

Appendix 7a. Coding of survey categories and open response codes into response categories as displayed in Figure 6

Reported Category	Survey Category	Open Responses Code
Lack of awareness	<ul style="list-style-type: none"> I was not aware of the prospective registration requirement. I did not think prospective registration was important. I thought the prospective registration requirement only applied to industry funded trials. I did not realise that my study meets the definition of a clinical trial. 	<ul style="list-style-type: none"> Student/ early researcher/ first study - not aware/ not told to do so by supervisor Did not understand definition clinical trial Thought ethics approval was enough
Lack of organisation/time	<ul style="list-style-type: none"> I did not have enough time. I knew it was a requirement but forgot to do it. I thought somebody else had registered the study already. I did not think I was responsible for registration of this study. 	<ul style="list-style-type: none"> Thought someone else was responsible/ joined trial late Busy/ occupied with other things at that time/ forgot
Thought my submitted study had been registered already	<ul style="list-style-type: none"> I thought my submitted study had been registered already - I did not realise that final approval was pending. 	<ul style="list-style-type: none"> Thought it was already approved/ prospectively registered
Thought prospective submission was sufficient	<ul style="list-style-type: none"> I thought it was sufficient to submit the form before commencing enrolment - I did not realise that full approval was required. 	<ul style="list-style-type: none"> Registered at the beginning of recruitment (thought that was sufficient)
Already registered prospectively elsewhere	<ul style="list-style-type: none"> I already registered prospectively elsewhere. 	<ul style="list-style-type: none"> Already registered somewhere else
Local registry did not accept retrospective registration	<ul style="list-style-type: none"> My local registry does not accept retrospective registration so I used ANZCTR instead. 	-
Follow-up study (recruitment prior to planning of study)	-	<ul style="list-style-type: none"> Registration after pilot study Part of larger prospectively registered study/ follow-up to prospectively registered study
Study commencement prior to registration requirements		<ul style="list-style-type: none"> Study conducted prior to registration requirements
Journal requirements		<ul style="list-style-type: none"> Registered because of journal requirements

Appendix 7b. Coding of survey categories and open response codes into response categories as displayed in Figure 7

Reported Category	Survey Category	Open Responses Code
Registration linked to ethics	<ul style="list-style-type: none"> Registration ID required on ethics form. Having ethics submission automatically uploaded to ANZCTR. Having prospective registration on a checklist provided by ethics committee or another regulatory body. 	<ul style="list-style-type: none"> Ethics committee asking for trial registration number Ethics form uploaded to ANZCTR once approved
Included in organisation's procedure manual	<ul style="list-style-type: none"> Having it included in my organisation's procedures manual. 	<ul style="list-style-type: none"> Adopted in Standard Procedure Manual Checklist by institution
Software prompts	<ul style="list-style-type: none"> Software prompts from a regulatory body/other. 	-
Processing time	-	<ul style="list-style-type: none"> Reduction time between submission and approval
Clearer criteria	-	<ul style="list-style-type: none"> Clearer criteria/ less queries/ requested changes
Other		<ul style="list-style-type: none"> Very specific individual reasons (e.g. institutional/ team changes)
Nothing		<ul style="list-style-type: none"> e.g. nothing, it was my bad