handed; one of these two was ambidextrous. In language preference, all except two spoke only one language and that was English. Their levodopa equivalents, UPDRS and MMSE assessments are shown in table 1. There were no subjects noted to have tremors and dystonia at the time of assessment most likely due to the fact that subjects were studied on medications.

Table 1 Clinical characteristics of PD subjects

| | Mean±SD |
|--------------------------------|-------------|
| Age in years | 72.3±9.5 |
| PD duration in years | 7.8±5.5 |
| H&Y stage | 2±0.8 |
| UPDRS total (on score) | 49.3±18.8 |
| UPDRS I | 3.1±2.1 |
| UPDRS III | 29.1±9.5 |
| L-DOPA equivalent in milligram | 766.6±500.5 |
| MMSE | 24.8±2.65 |
| Purdue Pegboard score | |
| Right hand | 7.7±2.9 |
| Left hand | 6.8±3 |
| Bilateral assessment | 8.5±4.1 |
| Finger tap score | |
| Right hand | 61.7±27.2 |
| Left hand | 60±30.3 |
| Walk time in seconds | 16.5±7.1 |

H&Y, Hoehn & Yahr stage; UPDRS, Unified Parkinson's Disease Rating Scale; MMSE, mini mental status examination; PD, Parkinson's disease.

However, mild dyskinesia was observed in two subjects at the time of assessment. In addition, general neurological exam did not reveal presence of neuropathy or stroke like deficits.

All controls enrolled were age matched, were men and like PD subjects were right handed.

Prevalence of micrographia

Micrographia was present in 63.2% of the PD cohort (43 subjects) when subjects were asked on history if their handwriting had specifically become small. Nearly 50% of the PD cohort (35 subjects) demonstrated micrographia when the bedside handwriting test was performed. There was no control subject who reported micrographia on history. On χ^2 testing, the control handwriting scores (dichotomized ≤ 0.7 or > 0.7 ; $p=0.0001$) differed significantly from PD ($p=0.0001$). The sensitivity for the handwriting test was determined to be =0.74 (95% CI: 0.59 to 0.86) and specificity =0.88 (95% CI: 0.68 to 0.97). Cohen's κ established moderate agreement between micrographia assessment on history and handwriting tests (0.5, 95% CI = 0.38 to 0.77); 15 PD subjects had a unilateral disease (defined by Hoehn and Yahr Staging scale) with six having a score of 1 and nine having a score of 1.5. Eight of these nine subjects demonstrated micrographia, with the affected side being the dominant right in all patients.

Assessment of hypophonia and Bradykinesia

Thirty-eight PD subjects (out of 68) reported presence of hypophonia when specifically asked on history. Thirty-six subjects showed a decline of ≥ 10 dB when decibel meter scores were used for determination. Cohen's κ revealed significant correlation between hypophonia assessment on history and objective assessment (0.85, 95% CI = 0.72 to 0.98). On χ^2 testing, there was significant difference between decibel meter scores in PD cohort and controls

($p=0.0001$). There were 54 subjects who reported bradykinesia when specifically asked on history. Their quantitative assessment results are shown in table 1.

Factors affecting micrographia

In the PD cohort, 43 subjects were found to have micrographia based on history. This group showed significant correlation with overall disease severity and motor impairment (H&Y, UPDRS total and UPDRS III) and cognitive impairment determined both by history and the MMSE testing ($p=0.02$ and $p=0.002$, respectively; figure 2). It correlated with bradykinesia (determined both by history and quantitative testing) and hypophonia (determined both by history and decibel meter testing). Figure 2 shows the p values.

Micrographia when identified based on handwriting analysis (35 subjects) showed significant correlation with age ($p=0.02$), MMSE testing ($p=0.04$), hypophonia by history ($p=0.01$) and bradykinesia determined by Purdue testing for the right hand ($p=0.04$) and for both hands ($p=0.04$).

This group with micrographia on handwriting test was further divided into subgroups with mild and severe impairment based on criteria described in the Methods section (area decrease $>30\%$ is micrographia and area decrease $>50\%$ defined as severe micrographia). Twenty-three subjects had mild micrographia, 12 had severe micrographia and two of these 12 had extreme difficulty in completion of the handwriting test. The subgroup with severe micrographia revealed a significant correlation with H&Y staging, the UPDRS total score ($p=0.003$) and the UPDRS III motor score ($p=0.01$), bradykinesia ($p=0.0001$) and hypophonia ($p=0.0001$) determined by history. Severe micrographia also correlated with cognitive impairment assessed by history, MMSE testing and UPDRS I ($p=0.01$).

DISCUSSION

This study offers data on a large cohort of PD and control patients. Micrographia was identified in 63.2% of the PD cohort when subjects were specifically questioned for micrographia on history and detected in nearly 50% of the cohort when bedside handwriting test was used for quantitative assessment. Disease severity and cognition were identified as important factors affecting micrographia, and furthermore, there was a strong correlation of micrographia with hypophonia and bradykinesia, suggesting a possible overlap in the pathophysiology.

Previous studies and estimates have been hampered by methodological issues including small sample sizes and lack of objective measures. This was a single-visit study where micrographia was identified by history and was established by a quantitative bedside handwriting test. PD patients with handwriting problems may switch their writing style from cursive to print in order to maintain legibility. For simplicity, we therefore utilized only a print style of writing for the assessment; a hierarchy of tasks including writing in cursive style, words, phrases, sentences and paragraphs was not provided to the

subjects. Subjects were asked to write letters in a specific and standardized way. The area of writing covered by the specified task was determined, calculated by multiplication of height and distance. It has been observed that handwriting seems to decline along subsequent lines as the writing continues in a paragraph. In this study, we therefore chose to compare the handwriting sample of the second line instead of the first. In a previous study,¹⁶ micrographia was determined based on the decline of height proportional to an increase in the length of writing. We found a similar decline in height of letters; in addition, we found the letters to be overall smaller and more crowded as the handwriting task continued, which is an observation often reported by clinicians. There was a substantial increase in micrographia when the last and the first blocks in second line were compared.

We found 12 PD subjects with severe micrographia and two out of these 12 could barely complete the handwriting test. The handwriting capacity for these two subjects was noted to be significantly diminished and almost illegible even at the time of signing the consent form and on the item of writing a sentence for the MMSE test. These two subjects were assessed at the time when their dopaminergic medications had begun to wear off. Besides, a possibility of underlying apraxia for writing cannot be ruled out, but we did not specifically test for limb-kinetic or ideomotor apraxia.

Handwriting assessment can be potentially marred by the presence of dystonia, tremors, dyskinesias, history of stroke and peripheral neuropathy affecting the hand. These factors were specifically excluded for the PD subjects though we did not exclude limb apraxia, hand injuries, arthritis of neck and hand joints. These factors should be considered too and excluded for future studies.

The subjects who participated in the study were all men and veterans. They were enrolled from a tertiary care centre, and their handwritings were determined by unblinded raters. These factors may have introduced a selection and assessment bias in the methods.

It has been suggested that L-DOPA may partially improve micrographia, but this notion remains to be verified. L-DOPA seems to improve writing speed more than the size¹⁷ and affects the amplitude of the pre-movement EEG potential (Bereitschaft potential) which is abnormal in PD.¹⁸ Dopaminergic medication also increases striatal-frontal connectivity between the caudate nucleus and prefrontal cortex during motor timing.¹⁹ These results suggest that L-DOPA effects on handwriting occur possibly at the level of motor programming. In this study, subjects were studied on medications, and no correlation was found for micrographia and L-DOPA equivalent dose. A study on and off medications is definitely required to provide further insight.

In literature, micrographia has been reported to be more frequent in native than secondary languages, owing to impaired execution of more utilized tasks.²⁰ In our population, most subjects spoke only one language

(English). We had only two veterans who were fluent in more than one language (they knew English and Vietnamese); therefore, in this study, we suspect that the true effects of language on micrographia could not be discerned as the sample size of multilingual subjects was small.

There was also a possible confound of handedness on the clinical manifestation of micrographia, which has been proposed to be more frequent in those with left hemispheric lesions.²¹ In our sample, all subjects except two were right handed (one of them was ambidexterous). Due to the homogeneity in the cohort, the effects of handedness could not be determined. Although handwriting assessment was performed using only the dominant hand, presence of micrographia showed positive correlation with bradykinesia scores determined for both sides (Purdue pegboard and finger tap scores). It would therefore be interesting to determine if handwriting performance was affected bilaterally that was something not focused on in this study.

Presence of micrographia did not reveal any statistical correlation with the overall disease duration though there was a correlation with disease severity determined on H&Y staging²² and UPDRS motor assessments. It is also important to note that most subjects were unable to recall the exact onset of timing for handwriting impairment. Thus, a longitudinal follow-up of these patients will be required to determine the effects of disease progression.

The effects of external cues on the task of handwriting in PD are not clear from literature. One study demonstrated micrographia to get better in the presence of both visual as well as auditory cues²³; in contradistinction, Ondo and Satija¹⁶ found handwriting to get larger when the subjects performed the handwriting task with eyes closed. We decided to keep the task simple and allowed both visual and auditory cues to be provided during the bedside handwriting test. The handwriting test was conducted on lined paper, and a visual model was presented at the beginning of the task. Auditory announcements for task commencement and task conclusion were made; although unlike the previous study,²³ no specific reminder to keep handwriting big was provided.

It has been observed that there is a significant influence of mental load on micrographia²⁴ and increased processing demands within the writing task contribute to reduction in writing size.²⁵ In this study, although the mental load was kept at a minimum during the handwriting test and a hierarchy of tasks was not tested, we found those with reports of cognitive impairment on history and also with lower scores on MMSE testing showed a correlation with micrographia. We found that the MMSE scores in this cohort were lower than what one could expect for the H&Y scores recorded. This was very intriguing, and we think that there could be multiple factors contributing. The study was performed in an older population, we did not record the educational backgrounds of participants, their medication records were not reviewed and a detailed cognitive

assessment was not performed. Unfortunately, MMSE testing in PD serves only as a screening tool and does not capture all aspects of cognitive functioning.

In this cohort, micrographia revealed a significant correlation with both bradykinesia and hypophonia. Inappropriate scaling of the dynamic muscle force to the movement parameters has been proposed to be one of the underlying mechanisms for bradykinesia²⁶ and handwriting issues have been found to be more apparent when a sustained ramp force is required over the duration of a writing stroke.²⁷ Similarly, inappropriate scaling of laryngeal muscles during speech that results from a hypometric output sent by motor–premotor cortex is the underlying basis of hypophonia in PD. PET studies have found abnormal patterns of activation in motor–premotor cortex that may be influenced by Lee Silverman Voice therapy, with activation pattern shifting to basal ganglia and insula.²⁸ Based on the weight of the evidence, one could hypothesize that micrographia similar to bradykinesia and hypophonia is probably due to a hypometric output driven by motor–premotor cortex with defects in execution of handwriting instructions (inappropriate scaling) and that this may explain the link to bradykinesia and hypophonia.

Future studies of PD-related micrographia should be directed towards functional imaging and electrophysiological assessment of the cerebral cortex and its basal ganglia connections and the on/off effects of dopaminergic medications. This study is the largest of its kind in the area of micrographia and it will help practitioners to understand that the issue is present in about half of all PD patients and that disease severity, cognitive impairment, bradykinesia and hypophonia all seem to be correlated, suggesting an overlap in the pathophysiology.

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Contributors AWS was involved in conception and design, acquisition of data, interpretation of data, drafting the article and final approval of the version; SO was involved in interpretation of data, drafting the article and final approval of the version; MSO, VG and JS were involved in revising the article critically for important intellectual content and final approval of the version to be published. SWM was involved in conception and design, acquisition of data, drafting the article and final approval of the version.

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Competing interests MSO serves as a consultant for the National Parkinson's Foundation and has received research grants from NIH, NPF, the Michael J. Fox Foundation, the Parkinson's Alliance, Smallwood Foundation and the UF Foundation. MSO has in the past >24 months received no support from industry including travel. MSO has received royalties for publications with Demos, Manson and Cambridge (movement disorders books). MSO has participated in CME activities on movement disorders sponsored by the USF CME office, Peer View and by Vanderbilt University. The institution and not MSO receives grants from Medtronic and ANS/St. Jude, and the PI has no financial interest in these grants. MSO has participated as a site PI and/or co-investigator for several NIH, foundation and industry-sponsored trials over the years but has not received honoraria. VG: employment—Central Arkansas Veterans Health Care System; JS: employment—Central Arkansas Veterans Health Care System; SWM: employment—Central Arkansas Veterans Health Care System.

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STROBE 2007 (v4) checklist of items to be included in reports of observational studies in epidemiology*

Checklist for cohort, case-control, and cross-sectional studies (combined)

| Section/Topic | Item # | Recommendation | Reported on page # |
|---------------------------|--------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------|
| Title and abstract | 1 | (a) Indicate the study’s design with a commonly used term in the title or the abstract | 1 |
| | | (b) Provide in the abstract an informative and balanced summary of what was done and what was found | 2-3 |
| Introduction | | | |
| Background/rationale | 2 | Explain the scientific background and rationale for the investigation being reported | 3 |
| Objectives | 3 | State specific objectives, including any pre-specified hypotheses | 4 |
| Methods | | | |
| Study design | 4 | Present key elements of study design early in the paper | 4 |
| Setting | 5 | Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection | 4 |
| Participants | 6 | (a) Cohort study—Give the eligibility criteria, and the sources and methods of selection of participants. Describe methods of follow-up Case-control study—Give the eligibility criteria, and the sources and methods of case ascertainment and control selection. Give the rationale for the choice of cases and controls Cross-sectional study—Give the eligibility criteria, and the sources and methods of selection of participants | |
| | | (b) Cohort study—For matched studies, give matching criteria and number of exposed and unexposed Case-control study—For matched studies, give matching criteria and the number of controls per case | |
| Variables | 7 | Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable | 5 |
| Data sources/ measurement | 8* | For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group | 4-5 |
| Bias | 9 | Describe any efforts to address potential sources of bias | |
| Study size | 10 | Explain how the study size was arrived at | |
| Quantitative variables | 11 | Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why | 5-6 |
| Statistical methods | 12 | (a) Describe all statistical methods, including those used to control for confounding | 6 |
| | | (b) Describe any methods used to examine subgroups and interactions | |
| | | (c) Explain how missing data were addressed | |
| | | (d) Cohort study—If applicable, explain how loss to follow-up was addressed Case-control study—If applicable, explain how matching of cases and controls was addressed | |

| | | | |
|--------------------------|-----|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------|
| | | <i>Cross-sectional study</i> —If applicable, describe analytical methods taking account of sampling strategy | |
| | | (e) Describe any sensitivity analyses | |
| Results | | | |
| Participants | 13* | (a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed | 6 |
| | | (b) Give reasons for non-participation at each stage | |
| | | (c) Consider use of a flow diagram | |
| Descriptive data | 14* | (a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential confounders | 7 |
| | | (b) Indicate number of participants with missing data for each variable of interest | |
| | | (c) <i>Cohort study</i> —Summarise follow-up time (eg, average and total amount) | |
| Outcome data | 15* | <i>Cohort study</i> —Report numbers of outcome events or summary measures over time | 7-8 |
| | | <i>Case-control study</i> —Report numbers in each exposure category, or summary measures of exposure | |
| | | <i>Cross-sectional study</i> —Report numbers of outcome events or summary measures | |
| Main results | 16 | (a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included | 7-9 |
| | | (b) Report category boundaries when continuous variables were categorized | |
| | | (c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period | |
| Other analyses | 17 | Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses | |
| Discussion | | | |
| Key results | 18 | Summarise key results with reference to study objectives | 9 |
| Limitations | 19 | Discuss limitations of the study, taking into account sources of potential bias or imprecision. Discuss both direction and magnitude of any potential bias | 9-13 |
| Interpretation | 20 | Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence | 9-13 |
| Generalisability | 21 | Discuss the generalisability (external validity) of the study results | 9-13 |
| Other information | | | |
| Funding | 22 | Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based | 14 |

*Give information separately for cases and controls in case-control studies and, if applicable, for exposed and unexposed groups in cohort and cross-sectional studies.

Note: An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at <http://www.plosmedicine.org/>, Annals of Internal Medicine at <http://www.annals.org/>, and Epidemiology at <http://www.epidem.com/>). Information on the STROBE Initiative is available at www.strobe-statement.org.