# **BMJ Open** The effect of guided self-determination on self-management in persons with type 1 diabetes mellitus and HbA<sub>1c</sub> ≥64 mmol/mol: a group-based randomised controlled trial

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# **ABSTRACT**

**Objectives** To determine whether the impact of guided self-determination (GSD) applied in group training (GSD-GT) in people with chronically elevated HbA, and type 1 diabetes mellitus (DM) was superior to 'care as usual' in improving HbA<sub>1c</sub> and psychological functioning.

**Setting** An outpatient clinic at a university hospital in Western Norway.

Participants A total of 178 adults (all Caucasian) aged 18-55 (mean age 36.7±10.7, 62% women) with type 1 DM for at least 1 year and HbA, ≥64 mmol/mol (8.0%) were randomly assigned to participate in either GSD-GT or a control group (CG). Exclusion criteria were severe comorbidity, major psychiatric disorder, cognitive deficiency/language barriers and pregnancy.

Intervention Intervention group met seven times for 2 hours over 14 weeks to promote patient autonomy and intrinsic motivation using reflection sheets and advanced professional communication in accordance with the GSD methodology.

Primary and secondary outcome measures The primary outcome was HbA, and secondary outcomes (all outcomes 9 months post intervention) were selfmonitored blood glucose frequency, self-reported diabetes competence, autonomy support by healthcare providers (Health Care Climate Questionnaire), autonomous versus controlled diabetes motivation (Treatment Self-Regulation Questionnaire), diabetes distress (Problem Areas In Diabetes Scale (PAID) and Diabetes Distress Scale (DDS)), self-esteem (Rosenberg Self-Esteem Scale) and psychological well-being (World Health Organization fiveitem Well-Being Index scale).

Results Among participants allocated to the GSD-GT (=90) 48 completed the study, whereas 83 completed in the CG (n=88). With 95% Cls GSD-GT did not have effect on HbA<sub>10</sub> (B -0.18, CI (-0.48, 0.12), p=0.234). GSD-GT improved autonomy-motivated behaviour (B 0.51, Cl (0.25, 0.77), p<0.001), diabetes distress (PAID, B -6.96, CI (-11.40, -2.52), p=0.002), total DDS (B -5.15, CI (-9.34, -0.96), p=0.016), DDS emotional burden (B -7.19, CI (-13.20, -1.19), p=0.019) and self-esteem (B 1.43, Cl (0.34, 2.52), p=0.011).

Conclusions Results from this behavioural intervention must be interpreted cautiously because of recruitment

# Strengths and limitations of this study

- ► This study evaluated the effect of a person-centred behavioural intervention among adults with type 1 diabetes mellitus (DM), scarcely evaluated in the literature compared with educational programmes among persons with type 2 DM.
- Targeting persons with type 1 DM and chronically elevated HbA, is a challenge because long-standing poor glycaemic control appears to be a complex and heterogeneous phenomenon.
- The most obvious limitation of this study is that generalisability might be distorted due to recruitment problems, and attrition from the GSD-GT (guided self-determination applied in group training) programme.

and attrition problems. Medical outcomes did not improve. Psychological outcomes improved, especially reduced diabetes distress.

Trial registration number Clinical Trials.gov NCT 01317459.

# INTRODUCTION

Diabetes is considered a demanding condition requiring complex self-management tasks for the individual. Effective self-management is a prerequisite for preventing long-term complications and the immediate risk of hypoglycaemia. Researchers underscore that diabetes self-management education is an ongoing process rather than a one-time event. American Diabetes Association (ADA) National Standards for Diabetes Self-Management Education and Support holds that self-management does not stop when a person with diabetes leaves the educator's office.<sup>2</sup> Consequently, motivation is a key concept, and one based on respect for the individual's autonomy is



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Correspondence to Jannike Mohn; jmo@hvl.no essential as it is connected with success in reaching and maintaining goals.<sup>3</sup> Therefore, the challenge for health-care professionals (HCPs) is to implement an autonomy supportive approach instead of one based on control or disclaimed responsibility.<sup>4</sup> Considerable barriers to such an approach exist<sup>5</sup> and personal problems in living with the illness might remain unclarified and unresolved.<sup>6</sup> This can contribute to the 37%-56% of people with type 1 diabetes mellitus (DM) who are living with blood sugar above target levels<sup>7</sup> and at increased risk of late complications together with poor quality of life.<sup>8</sup> The ADA states that diabetes care is often suboptimal; lacking are collaborative, multidisciplinary teams well suited to provide appropriate self-management education among persons with diabetes.<sup>9</sup>

One of the first structured diabetes treatment and education programmes for type 1 DM was developed between 1980 and 1990. While many trials have evaluated the effect of diabetes education programmes in type 2 DM, there is still a paucity of trials evaluating evidence-based self-management programmes promoting empowerment in adults with type 1 DM. 11 Among persons with type 1 DM, the DAFNE (Dose Adjustment for Normal Eating) education programme showed significant improvements in HbA<sub>1c</sub> in the early stage of the trial<sup>12</sup> but more modest longer term improvements. 13 A self-management-oriented education programme (PRIMAS) showed effect on glycaemic control and reduced diabetes distress at 6 months of follow-up compared with an established education programme in a multicentre trial.<sup>14</sup> In an uncontrolled evaluation of the DTTP (Diabetes Teaching and Treatment Programme) persons with moderately controlled type 1 DM improved their HbA<sub>1c</sub> and treatment satisfaction. 15

Guided self-determination (GSD) is a theory-based and evidence-based problem-solving method to overcome barriers to collaborative care. It is based on life skills theory, dynamic judgement building and theories about behaviour change. GSD promotes patient autonomy, participation, skills building and intrinsic motivation.<sup>16</sup> The method is applicable in group training (GSD-GT) or individual care for a variety of conditions. In a recent study, GSD improved physical well-being in women surgically treated for gynaecological cancer. <sup>17</sup> For persons with type 1 DM, the GSD methodology has demonstrated some success. The first randomised controlled trial among adults showed significant improvement in glycaemic control and life skills. <sup>18</sup> In later studies, GSD proved to be either borderline effective or not effective concerning glycaemic control among younger adults/adolescents; however, it reduced diabetes distress and lack of motivation and improved diabetes competence among young adult women. 19 20 In addition, a case report on a young woman showed considerable reduction in HbA<sub>L</sub> after a GSD intervention.<sup>21</sup> In conclusion, GSD seems worthy of further research for several reasons. First, patient involvement and person-centred care are highly appreciated and recommended, but difficult to implement as part of clinical care.<sup>22</sup> Second, GSD is one of few interventions which clinicians are able to facilitate in routine clinical care after rather short training. Third, persons with diabetes have a primary role in GSD, spending their time at home clarifying what is important for them to change and becoming able to express their thoughts in communication with HCPs. Consequently, efficiency of patient–provider communication increases without extra use of HCP resources. Last, GSD has the potential for improvement of HbA<sub>1c</sub>, as well as increased self-determination and decrease of diabetes-related burden.<sup>18</sup> This randomised intervention study tests whether GSD-GT is superior to 'care as usual' (CU) in improving glycaemic control and psychological functioning among adults with suboptimally regulated type 1 DM.

# PATIENTS AND METHODS

### Design

This study was a prospective, randomised trial with a control group (CG) and a treatment group. Participants were recruited from an outpatient setting at a university hospital in Western Norway. The hospital's population is ethnically homogeneous, and includes both urban and rural populations. To test the effect of GSD, persons with type 1 DM and suboptimal metabolic control were invited to participate in an educational group treatment intervention (GSD-GT) or CU CG. The Regional Committee for Medical and Health Research Ethics approved the study (approval number 2010/1325), and gave access to the age, gender and HbA<sub>1c</sub> of non-responders. Participants gave written informed consent.

#### Recruitment

From March 2011 to March 2013, all persons with type 1 DM attending consultations at the university hospital (n=561) were assessed for eligibility according to the inclusion criteria (figure 1). Further details on inclusion and exclusion criteria are outlined elsewhere. A prestudy power calculation for a t-test assuming a 0.05 significance level and a power of 0.8 expecting 25% dropout and a difference of 0.6% change in HbA<sub>1c</sub> (SD 1.3) between groups led to 218 participants needed to include. Expected change in HbA<sub>1c</sub> was based on clinical relevance.

After identifying 476 people who met study criteria, a request was sent by post 1–3 weeks in advance of their next clinical consultation, inviting them to take part in the study. To those who neither responded to the request nor attended at the clinic appointment, an additional letter was sent. If still no response nor attendance at the clinic, they were classified as non-responders (n=149). Another 149 persons actively declined participation. Their response was given when they were at the clinic, or by telephone if they were unable to meet for their scheduled appointment. Those willing to participate were consented when they were at the clinic (n=178). The participation rate in the study was 37.4% (178 of the 476 who met inclusion criteria).

Participants received no monetary incentives. All sessions were free of charge.

#### **Randomisation**

The randomisation occurred externally and was stratified in computer-generated sequences unknown to the investigators. Blinding was considered impossible due to the nature of the intervention and was not attempted. Participants were randomised to either the usual care CG (n=88; M/W: n=40/48) or GSD-GT (n=90; M/W: n=26/64). The groups consisted of a minimum of two and a maximum of seven participants. Each GSD training group was balanced according to sex and age, preferably an equal number of men and women in each group and age ranging  $\pm 6$  years.

In the CG and GSD-GT conditions, 5 and 42 participants were lost to follow-up, respectively; thus 131

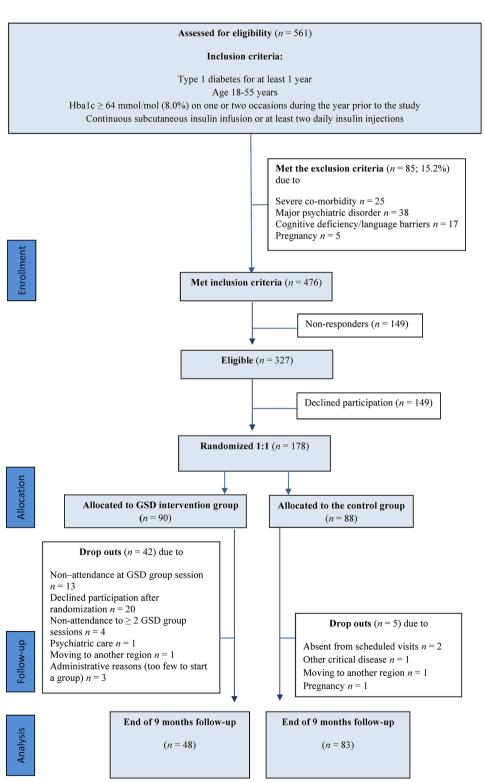


Figure 1 Study flow diagram. GSD, guided self-determination.

participants (74%) completed the trial. The reasons for dropout in both study groups, and the total flow of participants through each stage of the trial are depicted in figure 1.

#### Intervention

The GSD intervention was based on a technique by which the HCPs encourage people with diabetes to reflect on different problem areas concerning their daily lives with diabetes and develop autonomous motivation for lifestyle changes. The technique was partly built on semistructured worksheets filled in before and between each appointment, making the people with diabetes prepared with enhanced self-insight and ability to talk about personal difficulties. The perceived obstacles and barriers were responded to by HCPs with specific communication skills who conducted each consultation consistent with the GSD methodology. <sup>18</sup> Intervention group (IG) participants met seven times for 2-hour sessions over 14 weeks. Two GSD-trained diabetes specialist nurses supervised each session. Nurses were given 1 hour per week groupbased feedback to secure fidelity to the protocol. No GSD-GT participants received additional treatment during the intervention period, except two participants who needed one extra consultation because of technical problems with their insulin pumps.

The CG received traditional outpatient consultations, 'care as usual,' consisting of individual counselling by nurses, physicians or dieticians, with measurements of HbA<sub>1c</sub> and advice on how to improve glycaemic control. No CG participants met with GSD-trained nurses during the intervention period.

#### **Assessments**

Participant characteristics were assessed at baseline. Primary and secondary outcome measurements were planned to be performed at baseline and 9 months after the last session of group intervention (GSD-GT) or 9 months after inclusion (CG). Because of the need to get enough participants to start group sessions, there was a large variation in time from randomisation to start of intervention in the IG (mean=4.9 months, SD=3.6). There was also some variation in time from baseline to follow-up in the CG (mean=10.9 months, SD=2.4) and time from last session to follow-up in the IG (mean 9.3 months, SD=1.0) because many participants needed several reminders before they handed in the follow-up questionnaire.

# Primary outcome measure

The primary endpoint was glycaemic control  $(HbA_{1c})$  which was assessed in connection with a regularly scheduled visit at the hospital. The blood samples were analysed using high-performance liquid chromatography (DCA Vantage/Siemens, DCA 2000 and DCA 2000+/Bayer), assays standardised and calibrated against the IFCC (International Federation of Clinical Chemists) standards.  $^{24}$ 

#### Secondary outcome measures

All participants reported the number of self-monitored blood glucose (SMBG) measurements completed in the past 2 weeks in the following six categories: 'seven or more measurements per day', 'four to six measurements per day', 'one to three measurements per day', 'less than every day', 'less than every week' and 'no monitoring last 14 days'. Due to small size of some categories, we chose to collapse into the following three categories: 'seven or more measurements per day', 'one to six measurements per day' and 'less than daily measurements'. Participants also completed seven self-report instruments assessing aspects of psychological functioning consistent with the theoretical framework of GSD.

The *Problem Areas In Diabetes Scale* (PAID) measures negative emotions related to living with diabetes (range=0–100 scale); higher scores represent greater distress.<sup>25</sup>

The *Diabetes Distress Scale* (DDS) measures the level of diabetes distress with an overall score and four subscales: emotional burden, regimen-related, interpersonal-related and medical care-related distress. The range is 1–6 for each item. Total score is calculated by transforming the mean score to a 0–100 range. Higher scores represent greater distress.<sup>26</sup>

The *Perceived Competence for Diabetes Scale* (PCDS) assesses the degree to which persons with diabetes feel they can manage the everyday aspects of diabetes care. The range is 1–7 for each item and the mean is used as a total score. Higher scores represent greater perceived competence.<sup>27</sup>

The *Rosenberg Self-Esteem Scale* (RSES) measures one's overall self-esteem. The range is 1–4 for each item and total score is calculated as the mean of all items multiplied by 10. Higher scores represent better self-esteem.<sup>28</sup>

The World Health Organization five-item Well-Being Index (WHO-5) measures emotional well-being. The range for each item is 0–6 and a total score is calculated by transforming the sum to a 0–100 range. Higher scores represent better emotional well-being.<sup>29</sup>

The *Health Care Climate Questionnaire* (HCCQ) assesses patients' perceptions of the degree to which health-care providers are supportive of autonomy rather than controlling. The range is 1–7 for each item and total score is calculated as the mean of all items; higher scores represent greater perceived support for autonomy.<sup>30</sup>

The *Treatment Self-Regulation Questionnaire* (TSRQ) assesses the diabetes self-care practices and whether this behaviour is self-motivated (autonomous/internal) or controlled (external).<sup>31</sup> Each item ranges from 1 to 7 and behaviour scores are calculated as the mean of items within the internal and external dimensions separately. A relative autonomy index (TSRQ Relative Autonomy Index, RAI) was also calculated.

The PCDS, the HCCQ and the TSRQ were translated into Norwegian and back-translated into English by professional translators, in accordance with the WHO guidelines.  $^{32}$ 

#### Statistical analysis

Mann-Whitney U tests and  $\chi^2$  tests were used to assess randomisation efficacy by testing for differences in baseline measures. To assess differential attrition, members of GSD-GT who completed the study were compared with those who did not. We fitted a regression model for each outcome at 9 months to investigate the difference between IG and CG both unadjusted and adjusted for baseline outcome and sex. To take into account possible bias introduced by the high attrition rate in the IG, we additionally adjusted for variables showing unbalanced attrition. We used a linear regression model for all outcomes except SMBG where a multinomial logistic model was used. We used a linear regression model to test whether change in SMBG mediated change in HbA $_{\rm Ic}$  and whether psychological effects were mediated by increased autonomy.

Therewere no intermediate assessments of question naire data; therefore, it was not possible to do intention-to-treat analysis for persons who dropped out and per-protocol analyses were thus performed. HbA<sub>1c</sub> was assessed for those who did not complete the study because it could be obtained from medical records. Therefore, intentionto-treat analysis of HbA<sub>1c</sub> was performed. Because of the difference in follow-up time between GSD-GT and CG and because of the large variation within each group, we also did additional analyses where we estimated the association between change in outcome measures and length of follow-up within each group. Associations were tested using linear regression with change in outcome measure as dependent variable and follow-up time in months as independent variable with adjustment for baseline measurement of the outcome variable. For data analyses, the statistical software program SPSS Statistics V.22 (SPSS Inc.) was used. Significance level was set to 0.05. Missing values were handled by pairwise exclusion.

#### **RESULTS**

#### **Baseline characteristics**

The mean age of all subjects in the study sample was 36.7 years ( $\pm 10.7$ ), the median disease duration was 19 years (range=1–46), 13.5% were unemployed, 96.6% were white and 31.5% had diabetes-related complications. A comparison of the baseline characteristics between the groups (without taking the attrition rate into account) suggests that the randomisation was successful for all parameters except sex, in that we found a significant difference in the number of women assigned to the study groups (M/W in the GSD-GT: n=26/64 (29/71%) vs M/W in the CG: n=37/46 (45/55%), p=0.022) (results not shown). Baseline characteristics of the sample are presented in table 1.

Due to the considerable number of dropouts in GSD-GT, participants were stratified into follow-up and lost to follow-up to analyse the effects of attrition. The only statistically significant difference at baseline between CG and GSD-GT follow-up was for sex (p=0.047). The GSD-GT lost to follow-up participants had poorer baseline glycaemic control and scored higher on diabetes distress (DDS, subscale 3, regimen distress) than GSD-GT

follow-up participants. Similarly, there were significantly fewer persons with education at a university level in the GSD-GT lost to follow-up group than in the GSD-GT follow-up group.

Among participants allocated to the CG, there were no statistically significant baseline differences between those who fulfilled the trial (n=83) and those who were lost to follow-up (n=5, data not shown).

#### **Primary outcome**

As seen in table 2,  $HbA_{Ic}$  declined significantly within both groups (p<0.001) from baseline to follow-up, with no significant difference between the groups.

#### **Secondary outcomes**

The results for all secondary outcome measures are presented in table 2, except SMBG (table 3). Secondary outcomes are clustered into (A) medical measures, (B) type of motivation and (C) psychological measures.

#### Medical measures

The proportion of SMBG seven times per day or more increased significantly within both groups from baseline to follow-up. There was no significant difference between groups in change at follow-up. The change of HbA<sub>1c</sub> was not mediated by the change of SMBG (p=0.728, data not shown). Self-perceived diabetes competence (PCDS) and autonomy support from HCPs (HCCQ) showed no significant change, neither within nor between groups.

#### Type of motivation

The TSRQ Relative Autonomy Index showed a significant improvement within the GSD-GT group (p=0.014), and a significant difference between groups in change (B=0.35, p=0.045). This finding was due primarily to a significant improvement in TSRQ Autonomy for GSD-GT relative to CG (B=0.51, p<0.001). TSRQ control remained unchanged within both groups, with no significant difference between groups.

#### Psychological measures

Participants in the GSD-GT group exhibited a significant reduction in diabetes-related distress relative to the CG as measured by the PAID, the DDS overall score and the DDS emotional burden subscale (B=-6.96, p=0.002; B=-5.15, p=0.016; and B=-7.19, p=0.019 respectively). In addition, a reduction in DDS regimen distress was reported within the GSD-GT group as well as the CG; the difference in group improvement did not reach significance. The GSD-GT group showed an increase in self-esteem (RSES) relative to the CG (B=1.43, p=0.011); the CG experienced a decrease in self-esteem (p=0.027). The level of overall well-being (WHO-5) showed no significant change, neither within nor between the study groups. Neither PAID, DDS total nor RSES was significantly mediated by TSRQ autonomy (p>0.070, data not shown).

	A. Control group (completers)	B. GSD intervent	tion group	A versus B1	B1 versus B2
	n=83	B1 Follow-up n=48	B2 Lost to follow-up n=42	р	р
Participant characteristics					
Sex, women ab	46 (55.4)	35 (72.9)	29 (69.0)	0.047	0.686
Age, years <sup>c d</sup>	37.2 (10.9)	36.9 (9.4)	36.3 (11.6)	0.860	0.916
Living alone, yes ab	17 (20.5)	5 (10.4)	6 (14.3)	0.138	0.576
Education university, yes ab	30 (36.1)	23 (47.9)	10 (23.8)	0.186	0.018
Employed <sup>a b</sup>					
Full-time	54 (65.1)	34 (70.8)	28 (66.7)	0.485	0.464
Part-time	16 (19.3)	10 (20.8)	7 (16.7)		
Not working	13 (15.7)	4 (8.3)	7 (16.7)		
Diabetes duration, years cd	20.6 (11.2)	18.5 (10.6)	18.0 (11.0)	0.310	0.694
Long-term complications, yes ab	29 (34.9)	11 (22.9)	15 (35.7)	0.150	0.181
Treatment regimen, insulin pump, yes ab	37 (44.6)	16 (33.3)	20 (47.6)	0.206	0.168
Severe hypoglycaemia, yes <sup>a b</sup>	35 (42.7)	24 (50.0)	13 (31.7)	0.419	0.081
Body Mass Index (BMI) cd	26.0 (4.1)	25.0 (3.6)	25.8 (4.0)	0.145	0.333
Outcomes					
HbA <sub>1c</sub> , mmol/mol <sup>c d</sup>	78 (12.7)	76 (10.4)	81 (11.7)		
HbA <sub>1c,</sub> % <sup>c d</sup>	9.3 (1.2)	9.1 (1.0)	9.5 (1.1)	0.320	0.018
SMBG <sup>a b e</sup>					
≥7 times per day	8 (9.6)	8 (16.7)	5 (11.9)	0.439	0.627
1-6 times per day	51 (61.4)	29 (60.4)	24 (57.1)		
<every day<="" td=""><td>24 (28.9)</td><td>11 (22.9)</td><td>13 (31.0)</td><td></td><td></td></every>	24 (28.9)	11 (22.9)	13 (31.0)		
PAID <sup>cdf</sup>	35.3 (18.7)	36.8 (19.3)	41.6 (24.4)	0.696	0.355
DDS <sup>cdg</sup> (sum score)	31.9 (16.7)	33.1 (16.4)	37.3 (20.9)	0.643	0.340
DDS emotional burden cd	36.0 (22.5)	36.9 (25.6)	42.3 (26.0)	0.985	0.297
DDS physician distress <sup>c d</sup>	17.7 (19.9)	18.1 (18.2)	19.7 (23.1)	0.826	0.990
DDS regimen distress <sup>c d</sup>	45.8 (23.7)	44.7 (21.8)	53.9 (25.4)	0.816	0.036
DDS interpersonal distress <sup>c d</sup>	21.0 (20.1)	26.1 (19.3)	24.9 (23.2)	0.101	0.577
PCDS <sup>c d h</sup>	4.5 (1.6)	4.4 (1.4)	3.9 (1.6)	0.734	0.088
RSES cdi	19.6 (5.5)	19.5 (5.4)	19.0 (6.2)	0.876	0.624
WHO-5 <sup>c d j</sup>	57.6 (18.6)	60.9 (19.8)	57.1 (20.5)	0.338	0.468
HCCQ <sup>c d k</sup>	5.1 (1.4)	4.9 (1.6)	4.8 (1.6)	0.595	0.923
TSRQ autonomy <sup>c d l</sup>	5.2 (1.1)	5.4 (1.0)	4.9 (1.3)	0.754	0.088
TSRQ control cd m	3.2 (1.3)	3.5 (1.2)	3.1 (1.2)	0.073	0.061
TSRQ RAI 14 c d n	2.0 (1.3)	1.8 (1.5)	1.8 (1.7)	0.339	0.588

aN (%), <sup>b</sup>Chi-square (χ²), <sup>c</sup>Mean (SD), <sup>d</sup>Mann-Whitney, <sup>e</sup>Self-Monitoring Blood Glucose, <sup>f</sup>Problem Areas in Diabetes scale (range 0-100), <sup>g</sup>Diabetes Distress Scale (range 0-100), <sup>h</sup>Perceived Competence in Diabetes Scale (range 1-7), <sup>l</sup>Rosenberg Self-Esteem Scale (range 10-40), <sup>l</sup>WHO(5)Well-being Index (range 0-100), <sup>k</sup>Health Care Climate Questionnaire (range 1-7), <sup>l</sup>Treatment Self-Regulation Questionnaire, Autonomous motivation (range 1-7), <sup>m</sup>Treatment Self-Regulation Questionnaire, Relative Autonomy Index (range 0-6).

# Length of follow-up

Results from linear regression analyses for the association between outcome measures and length of follow-up in the IG are shown in table 4. There were no significant associations, that is, the change was not larger for patients with longer follow-up. Corresponding analyses for the CG also showed no significant associations with length of follow-up (results not shown).

Table 2 Outcomes in	Outcomes in control group and GSD intervention group (N=131	up and GSD	intervent	ion group (N	=131)							
	Within-group change <sup>a</sup>	up change <sup>a</sup>					Betweer	Between-groups change <sup>b</sup>				
	Control group Mean (SD)	dn		GSD group Mean (SD)			Model 1			Model 2		
	Baseline (n=83)	Follow-up (n=83)	p Value	Baseline (n=48)	Follow-up (n=48)	p Value	Effect size <sup>°</sup>	Group difference <sup>d</sup> (95% CI)	p Value	Effect size <sup>d</sup>	Group difference <sup>d</sup> (95% CI)	p Value
Primary outcome												
HbA <sub>1c</sub> %	9.3 (1.2)	8.9 (1.3)	<0.001	9.1 (1.0)	8.5 (1.1)	<0.001	0.005	-0.15 (-0.45 to 0.15)	0.316	0.007	-0.18 (-0.48 to 0.12)	0.234
HbA <sub>1c</sub> mmol/mol	78 (12.7)	74 (14.1)		76 (10.4)	70 (11.7)							
Secondary outcomes medical	nedical											
PCDS	4.5 (1.6)	4.7 (1.5)	0.305	4.4 (1.4)	4.7 (1.6)	0.071	0.003	0.25 (-0.18 to 0.67)	0.247	0.003	0.26 (-0.17 to 0.70)	0.229
HCCQ <sup>9</sup>	5.1 (1.4)	5.0 (1.4)	0.618	4.9 (1.6)	5.0 (1.6)	0.802	0.000	0.04 (-0.46 to 0.54)	0.873	0.000	0.03 (-0.48 to 0.54)	0.904
Type of motivation												
TSRQ <sup>h</sup> autonomy	5.2 (1.1)	5.1 (1.1)	0.085	5.4 (1.0)	5.6 (0.9)	0.060	0.067	0.53 (0.28 to 0.79)	<0.001	0.061	0.51 (0.25 to 0.77)	<0.001
TSRQ control	3.2 (1.3)	3.1 (1.3)	0.532	3.5 (1.2)	3.3 (1.1)	0.072	0.000	0.13 (-0.13 to 0.40)	0.321	0.000	0.16 (-0.11 to 0.43)	0.237
TSRQ index	2.0 (1.3)	1.9 (1.2)	0.364	1.8 (1.5)	2.3 (1.3)	0.014	0.047	0.40 (0.06 to 0.73)	0.020	0.038	0.35 (0.01 to 0.69)	0.045
Psychological												
PAID	35.3 (18.7)	34.2 (19.6)	0.488	36.8 (19.3)	29.8 (18.9)	0.002	0.038	-6.66 (-11.03 to -2.29)	0.003	0.043	-6.96 (-11.40 to -2.52)	0.002
DDS <sup>i</sup> overall	31.9 (16.7)	30.4 (17.5)	0.323	33.1 (16.4)	27.9 (16.8)	0.012	0.022	-4.45 (-8.62 to -0.27)	0.037	0.033	-5.15 (-9.34 to -0.96)	0.016
DDS emotional burden 36.0 (22.5)	n 36.0 (22.5)	35.7 (24.4)	0.995	36.9 (25.6)	35.7 (24.4)	0.019	0.035	-6.92 (-12.82 to -1.01)	0.022	0.042	-7.19 (-13.20 to -1.19)	0.019
DDS physician distress	17.7 (19.9)	17.8 (19.0)	0.864	18.1 (18.2)	19.3 (19.7)	0.337	0.001	1.14 (-4.38 to 6.66)	0.684	0.000	-0.41 (-5.80 to 4.98)	0.880
DDS regimen distress	45.8 (23.7)	40.2 (22.1)	0.005	44.7 (21.8)	36.8 (22.8)	0.001	0.015	-4.96 (-10.55 to 0.64)	0.082	0.018	-5.38 (-11.07 to 0.32)	0.064
DDS interpersonal distress	21.0 (20.1)	22.2 (21.6)	0.455	26.1 (19.3)	22.8 (22.3)	0.383	0.005	-2.20 (-8.26 to 3.87)	0.475	0.005	-2.24 (-8.40 to 3.91)	0.472
RSES <sup>k</sup>	19.6 (5.5)	18.9 (5.5)	0.027	19.5 (5.4)	20.2 (4.8)	0.267	0.041	1.30 (0.22 to 2.38)	0.018	0.048	1.43 (0.34 to 2.52)	0.011
WHO-5	57.6 (18.6)	56.3 (21.4)	0.129	(19.8)	60.6 (17.4)	096.0	0.010	3.58 (-2.24 to 9.40)	0.226	0.019	4.97 (-0.80 to 10.75)	0.091

regression coefficient from linear regression adjusted for covariates, interpreted as difference in group means, "Within-group or between-groups change equal for HbA<sub>1c</sub> in per cent or mmol/mol, <sup>1</sup>Perceived Competence in Diabetes Scale, <sup>9</sup>Health Care Climate Questionnaire, <sup>1</sup>Preatment Self-Regulation Questionnaire, <sup>1</sup>Problem Areas In Diabetes Scale, <sup>1</sup>Diabetes Distress Scale, aAll within-group change values referred as t-tests, <sup>b</sup>Model 1: djusted for baseline value of outcome. Model 2: djusted for baseline value of outcome and sex, <sup>c</sup>Partial n<sup>2</sup>, <sup>4</sup>Unstandardised 'Rosenberg Self-Esteem Scale, 'World Health Organization five-item Well-Being Index. GSD, guided self-determination. BMJ Open: first published as 10.1136/bmjopen-2016-013295 on 3 July 2017. Downloaded from http://bmjopen.bmj.com/ on January 17, 2024 by guest. Protected by copyright.

Table 3 Outcomes for SMBG in control group and GSD intervention group (N=131)

	Within-grou	p change <sup>a</sup>					Between-gro	oups chan	ge <sup>b c</sup>	
	Control grou	up n (%)		GSD group	n (%)		Model 1		Model 2	
	Baseline (n=83)	Follow-up (n=82)	p Value	Baseline (n=48)	Follow-up (n=47)	p Value	OR (95% CI)	p Value	OR (95% CI)	p Value
SMBG										
≥7 times per day	8 (9.6%)	54 (65.9%)	<0.001	8 (16.7%)	34 (72.3%)	<0.001	1.02 (0.35 to 2.95)	0.971	0.92 (0.31 to 2.74)	0.887
1–6 times per day	51 (61.4%)	10 (12.2%)		29 (60.4%)	8 (17.0%)		1		1	
<daily< td=""><td>24 (28.9%)</td><td>18 (22.0%)</td><td></td><td>11 (22.9%)</td><td>5 (10.6%)</td><td></td><td>3.38 (0.65 to 17.58)</td><td>0.148</td><td>3.12 (0.57 to 16.97)</td><td>0.189</td></daily<>	24 (28.9%)	18 (22.0%)		11 (22.9%)	5 (10.6%)		3.38 (0.65 to 17.58)	0.148	3.12 (0.57 to 16.97)	0.189

aAll within-group change values referred as  $\chi^2$ , bModel 1: adjusted for baseline value of outcome. Model 2: adjusted for baseline value of outcome and sex, cOR from multinomial logistic regression.

GSD, guided self-determination; SMBG, self-monitored blood glucose.

#### DISCUSSION

# Statement of the principal findings and possible explanations

Contrary to the study hypothesis, a group-based GSD programme among persons with type 1 DM and chronically elevated  $\mathrm{HbA}_{\mathrm{lc}}$  did not improve the medical outcomes (glycaemic control, frequency of SMBG, perceived competence for diabetes management, perception of HCPs being supportive of patient autonomy). The hypothesis regarding improvement of psychological functioning was partly confirmed, with improvement in the level of autonomy-motivated behaviour, diabetes distress and self-esteem, but not the level of general psychological well-being.

Long-standing elevated  $\mathrm{HbA}_{1c}$  appears to be a complex and heterogeneous phenomenon and educational programmes have been evaluated for their effectiveness for improving glycaemic control. Some researchers indicate that evaluation studies need to better understand the complexity of variance in  $\mathrm{HbA}_{1c}$ . The present study revealed a substantial decrease in  $\mathrm{HbA}_{1c}$  in both groups, with no difference between groups. It is likely that the change in  $\mathrm{CG}$   $\mathrm{HbA}_{1c}$  was driven by the 'observer effect,' that is, that individuals modify or improve their behaviour in response to their awareness of being

observed.<sup>37</sup> Additional measures of quality of metabolic control would have been follow-up data on mild or severe hypoglycaemia, but these were not assessed.

### Strengths of the study in relation to other studies

The GSD-GT intervention demonstrated a significant improvement in diabetes distress. There were no between-group differences in diabetes distress at baseline among those completing the study. The GSD-GT study group consisted of persons with a rather substantial level of self-reported diabetes distress (43% scoring ≥40 on the PAID scale) at baseline, comparable to previous research. 19 Our results confirm that although a behavioural intervention may not improve medical outcomes among persons with persistently elevated HbA<sub>1c</sub> psychological outcomes may improve. This raises the possibility that if interventions resulting in behaviour change also reduce diabetes distress, the gains in diabetes self-management might be sustained over the long term, as argued by Zagarins et al.<sup>38</sup> One of the key elements of GSD is to support persons with diabetes to clarify and express their unique difficulties and barriers to healthy coping. This is done by mobilising their own potential for change in interactions with autonomy supportive HCPs and by using semistructured reflection sheets. Instead of being instructed by HCPs,

**Table 4** Associations between length of follow-up and change in primary outcome and significant secondary outcomes in the GSD intervention group

	n	Time to follow-up <sup>a</sup> Mean (SD)	Change Mean (SD)	$B^{b}$	p Value
HbA <sub>1c,</sub> %	87	16.1 (5.6)	-0.51 (1.21)	-0.03	0.154
PAID <sup>c</sup>	47	17.6 (3.6)	-6.7 (13.8)	-0.2	0.764
DDS <sup>d</sup> overall	47	17.6 (3.6)	-5.4 (14.0)	-0.4	0.542
DDS emotional burden	48	17.6 (3.6)	-7.1 (20.1)	0.1	0.945
RSES <sup>e</sup>	47	17.6 (3.6)	0.6 (3.5)	-0.1	0.435
TSRQ autonomyf	49	17.6 (3.6)	0.2 (0.9)	0.03	0.388

aTotal follow-up time from date of randomization measured in months, <sup>b</sup>B=unstandardized regression coefficients, <sup>c</sup>Problem Areas in Diabetes scale, <sup>d</sup>Diabetes Distress Scale, <sup>e</sup>Rosenberg Self-Esteem Scale, <sup>f</sup>Treatment SelfRegulation Questionnaire, Autonomous motivation. GSD, guided self-determination;

the core principle in GSD is individualised goal setting, a treatment strategy comparable to other behavioural interventions. <sup>14</sup> In a cross-sectional study, HCPs being autonomy supportive were associated with the perceived level of diabetes distress mediated through the perceived level of diabetes competence.<sup>23</sup> In the current study, and incongruent with prior research on the GSD approach. 1819 there was no change in HCP autonomy support (HCCQ) and level of diabetes competence (PCDS). However, GSD increased autonomous motivation (TSRQ autonomy) and reduced diabetes distress. In terms of patient outcomes, improvement of autonomous motivation for change is hypothesised to be the key mechanism; autonomy support from HCPs is merely one strategy for activating that mechanism. Although the mediation analysis in the current study did not confirm that increasing autonomy mediates mental health benefits (PAID, DDS total and RSES), the individual's use of reflection sheets may play a more important role in GSD. This interpretation is consistent with a recently published qualitative paper evaluating how a GSD approach could bring about a dramatic change in a young woman's perception of her diabetes.<sup>21</sup>

#### Weaknesses of the study and future research

The most obvious limitation of this study is that generalisability might be distorted due to recruitment problems and attrition from the GSD-GT programme. As the power calculation premise was violated, the interpretation of the results is challenging. It remains to a certain extent unclear whether the results are attributable to insufficient power, differential attrition or the effect of the intervention. However, there was no significant difference in change in HbA<sub>1c</sub> between GSD-GT participants who completed the study versus those who did not (p value from t-test 0.71), indicating that it was not the participants with the poorest effect of the intervention who dropped out. Because of lacking follow-up questionnaires we were not able to do the same test for psychosocial measurements, but it is reasonable to assume that differences between dropouts and completers would mirror what we found for HbA1c.

Nevertheless, it is worthwhile to consider potential reasons for high rates of attrition in the IG. Only four participants dropped out after attending a GSD session, comparable to the two participants in the CG who did not make their follow-up medical visits. Most of the dropouts in the GSD-GT group did not attend a single session (33/39), suggesting some possible interpretations for this postrandomisation/preparticipation attrition:

The time from randomisation to start of GSD-GT (mean 4.9 months, SD=3.6) could perhaps discourage individuals who were highly motivated by time of randomisation but considered the waiting time to be too long until the GSD-GT started. Another aspect might be that some individuals found the intervention too comprehensive and demanding after more detailed information was given with regard to the preintervention worksheets that

participants were encouraged to fill in before each group session.

Another limitation is the difference in length of follow-up between the GSD-GT group and the CG. We did however not find any association between the changes in outcomes and length of follow-up in the two groups. Thus, we do not think that the longer follow-up in the GSD-GT group can explain the reported effects of the intervention. In addition, frequency of blood sugar measurement was measured using a rather crude categorisation which might cause loss of important information. Using SMBG as a continuous variable would have been optimal as that would have offered a between-group comparison of the absolute number of measurements per day. However, when designing this study we considered the variation in demands of the disease to differ too much from one day to another, making it difficult to give a valid estimate of the number of measurements per day. We thus choose to use a variable with six categories, but had to collapse this into three categories because of few patients in each category. We tried different categorisations but did not find any significant intervention effect.

Consistent with previous studies, targeting distressed persons with diabetes<sup>39</sup> can be difficult because those with the greatest need for psychological support are most likely to drop out of the psychological intervention. Conversely, those who completed the study had lower levels of diabetes distress and HbA<sub>1c</sub> at baseline, reflecting less need for improvement of competence to manage the everyday aspects of their diabetes care. Perhaps future research on behavioural interventions, especially GSD, should assess patient interest in making changes prior to randomisation so that study participants are appropriate for the intervention.

In the present study, the failure to achieve the primary study goal could possibly be explained by incongruence between the research focus on medical outcomes and participants' possibly prioritising aspects of life other than clinical improvements. Consequently, it is important to assess individual goals in future research using personalised approaches to improving outcomes. In line with the DAWN2 study, there are still unmet needs of people with diabetes and those who care for them, and promotion of innovative efforts to improve self-management and life skills performance should be facilitated. 40 Efforts to manage emotional distress have been suggested as an integral part of diabetes care. 41 Improving psychosocial outcomes requires a shift away from a purely medical model to a person-centred model with greater emphasis on psychosocial aspects. Barnard and colleagues have advocated a holistic model of diabetes care aiming at enhanced diabetes self-management and improved outcomes by considering intrinsic thoughts, as well as the environment and therapy regimen.<sup>42</sup>

#### **Implications for clinicians**

The present study exemplifies a complex behavioural intervention with feasibility challenges and does not

confirm improvements in  $HbA_{1c}$  and other medical outcomes. However, autonomous motivation improved and might be one mechanism for reducing diabetes distress.

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