# **BMJ Open** Budget impact analysis of heparinbonded polytetrafluoroethylene grafts (Propaten) against standard polytetrafluoroethylene grafts for below-the-knee bypass in patients with critical limb ischaemia in France

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#### ABSTRACT

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**Objectives** To evaluate the budget impact of progressive replacement of standard polytetrafluoroethylene (PTFE) grafts by heparin-bound PTFE (Propaten) for below-the-knee (BTK) bypass in patients with critical limb ischaemia (CLI). **Design** From a review of the scientific literature, we calculated a theoretical BTK primary patency for Propaten grafts. Using the French hospital expenditure database (PMSI), we retrospectively estimated a rehospitalisation rate for standard PTFE grafts. From these data, a model was created to assess the budget impact of a progressive replacement from standard PTFE grafts to Propaten grafts over a 5-year horizon. We performed an univariate sensitivity analysis to assess the robustness of our results. **Setting** French National Health Insurance (FNHI) perspective. **Participant** Patients with CLI.

Main outcome measures Budget impact analysis. **Results** Data extraction from the PMSI revealed that 656 patients were treated with PTFE grafts in 2011 in French public hospitals for a BTK bypass. Assuming a 2-year survival rate of 76.8%, observed reinterventions rate for standard PTFE grafts at 24 months from the PMSI was 35.1%. The mean rehospitalisation cost was €10 689. The budget impact analysis based on these data found a net cumulative 5-year payer budget reduction of €112 420 in favour of Propaten, under the assumption of a 75.6% primary patency for Propaten grafts for a projected population of 3215 patients of which 801 received a Propaten graft. **Conclusions** Our budget impact analysis showed a positive impact on the national health insurance budget of the replacement of standard PTFE grafts by Propaten grafts for BTK bypass in patients with CLI in France. This supports the enactment of a reimbursement policy by the FNHI.

#### INTRODUCTION

Patients with critical limb ischaemia (CLI) are at risk of limb amputation. Consequently, a revascularisation should be performed as soon as possible in order to save the limb.<sup>1</sup>

# Strengths and limitations of this study

- The budget impact analysis provides further evidence to adopt and to reimburse the device for decision-makers.
- PMSI database allows for studies with exhaustive data on the French population, thus producing results with a high statistical power and negligible sampling fluctuations.
- However, only patients with critical limb ischaemia and initially treated by standard polytetrafluoroethylene in public hospital could be identified in the PMSI database, underestimating the results of the study.
- Clinical factors potentially influencing patterns of practice, office-based consumption of cares, and non-reimbursable items and medicines could not be analysed.

To realise the revascularisation, two options should be considered: endovascular or open repair. So far, despite the lack of consensus, open repair could be recommended in a first line of treatment to revascularise patients with CLI<sup>2</sup> or performed in a second line of treatment in case of failure of endovascular repair.<sup>3</sup> In the event of open surgery, a vein should be used as conduit to perform the bypass, especially in the case of infrapopliteal lesions. A suitable vein is one of the main factors that determine the clinical success of open revascularisation for below-the-knee (BTK) popliteal and distal bypass.<sup>1</sup> Unfortunately, a suitable venous conduit is not available in more than 20% of the cases.<sup>2</sup> In these patients, prosthesis such as standard polytetrafluoroethylene (PTFE) graft demonstrated worse clinical and morphological results and more severe consequences in

case of occlusion.<sup>4 5</sup> Consequently, there is still a room for improvement in patients with CLI in the absence of a suitable conduit and in whom endovascular repair failed. In these patients, prostheses with heparin-bound to the luminal surface could improve standard prosthesis results. In 2011, Lindholt et al<sup>6</sup> reported the results of a multicentre randomised trial comparing heparin-bound PTFE (Propaten, Flagstaff, AZ, USA) grafts with those of standard PTFE grafts. In total, 546 patients had 1-year follow-up (standard PTFE: 272; Propaten: 274). Propaten graft significantly reduced the overall risk of primary graft failure by 37% at 1 year from the intervention. Specifically, risk reduction reached 50% in femoropopliteal bypass for patients with CLI. Moreover, after 5 years, patients receiving Propaten grafts for CLI were more likely to have a patent graft than those with standard PTFE grafts.

However, to date, the financial impact of Propaten use on healthcare spending was not assessed. Using data from the literature and from the French hospital expenditure database (PMSI), we assess the financial impact of a progressive replacement of standard PTFE by Propaten on a 5-year timeline from the payer perspective, for BTK bypass in patients with CLI.

#### **METHODS**

#### Analytic overview

Our aim was to compare the usual course of action taken by French surgeons for BTK bypass surgery, using standard PTFE grafts, to a similar course of action using Propaten grafts, in order to assess the latter's economical impact. We combined clinical data based on a review from the literature and retrospective data about hospital stays from the PMSI to feed a cost model from a third party payer perspective and to perform a budget impact analysis. No change in our clinical practice and no randomisation occurred. As our model was based on an observational retrospective analysis of data, according to the French legislation (articles L.1121-1 paragraph 1 and R1121-2, Code de la Santé Publique), approval of an ethics committee was not required for use of the data in an epidemiological study.

#### **Evidence acquisition**

Our search strategy was based on Preferred Reporting Items for Systematic Reviews and Meta-analyses (PRISMA) guidelines, with the help of PRISMA statement and explanation and elaboration documents.<sup>8</sup> We used MEDLINE register to conduct our bibliography. The following terms were added to the search builder using MeSH: below the knee, bypass, surgery, Propaten®, grafts, 2 years, primary patency and critical limb ischemia. One study was excluded because it focused exclusively on diabetics.<sup>9</sup> Another was found to have an outlying rate of renal insufficiency.<sup>10</sup> Indeed, we considered that outlying rate of diabetes and renal insufficiency could alter too much the outcomes in regards to perioperative outcomes and pattern of atherosclerotic disease.<sup>11 12</sup> We assigned each study a weight, assuming a fixed-effect model (table 1). Our estimate of the 2-year BTK primary patency for Propaten grafts was 75.6%, ranging from 70.8% to 85%.

#### **Source population**

From a retrospective analysis on hospital stays during 2011 using the PMSI, we identified patients who were admitted for a BTK bypass surgery, where a standard PTFE graft was used. In France, only patients with CLI have this surgery, where using a standard PTFE graft is the usual choice (French medical information agency—ATIH—online data).<sup>13</sup> Therefore, no analysis was conducted on other types of grafts. Propaten grafts were not available in France in 2011. Patients under 18 years old were excluded since bypasses in this population are not indicated to treat an atheromatous disease but to revascularise a lower limb for an inflammatory arterial disease or an arterial traumatism. Patients having been

Tabl	le 1	Detailed	review c	of the	literature	of the	Propat	ten pat	tency ra	ite at	2 y	ears
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Study	Date	Authors	Patients (n)	2-Year primary patency (%)
Lower limb revascularisation with a new bioactive prosthetic graft: early and late results	2008	Dorigo et al <sup>22</sup>	34	80.6
Results with heparin-bonded PTFE grafts for femorodistal bypasses	2006	Peeters <i>et al</i> <sup>23</sup>	41	72.6
Infrainguinal ePTFE vascular graft with bioactive surface heparin bonding	2005	Walluscheck et al <sup>24</sup>	17	81
Heparin-bonded ePTFE grafts for infragenicular bypass in patients with critical limb ischaemia: 2-year results	2008	Dorrucci et al <sup>19</sup>	20	85
Heparin-bonded ePTFE grafts compared with vein grafts in femoropopliteal and femorocrural bypasses: 1-year and 2-year results	2009	Daenens <i>et al</i> <sup>25</sup>	57	83
Heparin-bonded ePTFE femoropopliteal bypass grafts outperform ePTFE grafts without heparin in a long-term comparison	n 2016	Samson <i>et al</i> <sup>26</sup>	42	71.5

ePTFE, expanded polytetrafluoroethylene; PTFE, polytetrafluoroethylene.

Table 2       Values fed to the model and their sources							
Clinical data	Values	Sources					
First rehospitalisation rate due to graft of interest	35.1% (177/504)	French rehospitalisation data, adjusted for mortality <sup>16</sup> and contralateral reintervention <sup>15</sup>					
Added primary patency for Propaten grafts:	75.6%	Own calculations (evidence acquisition)					
Cost estimates							
Mean initial intervention cost	€12290	Own calculations (PMSI based)					
Rehospitalisation mean cost (one rehospitalisation)	€10689	Own calculations (PMSI based)					
Propaten initial additional cost	€627	WL Gore					
PTFE reimbursement tariff	€639	FNHI online data <sup>13</sup>					
Market data							
Initial market penetration	15%	De Cock <sup>17</sup>					
Annual market penetration increase	5%	De Cock <sup>17</sup>					
Population growth	-1.0%	ATIH <sup>13</sup>					

FNHI, French National Health Insurance; PMSI, French hospital expenditure database; PTFE, polytetrafluoroethylene.

operated on for a BTK bypass surgery in the 2 years prior were excluded in order to exclude reinterventions from index cases. The data included the reference of the diagnosis-related groups (DRGs), the type of bypass grafts used, the duration of stays, the time spent in intensive care unit and the patient's comorbidities. Patients were followed for 24 months.<sup>14</sup>

#### The population model

First of all, the follow-up of the source population was determined. Rehospitalisation in relation to the standard PTFE was determined by a retrospective analysis on hospital stays for our source population during the 24 months following the initial surgery. The follow-up of the source population was adjusted for 2-year mortality and contralateral reintervention.<sup>15 16</sup> Loss of patency was defined by a hospital stay for a lower limb reintervention hereafter called the first rehospitalisation. These lower limb interventions included angioplasties, major amputations, thrombectomies, ablations of vascular grafts, stent placements and in situ fibrinolysis (table 2).

#### **Retrospective cost estimation for standard PTFE grafts**

As we aimed to estimate the budget impact of an official reimbursement policy, we conducted our budget impact analysis from the payer perspective (French National Health Insurance, FNHI) and estimated costs only from this perspective. Only direct medical costs, covering in-patient treatment, were considered. Costs were estimated by the 2015 official tariffs applied to the relevant DRGs, for both initial and further hospitalisations. The tariffs provide the amount paid by the FNHI to a hospital with respect to each stay, procedure duration and potential additional costs, that is, hospital costs that are reimbursed in addition to the DRG tariff (eg, intensive care). Variability was estimated for both initial and subsequent interventions using a bootstrap technique, with a resampling of 100 random samples of 100 patients.

#### We estimated the costs for the initial Propaten procedure using the mean initial intervention cost (MIIC) for stan-

**Cost estimation for Propaten grafts** 

using the mean initial intervention cost (MIIC) for standard PTFE grafts added to the cost difference ( $\leq 627$ ) between Propaten graft's market price and the reimbursed tariff for standard PTFE grafts. The mean rehospitalisations cost (MRC) for standard PTFE was used to estimate the mean cost for Propaten rehospitalisations. Every bypass graft used during rehospitalisation stays was assumed to be a standard PTFE graft.

#### **Budget impact model**

Our budget impact analysis premised the enactment by the French Health Authorities of a FNHI reimbursement policy, that is, additional costs from the initial procedure would be charged solely to the FNHI. Our base case for the budget impact model used the estimates from our literature review to estimate a rehospitalisation rate for the Propaten implantation for the 2011 PMSI-extracted population. No analysis was conducted on 2-year secondary patency mainly because of the lack of PMSI data on limb side. Total hospital reimbursement costs for both procedures were calculated by adding the initial intervention costs with subsequent rehospitalisations costs. Each year for 5 years, a new cohort of patients entered the model for a duration of 2 years, starting with the 2011 population. The number of patients decreases by a flat 1.0%annually, that is, the mean decrease rate between 2011 and 2014 for the DRG representing 95% of our population as informed in ATIH online data.<sup>13</sup> We hypothesised that the enactment of a reimbursement policy by French Health authorities would result in an initial market penetration rate of 15% for Propaten grafts, with a subsequent annual increase of 5% points, meaning that after 5 years, 35% of the grafts in this indication would be Propaten grafts.<sup>17</sup> Numerical values corresponding to the hypotheses we made are presented in table 2. We based our sensitivity analysis on variation one by one of relevant variables

in order to assess the weight of each hypothesis on the overall behaviour of the model.  $^{18}\,$ 

#### Sensitivity analysis

#### Univariate analysis

We tested the sensitivity of our results to the main hypotheses used in our model by estimating the budget impact for a range of values. The tested parameters were the 2-year BTK primary patencies for Propaten and standard PTFE grafts, the mean cost of rehospitalisation and the additional cost of the initial intervention for Propaten grafts. For Propaten grafts' patency, we used the 2-year primary patency for standard PTFE grafts found in our population as low end of the range, and the highest reported primary patency<sup>19</sup> as high end. For PTFE grafts' patency, we used the 2-year primary patency for standard PTFE grafts found in our population as the high end of the range, and the value found in the literature<sup>4</sup> as the low end. For the mean cost of rehospitalisations, we used the low and high values of our 95% CI as low and high ends of the range. Finally, we used arbitrary values to test for the sensitivity of our results to the price of the Propaten graft.

#### Scenario analysis

We estimated the 5-year budget impact in three additional scenarios, describing one alternative plausible situation and the two extremes. These extreme scenarios are described in table 3, and either favoured (best case) or disfavoured (worst case) Propaten grafts, based on 2-year BTK primary patency for Propaten grafts, mean cost of rehospitalisations and 2-year BTK primary patency for standard PTFE grafts. The alternative plausible scenario assumed that a maximum patency would decrease the mean cost of rehospitalisations.

#### RESULTS

#### **Retrospective database analysis for standard PTFE grafts**

The retrospective data from the national expenditure database revealed 656 patients with CLI treated with standard PTFE grafts for a BTK bypass surgery in 2011. Two years later, 152 patients had died and 504 patients were still alive. Among these 504 patients, 189 patients had been Table 3 Worst and best case of the scenario analysisWorst analysisBase case (%) Best case (%)PTFE64.947.064.9Propaten75.685.064.9

PTFE, polytetrafluoroethylene.

re-hospitalised at 2 years. From the literature,  $^{15\ 20\ 21}$  we estimated that 12 of these patients had had interventions on the contralateral limb only. Consequently, 177 patients were hospitalised at 2 years for a BTK surgical intervention on the limb of interest, resulting in a rehospitalisation rate of 35.1%, or a 2-year primary patency of 64.9% for standard PTFE grafts. In the assumed group (treatment with Propaten grafts) the estimated patency rate was 75.6% at 2 years (table 1) and we predict 123 rehospitalisations for the Propaten grafts group.

#### **Costs of treatment using standard PTFE and Propaten**

The MIIC from the payer perspective was €12 290 (95% CI €11 118 to €13 386) per patient (total initial intervention costs: €8 062 382). Most patients (99%) belonged to the DRG for major revascularisation surgeries (DRG 05C10). The MRC from the payer perspective was €10 689 (95% CI €9 464 to €12 072) . Two-year total hospitalisation cost from the national insurance perspective for the 656 patients with standard PTFE grafts was €9 008 321. Assuming treatment with only Propaten grafts for the 656 patients from 2011, 2-year total hospital reimbursement costs would have been €9 130 998.

### **Budget impact analysis**

Under the base case assumptions (table 2), we calculated a difference in MIIC of  $\in$ 502 173 in favour of standard PTFE grafts over a 5-year period (table 4).

We projected a cumulative population of 3215 patients over 5 years, of which 801 would have received a Propaten graft. At 5 years, we would have avoided 57 rehospitalisations, resulting in cost reduction of  $\leq$ 614 593 in favour of Propaten grafts. The amount of savings due to fewer rehospitalisations offset the difference in MIIC as soon

Table 4 Budget impact comparison								
	PTFE alone	Propaten+P						
Year	Total costs (€)	PTFE grafts	Propaten grafts	Initial additional cost (€)	RH	RH avoided	Total cost (€)	Cost difference (€)
1	9 008 321	558	98	61 439	85	4	9 027 006	18 685
2	9 857 540	519	130	81 501	167	9	9 837 500	-20 040
3	9 762 422	482	161	100 936	162	12	9 735 095	-27 327
4	9 672 648	446	191	119 744	158	15	9 637 408	-35 240
5	9 570 583	409	221	138 552	154	17	9 522 086	-48 498
Total	47 871 515	2414	801	502 173	726	57	47 759 095	–112 420

Plus sign indicates an increase in costs, and minus sign indicates savings. PTFE, polytetrafluoroethylene; RH, rehospitalisation.

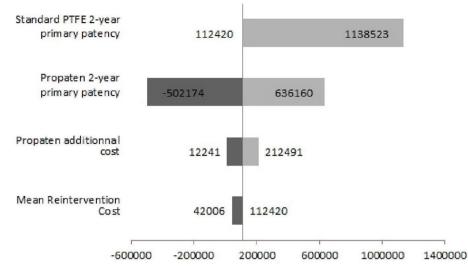


Figure 1 Tornado diagram representing the variation of the 5-year budget balance depending on five hypotheses. A negative balance indicates a cost-save. Costs are in euros (€). Positive costs indicate savings, negative costs indicate a cost increase. PTFE, polytetrafluoroethylene.

as the second year. Assuming a 15% market penetration during the first year and then 5% fixed market penetration (35% over the 5 years), the total difference between the observed standard PTFE and assumed Propaten+PTFE courses was estimated at €112 420, in favour of Propaten grafts, from the FNHI perspective.

#### Sensitivity analysis

#### Univariate analysis

Primary patency for Propaten had a strong impact on budget results. Using the lower rate of primary patency at 2 years (worst case), the additional cost was  $\leq$ 486 140. On the contrary, using the higher patency rate (best case), the saving was  $\leq$ 636 160. For PTFE grafts, a primary patency closer to the values found in the literature (47%) increased the savings allowed by Propaten grafts.<sup>4</sup> The market price for Propaten grafts (initial intervention additional cost) had comparatively little impact on the 5-year budget balance and so did MRC when including further rehospitalisations. A cheaper graft or a higher MRC led to higher 5-year savings(figure 1).

#### Scenario analysis

Our worst and best cases showed the variability of the budget impact of Propaten grafts with a difference of more than  $\notin 2.4$  million (table 5).

#### DISCUSSION

Our model-based analysis showed the 5-year budget impact for the diffusion of Propaten in replacement of standard PTFE to be cost-saving. This is a strong economic incentive in favour of both a widespread use and the enactment of a reimbursement policy for Propaten grafts.

Our modelling approach was founded on a set of assumptions that deserve mention.

The centralised structure of the French Health Information system allows for low-cost studies with exhaustive data on the French population, thus producing results with a high statistical power and negligible sampling fluctuations.

Few articles on Propaten grafts presented 2-year primary patency for BTK bypasses in the general population, and the level of their clinical evidence was limited. We excluded two articles because of the epidemiological profile (diabetes and renal failure) of their populations, which were associated with higher morbimortality and lower patency rates overall. Usually patients with BTK bypasses represent a homogeneous group of patients with critical limb ischaemia in comparison to above the knee bypass, which could be realised for claudicants or patients with CLI. Furthermore, no article presented specific results on BTK bypasses in patients who were critically ischaemic, and two articles had better outcomes for BTK than above-the-knee revascularisation. This usually is not the case in lower limb bypasses, and could be partially explained by important sampling fluctuations due to their small sample sizes. As there was no other available data, we chose to use reasonably unfavourable hypotheses in our analyses to compensate for these shortcomings and thus strengthen the overall conclusion. Nevertheless, as we excluded those two studies, our results should only

Table 5       Results of the scenario analysis							
	Base case	Best case	Worst case				
PTFE	64.9%	47.0%	64.9%				
Propaten	75.6%	85.0%	64.9%				
MRC	€10 689.00	€12 072.00	€9464.00				
5-Year budget impact	–€112 419.75	€1 942 406.40	–€502 173.60				

MRC, mean rehospitalisation cost; PTFE, polytetrafluoroethylene.

be considered valid for unselected CLI populations. In such populations, diabetes, although frequent, rarely has a 100% prevalence rate, and renal insufficiency rates are about half the rate from the Lösel-Sadée study (31.0%).<sup>9</sup>

As our sensitivity analysis showed, our conclusions are tied to both the effectiveness of Propaten grafts and the comparative lack of effectiveness of standard PTFE grafts. The observed 2-year primary patency for standard PTFE grafts is about 35% higher than usually described.<sup>4</sup> Most clinical studies follow their patients more thoroughly than it is the case in daily care. This is the cause of a follow-up bias in our study, due to the use of reintervention as a measure of loss of patency, which overestimate the patency for standard PTFE grafts. Indeed, in the case of an occluded graft, reintervention and/or amputation are not systematically performed because the patient is asymptomatic or because a palliative treatment is decided. These types of health consumptions are not logged in the PMSI database and as we used intervention-specific codes, we estimated the 2-year primary patency for standard PTFE grafts using only patients with lower limb vascular surgical interventions. The patients lost because of our method of follow-up would only ramp up the costs of the standard PTFE course of action.

We used hospital reimbursement costs only, as they are likely to be cost-drivers in a surgical course of action. Unavailable costs included those for non-hospital medical consultations and care, which are likely to decrease with a more effective Propaten graft. Likewise, the exclusion of readmissions past the first one may only have lessened the difference in costs between the two types of grafts. It was anyhow not an option to use these readmissions, given the uncertainty on limb side and the lack of available data.

Our scenario analysis showed the extent of the potential budget impact that would follow the globalisation of Propaten use for BTK bypasses in France. Unfortunately, the uncertainty around the 2-year primary patency translated to an extensive range for its budget impact. The worst-case scenario assumed that Propaten grafts were no more effective than standard PTFE grafts in our population, which is pessimistic, but for which the likelihood does not seem quantifiable.

Finally, even though we based our model on French data and tariffication, it can be used for any DRG-based system to estimate the budget impact of Propaten reimbursement.

#### CONCLUSION

At current times of resource allocation rationalisation, every innovation in healthcare must pass tests of both clinical and economic value. Propaten grafts have shown their clinical effectiveness, but had yet to be proven economically attractive.

In this paper, we used existing clinical proof to show that Propaten grafts in patients with CLI needing a BTK bypass would be financially beneficial for the FNHI in most cases. The decision to specifically reimburse Propaten at its market price dictates the extent of its use throughout France, as few hospitals can afford it in a DRG-based system, which does not allow them to benefit directly from the increased primary patency. Based on our hypotheses and analysis, we conclude that a reimbursement policy would benefit both the FNHI and the patients. Our model allows performing the same analysis in other countries using local cost and clinical effectiveness data providing they have a similar reimbursement system.

Future research ought to focus on directly comparing standard PTFE and Propaten grafts in order to confirm its probable cost-effectiveness dominance.

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