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Prescribing rates and long-term effectiveness of smoking cessation agents in people with and without dementia: prospective cohort study of electronic medical records.

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PAPER TITLE

Prescribing rates and long-term effectiveness of smoking cessation agents in people with and without dementia: prospective cohort study of electronic medical records.

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ABSTRACT

Objectives: Our primary objective was to determine the long-term effectiveness in terms of smoking quit rates of varenicline and nicotine replacement therapy (NRT) in people with dementia compared to those without the disease. Our secondary objectives were to estimate smoking prevalence and prescribing rates of varenicline and NRT in people with and without dementia.

Design: A prospective cohort study based on the analysis of electronic medical records within the Clinical Practice Research Datalink (2007-2015).

Setting: 683 general practices in England.

Participants: People with and without dementia, aged 18 years and have a code indicating that they are a current smoker.

Intervention: Prescription of varenicline or NRT.

Outcome measures: The primary effectiveness outcome was smoking cessation at 2-years, and the secondary effectiveness outcomes were smoking cessation at 3, 6, 9 months and 1, and 4 years.

Results: There were 235,314 people aged 18 years and above prescribed NRT or varenicline. Amongst smokers with dementia (N=447), 409 were prescribed NRT and 38 varenicline. Overall, we observed a steady increase in quit rates in people with and without dementia who were prescribed either varenicline or NRT throughout the study's follow-up period (3-months to 4-years). Smokers with dementia were 74% less likely (95% confidence interval: 64% to 82%) to be prescribed varenicline than NRT, compared to smokers without dementia. Smoking prevalence amongst people without dementia (N=628,116/3,062,917) was almost three times (21% vs. 7%) that in people with dementia (N=3,018/42,075) in 2015. Compared to people without dementia, people with dementia had consistently lower prescribing rates of varenicline from 2007 to 2015.

Conclusions: People with dementia are less likely to be prescribed varenicline despite some evidence of its long-term effectiveness. These findings highlight the need to provide more smoking cessation interventions for people with dementia.

Strengths and limitations of this study

- This study used primary care data from the Clinical Practice Research Datalink (CPRD) which are representative of the UK population.
- Expert-reviewed codelists were developed to define both the exposure and the outcome which would reduce misclassification bias.
- Due to the relatively small sample size of people with dementia, we were not able to test the relative effectiveness of varenicline versus NRT on smoking cessation using regression models.
- Data on smokers who purchase over-the-counter NRT were not available, and therefore the prevalence of NRT might be underestimated.

INTRODUCTION

Smoking is a leading cause of mortality and morbidity worldwide. About 12% of global deaths were attributed to smoking in 2015.¹ There is substantial evidence that smoking is associated with an increased risk of developing dementia.^{2,3} For instance, it is estimated that 14% of Alzheimer's disease (AD) cases worldwide are attributable to smoking.⁴ Smoking is thought to accelerate the onset of dementia mainly via vascular risk factors such as narrowing of blood vessels in the heart and the brain, thereby triggering oxidative stress.^{4,5}

However, few studies report smoking prevalence among people with dementia. In a cross-sectional analysis of patients treated for AD in a neurology clinic during a 2-year period, past smoking prevalence was 29% (N=21/72).⁶ In a case-control study of patients with vascular dementia, the rate of current tobacco use was 9% (N=17/198) as compared to 6% (N=11/199) in the control group.⁷ Beyond the harmful health effects of smoking in people with dementia, there are concerns that smokers in this group may have a higher likelihood of fire accidents due to their compromised cognitive state.⁸

Since there are currently no available treatments to cure dementia, there is a growing interest in identifying modifiable risk factors for reducing the occurrence of the disease, to delay dementia onset, and reduce its burden.^{4,9} Smoking cessation could potentially decrease the risk or slow the development of dementia¹⁰ and could improve the quality of life of older adults through improved physical, and mental wellbeing.^{9,11} Little is known about whether people with dementia are prescribed smoking cessation agents and whether they are effective in this group.

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3 Data from observational studies^{12 13} and meta-analyses of randomized controlled trials¹⁴ indicate
4 that varenicline is more effective than nicotine replacement therapy (NRT) for smoking cessation
5 in the general population. However, the effectiveness of varenicline for smoking cessation
6 amongst people with dementia remains unknown.
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13 Therefore in this study we aimed to: 1) estimate the relative effectiveness (quit rates) of
14 varenicline and NRT on smoking cessation in people with dementia, compared to those without
15 dementia, at 3, 6, 9 months and 1, 2, 4 years after first prescription; and 2) describe the rates of
16 smoking prevalence and smoking cessation medication prescribing amongst people with and
17 without dementia in UK primary care settings from 2007 to 2015.
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METHODS

Data source and population

We conducted a prospective cohort study using electronic medical records from 683 general practices in England from 2007 to 2015 using data from the Clinical Practice Research Database (CPRD). Patient data from the CPRD are broadly representative of the UK general population in terms of age, sex and ethnicity.¹⁵ These data have been validated, audited, and quality checked.¹⁶ The study's protocol (15_115RMn2AMn) was approved by the Independent Scientific Advisory Committee for MHRA Database Research (<https://www.cprd.com/isac/>).

Code lists

We defined variables using medical and product codes within the CPRD. All code lists were developed using a list from a previously published study.¹² These codelists were derived from the the British National Formulary (BNF) and the International Classification of Diseases (ICD-10) and then agreed upon by field experts (DR, KHT). A previous systematic review that checked the validity of coding of various diagnoses in what was then the General Practice Research Database (now CPRD) suggests that coding for dementia and Alzheimer's is relatively accurate.¹⁷

Study subjects

During the study period (2007 to 2015), we included people (aged ≥ 18 years) with information about their smoking status (either smokers or non-smokers) for smoking prevalence estimates, and we included smokers prescribed either varenicline or NRT for prescribing prevalence and

effectiveness estimates. More detail about the inclusion and exclusion criteria are published in the study protocol.¹⁸

Patients were categorised as having dementia if: i) they had been diagnosed with dementia within 365-days prior to first varenicline/NRT prescription (based on ICD-10 diagnoses F00-F03), or ii) if they were prescribed dementia medications 365-days prior to smoking cessation medication prescription: (BNF chapter 4.11). Patients with no records of the above-mentioned diagnosis/prescriptions were considered to have no dementia.

Variables

Smoking and prescribing prevalence estimates

For smoking prevalence estimates, a patient's smoking status (aged ≥ 18 years) was defined by a record indicating smoking/non-smoking or prescription of NRT/varenicline in that year. In case of missing information about smoking, the patient's smoking status was carried forward until there was evidence of a change in smoking status and then carried backward. Records that were outside the registration period for each patient were excluded.

Prevalence of prescriptions of varenicline and NRT was calculated by dividing the number of prescriptions each year from 2007 to 2015 (there were very few varenicline prescriptions for patients with mental disorders in 2006) by the number of current smokers in each year. In both instances, prevalence was estimated for people with and without dementia. Individuals with missing smoking information were excluded from the denominators.

Exposure

Exposure was defined as prescription of varenicline or NRT (e.g., patches, etc.). Prescriptions used to define exposure groups were issued between September 1st, 2006 and August 31st, 2016, with no prior record of use of a related product in the preceding 18-months. We used the first treatment episode to ensure that intervention groups were “new users” of the medication.¹⁹

Outcome: smoking cessation

Smoking cessation was defined as having an electronic record indicating a non-smoking status. The closest smoking record to each follow-up period was selected to determine each study participant’s smoking status; i.e., the most recent smoking record identified between cohort entry and each follow-up period (e.g., 3-months, 6-months). People with missing smoking data (beyond 180 days) were assumed to be continuing smokers²⁰ which has been previously found to be robust in sensitivity analyses.¹²

Covariates

Covariates included patients' age at time of prescription, sex, index of multiple deprivation score (IMD), mean number of GP visits one year prior to first prescription, year of first prescription of a smoking cessation medication, body mass index (BMI), days registered in the CPRD, the Charlson Index (a measure of chronic illness),²¹ alcohol misuse, history of mental disorder or psychoactive medication prescriptions, evidence of other psychoactive medication prescription or other less common psychiatric disorders. We used multiple imputation to handle missing data on BMI and IMD. This was done using the ICE command in Stata where we produced 20 imputed datasets (eTable 1). We included all exposures, covariates, and outcomes in the imputation model.²²

Follow-up

The primary effectiveness outcome was smoking cessation at 2-years, and the secondary effectiveness outcomes were smoking cessation at 3, 6, 9 months and 1, and 4 years after first prescription of varenicline or NRT.

Statistical analysis

Smoking prevalence

Smoking rates were calculated by dividing the number of people with dementia who had Read codes indicating current smoking for each year between 2007 and 2015 by the total number of people with a smoking status code (indicating current or non/ex-smoking) each year between

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3 2007 and 2015. For comparison, smoking prevalence was also estimated amongst people without
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5 dementia.
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10 *Prescribing prevalence*

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12 The prevalence of varenicline and NRT prescribing amongst current smokers was calculated by
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14 dividing the number of prescriptions each year from 2007 to 2015 (there were no varenicline
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16 prescriptions for patients with dementia in 2006) by the number of current smokers in each year.
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18 Prevalence was estimated for people with and without dementia.
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24 *Effectiveness of varenicline and NRT*

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26 The effectiveness of varenicline and NRT on smoking cessation was determined by estimating
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28 quit rates at each follow-up period. This was calculated by dividing the number of non-smokers
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30 in each group by the total number of people in that group at each follow-up period. All analyses
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32 were conducted using Stata 14 MP.
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RESULTS

Smoking prevalence and smoking cessation medication prescribing estimates

Smoking prevalence amongst people with dementia steadily decreased from 12% (N=10,121/84,647 in 2007 to 7% (N=3,018/42,075) in 2015. These estimates were consistently lower than in people without dementia, 26% (N= 1,003,374/ 3,802,954) in 2007 and 21% (N=628,116/3,062,917) in 2015 respectively. Smoking prevalence amongst people without dementia was almost three times that in people with dementia in 2015 (Figure 1).

The rate of NRT prescribing in people without dementia was 5% (51,367/1,007,563) in 2008 which decreased to 2% (N=13,607/628,116) by 2015, whereas little fluctuation was observed (remained at 5%) in NRT prescribing rates amongst people with dementia during the same period. Compared to people without dementia, people with dementia had lower prescribing rates of varenicline (Figure 2).

Effectiveness of varenicline and NRT for smoking cessation

The baseline characteristics of those eligible for the effectiveness analysis are presented in Table 1. Of the 235,314 people included in this analysis, 447 were people with dementia, whereas 234,867 were people without (eFigure 1). Overall, 159,736 smokers were prescribed NRT and 75,578 prescribed varenicline. The mean age of people with dementia at the time of smoking medication prescription was about 72 years (SD=12.6). People with dementia were about 25 years older, had more number GP visits 1-year prior to the first prescription, suffered from more comorbidities, and received more mental health-related prescriptions than those without dementia (Table 1).

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3 Smokers with dementia were 74% (95% confidence interval: 64% to 82%) less likely to be
4 prescribed varenicline than NRT, compared to smokers without dementia (eTable 2). We
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6 observed a steady increase in quit rates in people with and without dementia who were
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8 prescribed either varenicline or NRT throughout the study's follow-up period (3-months to 4-
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10 years). At 2-years follow-up, people with dementia were more likely to quit smoking than those
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12 without when prescribed either varenicline or NRT (30.6%, 95% CI: 25.8% to 35.1%) versus
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14 (25.7%, 95% CI: 25.4% to 25.8%) (Figure 3) (eTable 3).
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DISCUSSION

We observed sustained higher quit rates in people with dementia after being prescribed either varenicline or NRT as compared to those without dementia. Smoking prevalence was lower in people with dementia than those without, and people with dementia were less likely to be prescribed varenicline.

A strength of this study is that we used primary care data from the CPRD which are representative of the UK population.¹⁵ Hence, smoking rates in people with dementia in this study are likely to be generalisable to the dementia population in the UK and in similar countries. Additionally, we used expert-reviewed codelists to define both the exposure and the outcome which would reduce misclassification bias (i.e., classifying people with dementia as people without and vice versa).

Due to the relatively small sample size of people with dementia, we were not able to test the relative effectiveness of varenicline versus NRT on smoking cessation using regression models, for example, and also because of this we were unable to adjust for confounders. We had no data on smokers who purchase over-the-counter NRT, therefore we might be underestimating the prevalence of NRT use, particularly amongst people without dementia as. Hence, it is likely that the prevalence of NRT use amongst people without dementia is much larger than what the prevalence of NRT prescribing in this study. Other limitations include having no information about patient compliance in taking their prescribed smoking cessation medications.

The lower rate of smoking in people with dementia merits further discussion. The smoking rates in the general population has been steadily decreasing, so it is not surprising to observe a similar trend over time in people with dementia, especially considering that a relatively greater

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3 proportion of smokers might be dying before they get to the age where dementia develops. Other
4 potential explanations for the decreased smoking rates in people with dementia may be that some
5 of them had experienced smoking-related accidents or injuries and they may be under greater
6 supervision by caregivers than before the onset of their illness.²³
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12 We are not aware of previous population-based studies that estimated the smoking rates amongst
13 people with dementia as the available evidence has been limited to small cross-sectional studies.
14 For instance, a community study in China found that about 17% (N=69/186) of the elderly
15 sample with dementia were current smokers compared to 25% (N=415/1664) in people without
16 dementia.²⁴ Results from the Toyama dementia survey in Japan show that only 4% of people
17 with dementia smoked compared to 10% in those without.²⁵ This high variability in the results
18 points to the need for larger and more representative studies in people with dementia to be
19 conducted.
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32 We observed a low prevalence of varenicline prescribing during our study period in people with
33 dementia. This was consistent with findings from a previous study that examined the use of
34 varenicline for smoking cessation treatment in UK primary care using data from THIN database
35 in 2011. Compared to our results from that year, our estimates appear slightly lower (1.1%
36 versus 1.8% in the THIN study).²⁶ This further corroborates the evidence that varenicline is
37 being underused in people with dementia.²⁶
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47 Our study is among the first to estimate the effectiveness of varenicline and NRT for long-term
48 smoking cessation in people with dementia in a real world setting. Our results suggest that both
49 varenicline and NRT are effective in producing long term smoking cessation in people with and
50 without dementia. Almost one third of smokers with dementia quit smoking after 2-years follow-
51 up. Regardless of the smoking cessation medication prescribed to people with dementia, it is
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3 important to acknowledge that achieving smoking cessation in this group may carry health
4 benefits which would potentially improve their general health status and and/or extend life
5 expectancy.²⁷ This should ideally be coupled with improving diet quality and increaing physical
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Previous clinical and observational studies have established that varenicline is superior to NRT
in achieving smoking cessation in different groups.^{12 29 30} Additionally, varenicline did not seem
to be associated with an increased risk of documented cardiovascular events, depression, or self-
harm when compared with NRT in primary care in England.³¹ However, according to a recent
systematic review of the literature, older patients with dementia were found to have a low level
of medication adherence which raises concerns about the increased risk of hospitalisation or
death with non-adherence in this population.³² On the other hand, a recent study based on CPRD
data concluded that NRT appears to increase cardiovascular events for patients prescribed NRT,
compared with those receiving smoking cessation advice after 52 weeks of follow-up.³³ This was
consistent with the evidence shown by a meta-analysis of 120 studies involving 177, 390
individuals.³⁴ Further experimental studies are warranted to investigate the safest treatments
available for patients attempting smoking cessation.

In summary, people with dementia are more likely to quit smoking when prescribed either
varenicline or NRT, as compared to those without dementia. Despite this evidence of
effectiveness, we found that people with dementia were less likely to be prescribed varenicline
than people without dementia. These findings highlight the need to offer more smoking cessation
medication for people with dementia in primary care settings.

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Data statement

This study is based in part on data from the Clinical Practice Research Datalink obtained under licence from the UK Medicines and Healthcare products Regulatory Agency. The data are provided by patients and collected by the NHS as part of their care and support. The interpretation and conclusions contained in this study are those of the authors alone.

Data and analytic code availability

Codelists that were used for this study are available upon request from the corresponding author.

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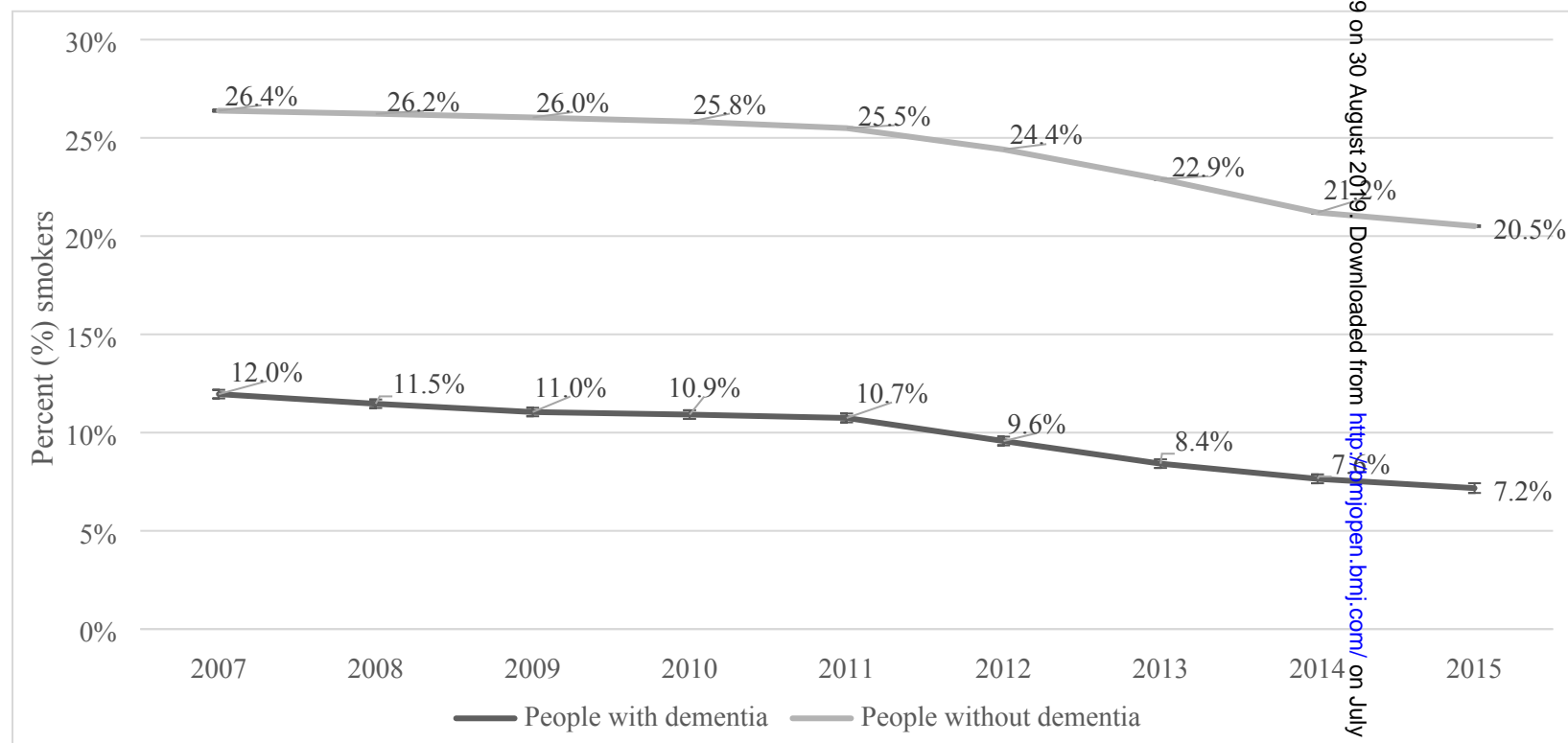
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Table 1. Baseline characteristics of people with or without dementia by exposure group, N (%)

Characteristic	People with dementia (N=447)			People without dementia (N=234,867)		
	NRT (N=409)	Varenicline (N=38)	Total	NRT (N=159,327)	Varenicline (N=75,540)	Total
Age at time of first prescription ¹	71.1 (12.2)	66.2 (15.1)	70.7 (12.6)	46.2 (15.5)	44.4 (13.2)	45.6 (14.8)
Sex (male)	186 (45.5%)	19 (50.0%)	205 (45.9%)	73,674 (46.2%)	37,676 (49.9%)	111,350 (47.4%)
Index of multiple deprivation score (IMD) ^{2†}	3	4	3	3	3	3
Number of GP visits 1-year prior to first prescription ¹	11.5 (9.0)	15.3 (9.9)	11.8 (9.1)	8.9 (7.4)	7.3 (6.1)	8.4 (7.0)
BMI [†]	24.6 (5.1)	25.7 (6.3)	24.7 (5.4)	26.5 (5.7)	26.5 (5.4)	26.5 (5.6)
Year of first prescription ²	2010	2010	2010	2009	2010	2009
Days of history ¹	3,573.8 (2181.2)	3,450.3 (2327.4)	3,563.3 (2191.5)	3,052.9 (1907.1)	3,164.8 (1986.2)	3,088.9 (1933.6)
Comorbidity ever (Charlson Index)	354 (86.6%)	28 (73.7%)	382 (85.5%)	59,489 (37.3%)	4,017 (31.8%)	83,506 (35.6%)
Alcohol misuse ever	104 (25.4%)	11 (29.0%)	115 (25.7%)	13,890 (8.7%)	4,759 (6.3%)	18,649 (7.9%)
Self-harm ever	67 (16.4%)	9 (23.7%)	76 (17.0%)	17,232 (10.8)	6,652 (8.8%)	23,884 (10.2%)
Ever anxiety and stress related disorders	151 (36.9%)	16 (42.1%)	167 (37.4%)	44,381 (27.9%)	17,377 (23.0%)	61,758 (26.3%)
Other behavioural/neurologic disorder ever	30 (7.3%)	6 (15.8%)	36 (8.1%)	8,693 (5.5%)	2,956 (3.9%)	11,649 (5.0%)
Ever depression	217 (53.1%)	26 (68.4%)	243 (54.4%)	65,343 (41.0%)	26,097 (34.6%)	91,440 (38.9%)
Ever antidepressants	273 (66.7%)	28 (73.7%)	301 (67.3%)	79,584 (50.0%)	32,230 (42.7%)	111,814 (47.6%)
Ever antipsychotics	175 (42.8%)	13 (34.2%)	188 (42.1%)	28,972 (18.2%)	9,792 (13.0%)	38,764 (16.5%)
Ever hypnotics/anxiolytics	238 (58.2%)	20 (52.6%)	258 (57.7%)	60,092 (37.7%)	25,134 (33.3%)	85,226 (36.3%)

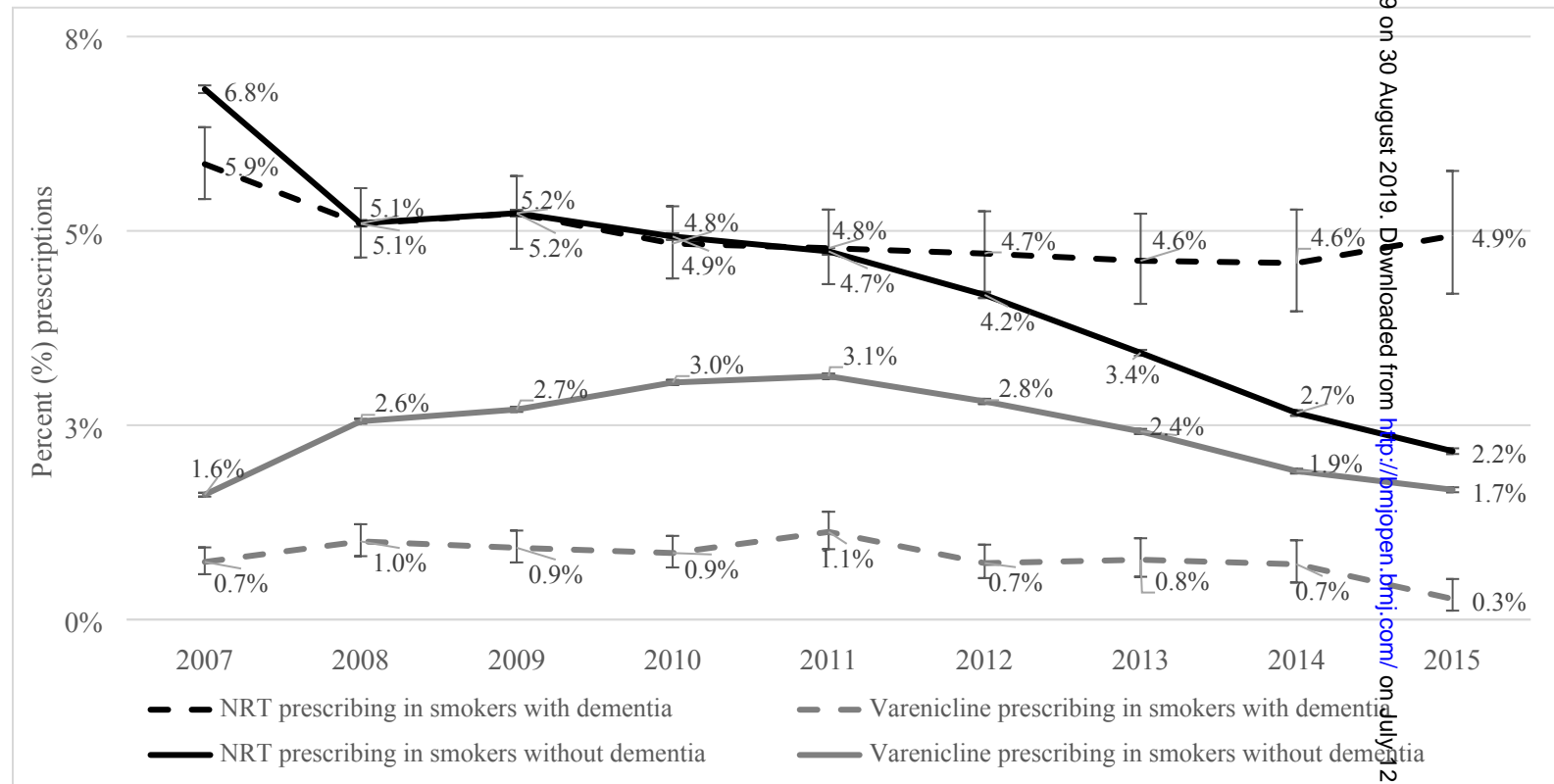
1 Data presented are mean and standard deviation. 2 Data presented are median. †Missing data: BMI data was missing for 14.1% (N= 33,059); IMD data was missing for 43.6% (N= 102,657).

Figure 1. Percentage (%) of primary care patients with an electronic medical record indicating smoking, from 2007 to 2015, in people with or without dementia



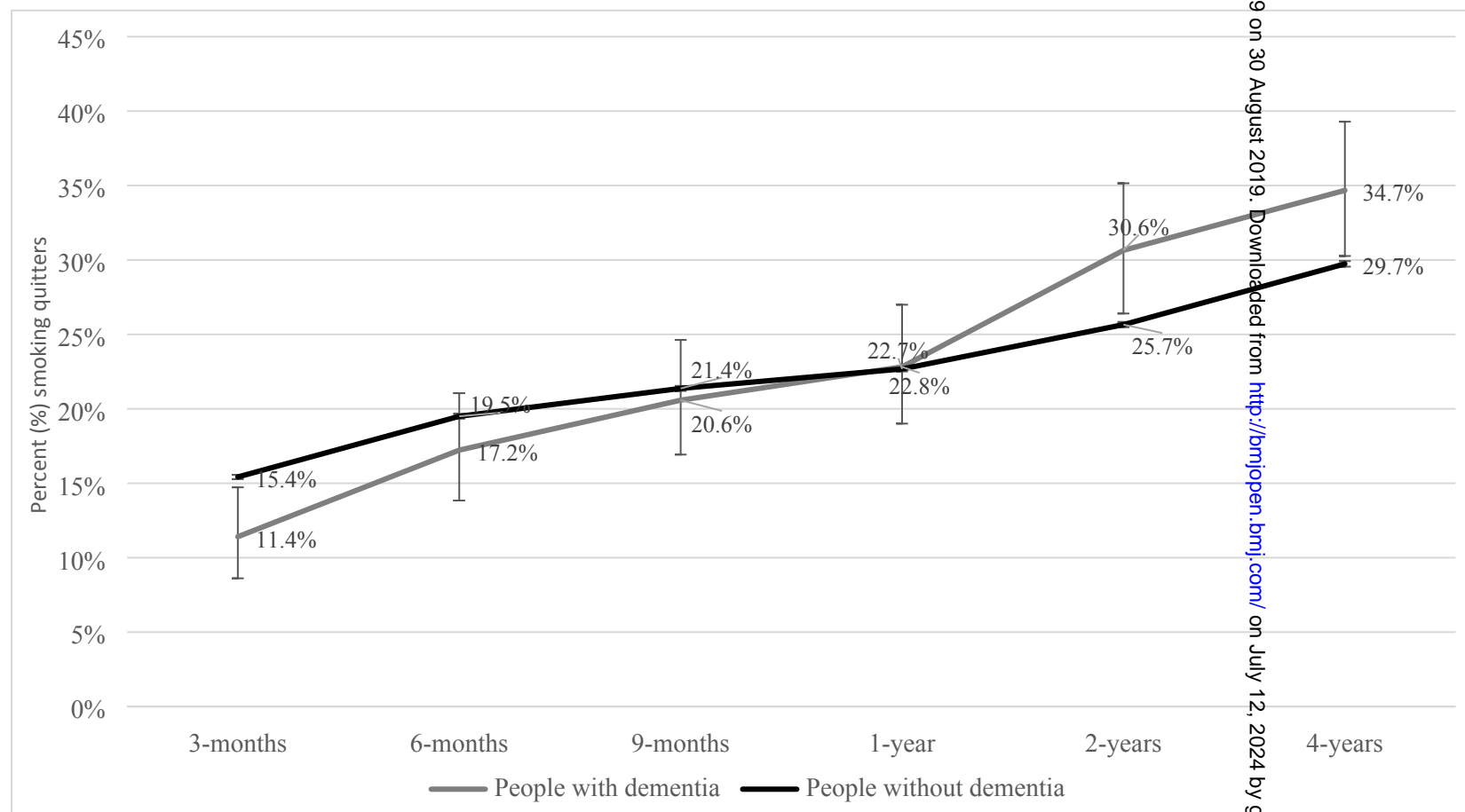
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Figure 2. Prescription prevalence of varenicline or NRT in primary care, from 2007 to 2015, in smokers with dementia, compared to smokers without dementia



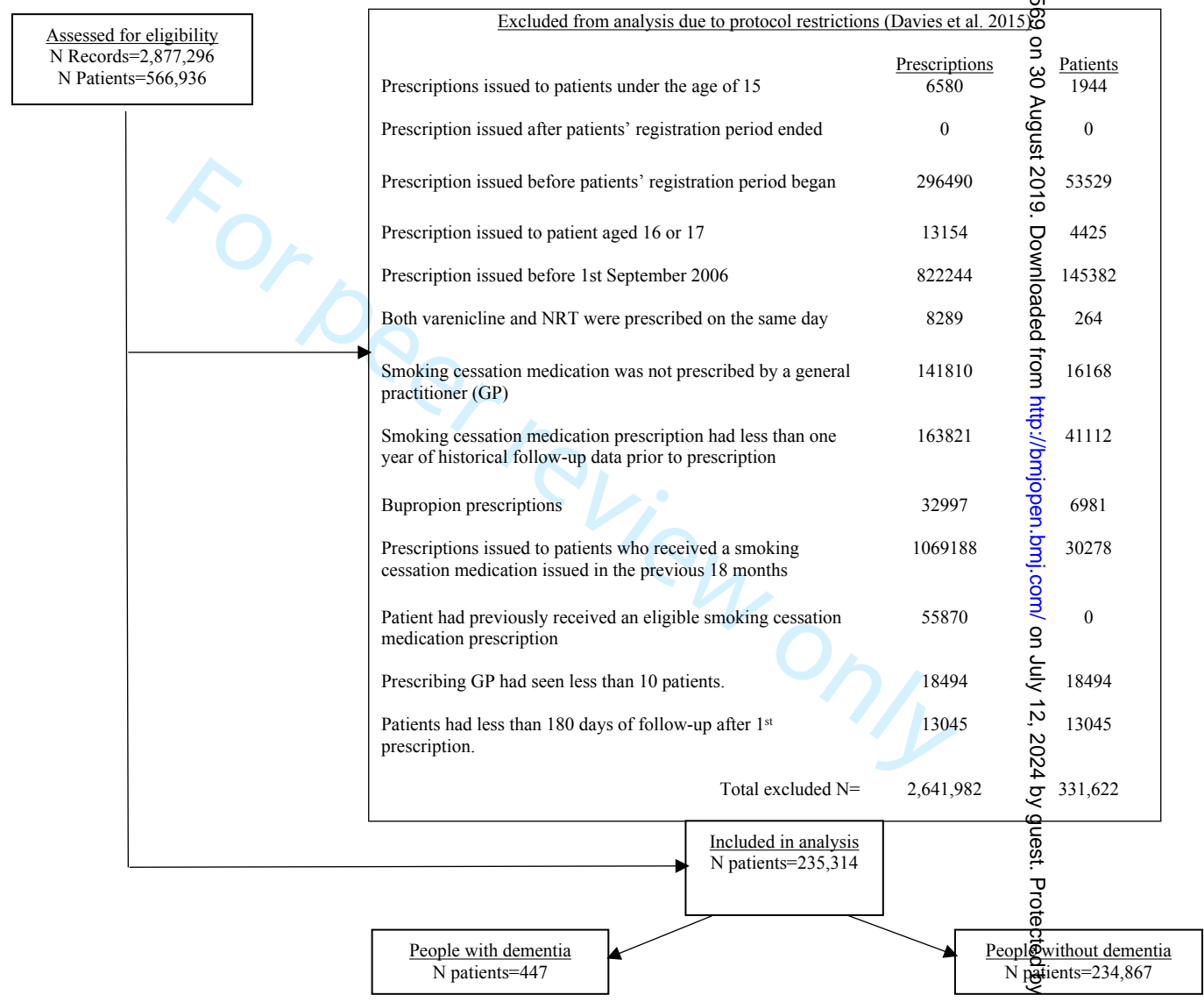
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Figure 3. Percentage (%) of people with an electronic medical record indicating smoking cessation at up to 2-years follow-up, in people with and without dementia



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eFigure 1. Flow chart of eligible study participants as per protocol restrictions



eTable 1. Distributions of imputed characteristics in the imputation datasets

Characteristic	People with dementia (N=447)							People without dementia (N=234,867)						
	NRT (N=409)		Varenicline (N=38)		Total		% of data imputed	NRT (N=159,327)		Varenicline (N=75,540)		Total		% of data imputed
	Mean	Standard error	Mean	Standard error	Mean	Standard error		Mean	Standard error	Mean	Standard error	Mean	Standard error	
Body mass index	24.6	0.28	26.6	1.02	24.7	0.27	10.3	26.4	0.02	26.5	0.02	26.4	0.01	14.1
Index of multiple deprivation	3.3	0.08	3.3	0.3	3.3	0.09	53.2	3.3	0.004	3.2	0.007	3.3	0.003	43.6

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eTable 2. The likelihood of smokers with ever dementia diagnosis being prescribed varenicline versus NRT, as compared to smokers with no ever dementia diagnosis, N=235,314

	Partially adjusted odds ratio (95% confidence interval) †	Fully adjusted odds ratio (95% confidence interval) ††
Ever dementia diagnosis	0.21 (0.15 to 0.29)	0.26 (0.18 to 0.36)
‡Partially adjusted models were adjusted for: age, sex, year of first prescription. †† Fully adjusted models were adjusted for: age, sex, days in history, IMD, number of GP visits 1-year prior to first prescription, BMI, year of first prescription, history of major physical morbidity (Charlson Index), alcohol misuse ever, drug misuse ever, depression ever, neurotic disorder ever, self-harm ever, antidepressant prescription ever, antipsychotic prescription ever, hypnotics/anxiolytics prescription ever, other psychotropic medication ever, and other behavioral/neurologic disorder ever. Missing BMI and IMD values were imputed using multiple imputation. Models were estimated using cluster robust standard errors to account for potential clustering of patients between practices.		

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eTable 3. Number and percentage (%) of people with an electronic medical record indicating smoking cessation at 3, 6 and 9-months, and 1, 2, and 4-years follow-up, in people with and without dementia

	3-months	6-months	9-months	1-year	2-years	4-years
People without dementia N=234867	36223 (15.4%)	45796 (19.5%)	50193 (21.4%)	53263 (22.7%)	60257 (25.7%)	69842 (29.7%)
People with dementia N=447	51 (11.4%)	77 (17.2%)	92 (20.6%)	102 (22.8%)	137 (30.6%)	155 (34.7%)

BMJ Open

Use of varenicline and nicotine replacement therapy in people with and without general practitioner-recorded dementia: retrospective cohort study of routine electronic medical records.

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Primary Subject Heading:	Smoking and tobacco
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Keywords:	Dementia < NEUROLOGY, Smoking cessation, Smoking prevalence

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PAPER TITLE

Use of varenicline and nicotine replacement therapy in people with and without general practitioner-recorded dementia: retrospective cohort study of routine electronic medical records.

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ABSTRACT

Objectives: Our primary objective was to estimate smoking prevalence and prescribing rates of varenicline and NRT in people with and without GP-recorded dementia. Our secondary objective was to assess and compare quit rates of smokers with versus without general practitioner (GP)-recorded dementia who were prescribed varenicline or nicotine replacement therapy (NRT) for smoking cessation.

Design: A retrospective cohort study based on the analysis of electronic medical records within the Clinical Practice Research Datalink (2007-2015).

Setting: 683 general practices in England.

Participants: People with and without GP-recorded dementia, aged 18 years and have a code indicating that they are a current smoker.

Intervention: Index prescription of varenicline or NRT (from 1st September 2006).

Outcome measures: The primary outcomes were smoking prevalence and prescribing rates of varenicline and NRT (2007-2015). The secondary outcome was smoking cessation at 2 years.

Results: Age and sex-standardised prevalence of smoking was slightly higher in people with GP-recorded dementia than in those without. There were 235,314 people aged 18 years and above prescribed NRT or varenicline. Amongst smokers with GP-recorded dementia (N=447), 409 were prescribed NRT and 38 varenicline. Smokers with GP-recorded dementia were 74% less likely (95% confidence interval: 64% to 82%) to be prescribed varenicline than NRT, compared to smokers without GP-recorded dementia. Compared to people without GP-recorded dementia, people with GP-recorded dementia had consistently lower prescribing rates of varenicline from 2007 to 2015.

Two years after prescription, there was no clear evidence for a difference in the likelihood of smoking cessation after prescription of these medications between individuals with and without dementia (OR 1.0, 0.8, 1.2).

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3 **Conclusions:** Between 2007 and 2015, people with GP-recorded dementia were less likely to be
4 prescribed varenicline than those without dementia. Quit rates following prescription of either
5 NRT or varenicline were similar in those with and without dementia.
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8 **Strengths and limitations of this study**

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13 • This study used primary care data from the Clinical Practice Research Datalink (CPRD)
14 which are representative of the UK primary care population.
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17 • Expert-reviewed codelists were developed to define both the exposure and the outcome
18 which would reduce misclassification bias.
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22 • Due to the small sample size of people with GP-recorded dementia, we were not able to test
23 the relative effectiveness of varenicline versus NRT on smoking cessation using regression
24 models.
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29 • Data on smokers who purchase over-the-counter NRT were not available, and therefore the
30 prevalence of NRT might be underestimated.
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INTRODUCTION

Smoking is a leading cause of mortality and morbidity worldwide. About 12% of global deaths were linked to smoking in 2015.¹ There is substantial evidence that smoking is associated with an increased risk of developing dementia.^{2,3} For instance, it is estimated that 14% of Alzheimer's disease (AD) cases worldwide are attributable to smoking.⁴ Smoking is thought to accelerate the onset of dementia mainly via vascular risk factors such as narrowing of blood vessels in the heart and the brain, thereby triggering oxidative stress.^{4,5}

Few studies report smoking prevalence among people with dementia. In a cross-sectional analysis of patients treated for AD in a neurology clinic during a 2-year period, past smoking prevalence was 29% (N=21/72).⁶ In a case-control study of patients with vascular dementia, the rate of current tobacco use was 9% (N=17/198) as compared to 6% (N=11/199) in the control group.⁷ Beyond the harmful health effects of smoking in people with dementia, there are concerns that smokers in this group may have a higher likelihood of fire accidents due to their compromised cognitive state.⁸

Since there are currently no available treatments to cure dementia, there is a growing interest in identifying modifiable risk factors for reducing the occurrence of the disease, to delay dementia onset, and reduce its burden.^{4,9} Smoking cessation could potentially decrease the risk or slow the development of dementia¹⁰ and could improve the quality of life of older adults through improved physical, and mental wellbeing.^{9,11} Little is known about whether people with dementia are prescribed smoking cessation agents and whether they are effective in this group.

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3 Based on a Cochrane review of 136 trials, it was reported that NRT (compared to placebo or no
4 treatment) can help people who make a quit attempt to increase their chances of successfully
5 stopping smoking (Hartmann-Boyce et al., 2018). Data from observational studies^{12 13} and meta-
6 analyses of randomized controlled trials¹⁴ indicate that varenicline is more effective than single
7 form nicotine replacement therapy (NRT) for smoking cessation in the general population.
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9 However, it is unclear whether varenicline or NRT could help smokers with dementia to quit
10 smoking.
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20 Therefore in this study we aimed to: 1) describe the rates of smoking prevalence and smoking
21 cessation medication prescribing amongst people with and without GP-recorded dementia in UK
22 primary care settings from 2007 to 2015; and; 2) assess and compare quit rates of varenicline and
23 NRT on smoking cessation in people with GP-recorded dementia, compared to those without, at
24 3, 6, 9 months and 1, 2, 4 years after first prescription.
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METHODS

Data source and population

We conducted a retrospective cohort study using electronic medical records from 683 general practices in England from 2007 to 2015 using data from the Clinical Practice Research Database (CPRD). Patient data from the CPRD are broadly representative of the UK primary care population in terms of age, sex and ethnicity.¹⁵ These data have been validated, audited, and quality checked.¹⁶ The study's protocol (15_115R) was approved by the Independent Scientific Advisory Committee for MHRA Database Research (<https://www.cprd.com/isac/>).

Code lists

We defined variables using medical and product codes within the CPRD. All code lists were developed using a list from a previously published study.¹² These codelists were derived from the the British National Formulary (BNF) and the International Classification of Diseases (ICD-10) and then agreed upon by field experts (DR, KHT). A previous systematic review that checked the validity of coding of various diagnoses in what was then the General Practice Research Database (now CPRD) suggests that coding for dementia and Alzheimer's is relatively accurate.¹⁷

Study subjects

During the study period (2007 to 2015), we included people (aged ≥ 18 years) with information about their smoking status (either smokers or non-smokers) for smoking prevalence estimates, and we included smokers prescribed either varenicline or NRT for prescribing prevalence and for

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3 comparing quit rates. We used an open cohort design, with new patients entering the cohort
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5 throughout the observation period.
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8 For the primary objective, people were categorised as having dementia if: i) they had ever been
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10 diagnosed with dementia (based on ICD-10 diagnoses F00-F03), or ii) if they were prescribed
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12 dementia medications: (BNF chapter 4.11) (see supplementary file for a list of all Read codes
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14 used in this study). Patients with no records of the above-mentioned diagnosis/prescriptions were
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16 considered to have no GP-recorded dementia (for clarity, we hence forth refer to this as
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18 dementia).
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21 For the secondary objective, we constructed a cohort of eligible first varenicline/NRT
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23 prescriptions (see eFigure 1 for a flow chart of numbers of patients excluded and reasons for
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25 exclusion). Within that cohort of eligible prescriptions, we categorised dementia for those with
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27 recorded Read codes for ever dementia/dementia medications prior to first varenicline/NRT
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29 prescription; we did this to ensure that a diagnosis of dementia preceded the exposure
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31 (prescription of a smoking cessation medicine).
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38 **Variables**

39 *Smoking and prescribing prevalence estimates*

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42 For smoking prevalence estimates, a patient's smoking status (aged ≥ 18 years) was defined by a
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44 record indicating smoking/non-smoking or prescription of NRT/varenicline in that year. In case
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46 of missing information about smoking, the patient's smoking status was carried forward until
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48 there was evidence of a change in smoking status or carried backwards if smoking status was
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3 only recorded in the final year of registration. Records that were outside the registration period
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5 for each patient were excluded.
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8 Prevalence of prescriptions of varenicline and NRT was calculated by dividing the number of
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10 prescriptions each year from 2007 to 2015 (there were very few varenicline prescriptions for
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12 patients with dementia in 2006) by the number of current smokers in each year. In both
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14 instances, prevalence was estimated for people with and without dementia. Individuals with
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16 missing smoking information were excluded from the denominators.
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Exposure

Exposure was defined as prescription of varenicline or NRT (e.g., patches, etc. on prescription as opposed to over-the-counter, hence forth we refer to this as NRT).

Prescriptions used to define exposure groups were issued between September 1st, 2006 and August 31st, 2016, with no prior record of use of a related product in the preceding 18-months. We used the first treatment episode to ensure that intervention groups were “new users” of the medication.¹⁸ We did not model multiple and repeated prescriptions of smoking cessation medications during follow-up because this is likely to be strongly related to patient characteristics.

Outcome: smoking cessation

Smoking cessation was defined as having an electronic record indicating a non-smoking status. The closest smoking record to each follow-up period was selected to determine each study participant’s smoking status; i.e., the most recent smoking record identified between cohort entry and each follow-up period (e.g., 3-months, 6-months). People with missing smoking data (beyond 180 days) were assumed to be continuing smokers¹⁹ which has been previously found to be robust in sensitivity analyses.¹²

Covariates

Covariates included patients' age at time of prescription, sex, index of multiple deprivation score (IMD), mean number of GP visits one year prior to first prescription, year of first prescription of a smoking cessation medication, body mass index (BMI), days registered in the CPRD, the Charlson Index (a measure of chronic illness),²⁰ alcohol misuse, history of mental disorder or psychoactive medication prescriptions, evidence of other psychoactive medication prescription or other less common psychiatric disorders. We used multiple imputation to handle missing data on BMI and IMD. This was done using the ICE command in Stata where we produced 20 imputed datasets (eTable 1). We included all exposures, covariates, and outcomes in the imputation model.²¹

Follow-up

The secondary outcome was smoking cessation at 2 years, and this was also assessed at 3, 6, 9 months and 1, and 4 years after first prescription of varenicline or NRT.

Statistical analysis

Smoking prevalence

Smoking rates were calculated by dividing the number of people with dementia who had Read codes indicating current smoking for each year between 2007 and 2015 by the total number of people with dementia and a smoking status code (indicating current or non/ex-smoking) each year between 2007 and 2015. For comparison, smoking prevalence was also estimated amongst people without dementia.

Prescribing prevalence

The prevalence of varenicline and NRT prescribing amongst current smokers was calculated by dividing the number of prescriptions each year from 2007 to 2015 (there were no varenicline prescriptions for patients with dementia in 2006) by the number of current smokers in each year. Prevalence was estimated for people with and without dementia.

Association of varenicline and NRT prescriptions with smoking cessation

The effectiveness of varenicline and NRT on smoking cessation was determined by estimating quit rates at each follow-up period. This was calculated by dividing the number of non-smokers in each group by the total number of people in that group at each follow-up period. All analyses were conducted using Stata 14 MP.

Patient and Public Involvement

This study was based on the analysis of anonymised primary care data. No patients were involved during the design and analysis of this study.

RESULTS

Smoking prevalence and smoking cessation medication prescribing estimates

Unadjusted smoking prevalence amongst people with dementia steadily decreased from 12% (N=10,121/84,647 in 2007 to 7% (N=3,018/42,075) in 2015 (Figure 1). These estimates were consistently lower than in people without dementia, 26% (N= 1,003,374/ 3,802,954) in 2007 and 21% (N=628,116/3,062,917) in 2015 respectively (eTable 2). However, after age and sex standardization, the smoking prevalence amongst people with dementia was slightly higher than in people without (eFigure 2).

The rate of NRT prescribing in people without dementia was 5% (51,367/1,007,563) in 2008 which decreased to 2% (N=13,607/628,116) by 2015, whereas little fluctuation was observed (remained at 5%) in NRT prescribing rates amongst people with dementia during the same period. Compared to people without dementia, people with dementia had lower prescribing rates of varenicline (Figure 2).

Smoking cessation amongst individuals prescribed NRT and Varenicline

Of the 235,314 people included in this analysis, 447 were people with dementia, whereas 234,867 were people without (eFigure 1). Overall, 159,736 smokers were prescribed NRT and 75,578 prescribed varenicline (Table 1). The mean age of people with dementia at the time of smoking medication prescription was about 72 years (SD=12.6), while that of people without dementia was 46 years (SD=14.8) People with dementia were about 25 years older, had more GP visits 1-year prior to the first prescription, suffered from more comorbidities, and received more mental health-related prescriptions than those without dementia (Table 1).

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3 Smokers with dementia were 74% (95% confidence interval: 64% to 82%) less likely to be
4 prescribed varenicline than NRT, compared to smokers without dementia (eTable 3. We
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6 observed a steady increase in quit rates in people with and without dementia who were
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8 prescribed either varenicline or NRT throughout the study's follow-up period (3-months to 4-
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10 years). At 2 years follow-up, people with dementia were more likely to quit smoking (30.6%,
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12 95% CI: 25.8% to 35.1%) than those without (25.7%, 95% CI: 25.4% to 25.8%) when prescribed
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14 either varenicline or NRT (Figure 3) (eTable 4). After adjusting for all covariates, we found no
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16 evidence for a difference in quit rates between individuals with and without dementia (OR=1.0,
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18 95% CI: 0.81-1.23) (eTable 5).
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DISCUSSION

People with dementia were less likely to be prescribed varenicline compared to those without dementia. There was no clear evidence for a difference in quit rates in individuals with and without dementia following prescription of NRT or varenicline.

A strength of this study is that we used primary care data from the CPRD which are representative of the UK primary care population.¹⁵ Hence, smoking rates in people with dementia in this study are likely to be generalisable to the dementia population in the UK and in similar countries. Additionally, we used expert-reviewed codelists to define both the exposure and the outcome which would reduce misclassification bias (i.e., classifying people with dementia as people without and vice versa).

There are several limitations to this research. Due to the small sample size of people with dementia, we were not able to test the relative effectiveness of varenicline versus NRT on smoking cessation using regression models. We had no data on smokers who purchase over-the-counter NRT, therefore we might be underestimating the prevalence of NRT use, particularly amongst people without dementia. Hence, it is likely that the prevalence of NRT use amongst people without dementia is larger than the prevalence of NRT prescribing in this study.

Moreover, outcome definition (smoking vs. non-smoking status) was based on self-reported data rather than biochemical verification of smoking status. Additionally, social desirability bias may occur when unsuccessful quitters don't disclose their smoking status truthfully. We also relied on point estimates (i.e. smoking status reported at a single timepoint) for making conclusions about smoking status. This may not have captured long-term abstinence. In other words, it is possible that smoking status may have fluctuated between GP visits. A further limitation is having no information about patient adherence in taking their prescribed smoking cessation medications.

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3 We are not aware of previous population-based studies that estimated the smoking rates amongst
4 people with dementia as the available evidence has been limited to small cross-sectional studies.
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6 For instance, a community study in China found that about 17% (N=69/186) of the elderly
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8 sample with dementia were current smokers compared to 25% (N=415/1664) in people without
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10 dementia.²² Results from the Toyama dementia survey in Japan show that only 4% of people
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12 with dementia smoked compared to 10% in those without.²³ This high variability in the results
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14 points to the need for larger and more representative studies in people with dementia to be
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16 conducted. Meanwhile, we found that smoking prevalence has decreased steadily amongst
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18 people without dementia, from 26.4% in 2007 to 20.5% in 2015. This was fairly similar to the
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20 general population in England as evidenced by results from the Smoking Toolkit Study (24.2%
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22 in 2007 to 18.7% in 2015)²⁴ and therefore speaks to the external validity of our study.
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29 We observed a low prevalence of varenicline prescribing during our study period in people with
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31 dementia. Our estimate for individuals without dementia was consistent with findings from a
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33 previous study that examined the use of varenicline for smoking cessation treatment in UK
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35 primary care using data from THIN database in 2011. Compared to our results from that year,
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37 our estimates appear slightly lower (1.1% versus 1.8% in the THIN study).²⁵ While NRT
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39 prescribing rates remained at similar levels in people with dementia between 2007 and 2015,
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41 these rates declined over time in people without dementia. A recent report from the [British Lung](#)
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43 [Foundation](#) found that NRT prescribing through primary care in England has dropped about 75%
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45 during the last 10 years. That was mainly due to cuts to public health funding that would have
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47 adversely impacted specialist stop smoking services.²⁶
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53 Our study is among the first to investigate longer term smoking cessation after being prescribed
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55 varenicline and NRT amongst individuals with dementia. Our results suggest that both
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3 varenicline and NRT could produce long term smoking cessation in people with and without
4 dementia. Almost one third of smokers with dementia quit smoking after 2 years follow-up.
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8 Regardless of the smoking cessation medication prescribed to people with dementia, it is
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10 important to acknowledge that achieving smoking cessation in this group may carry health
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12 benefits which would potentially improve their general health status and and/or extend life
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14 expectancy.²⁷ This should ideally be coupled with improving diet quality and increaing physical
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16 activites that may shield quitters from weight gain after smoking cessation.²⁸
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20 It is not clear why individuals with dementia are less likely to be prescribed varenicline than
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22 NRT compared to individuals without. Previous clinical and observational studies have
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24 established that varenicline is superior to single form NRT in achieving smoking cessation in
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26 different groups.^{12 29 30} Additionally, varenicline did not seem to be associated with an increased
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28 risk of documented cardiovascular events, depression, or self-harm when compared with NRT in
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30 primary care in England.³¹ On the other hand, a recent study based on CPRD data concluded that
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32 NRT appears to increase cardiovascular events for patients prescribed NRT, compared with
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34 those receiving smoking cessation advice after 52 weeks of follow-up.³² This was consistent with
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36 the evidence shown by a meta-analysis of 120 studies involving 177, 390 individuals.³³ It is
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38 possible that GPs are less likely to prescribe varenicline to individuals with dementia because of
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40 lower likelihood of adherence; in a recent systematic review of the literature, older patients with
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42 dementia were found to have a low level of medication adherence.³⁴
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48 In summary, age- and sex-adjusted smoking prevalence amongst individuals with dementia was
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50 similar to those without dementia and smoking cessation rates were similar following
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52 prescription of smoking cessation medications between these groups. However, individuals with
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54 dementia were less likely to be prescribed varenicline than individuals without dementia.
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Data statement

This study is based in part on data from the Clinical Practice Research Datalink obtained under licence from the UK Medicines and Healthcare Products Regulatory Agency. The data are provided by patients and collected by the NHS as part of their care and support. The interpretation and conclusions contained in this study are those of the authors alone.

Data and analytic code availability

Codelists that were used for this study are available in the supplementary file (eTables 6 and 7).

Contributorship Statement

TI contributed to data cleaning, data analysis, interpretation of results and writing the manuscript. RMM, GT, ND, AT and KT contributed to study conceptualization, study design, interpretation of results, data analysis and writing the manuscript. MM, and DR contributed to study conceptualization, study design, interpretation of results and writing the manuscript. TJ extracted the data and contributed to writing the manuscript. TI, AT, and ND had full access to all of the data in the study and takes responsibility for the integrity of the data and the accuracy of the data analysis.

Figure legends

Figure 1. Percentage (%) of primary care patients with an electronic medical record indicating smoking, from 2007 to 2015, in people with or without dementia

Figure 2. Prescription prevalence of varenicline or NRT in primary care, from 2007 to 2015, in smokers with dementia, compared to smokers without dementia

Figure 3. Percentage (%) of people with an electronic medical record indicating smoking cessation at up to 2 years follow-up, in people with and without dementia

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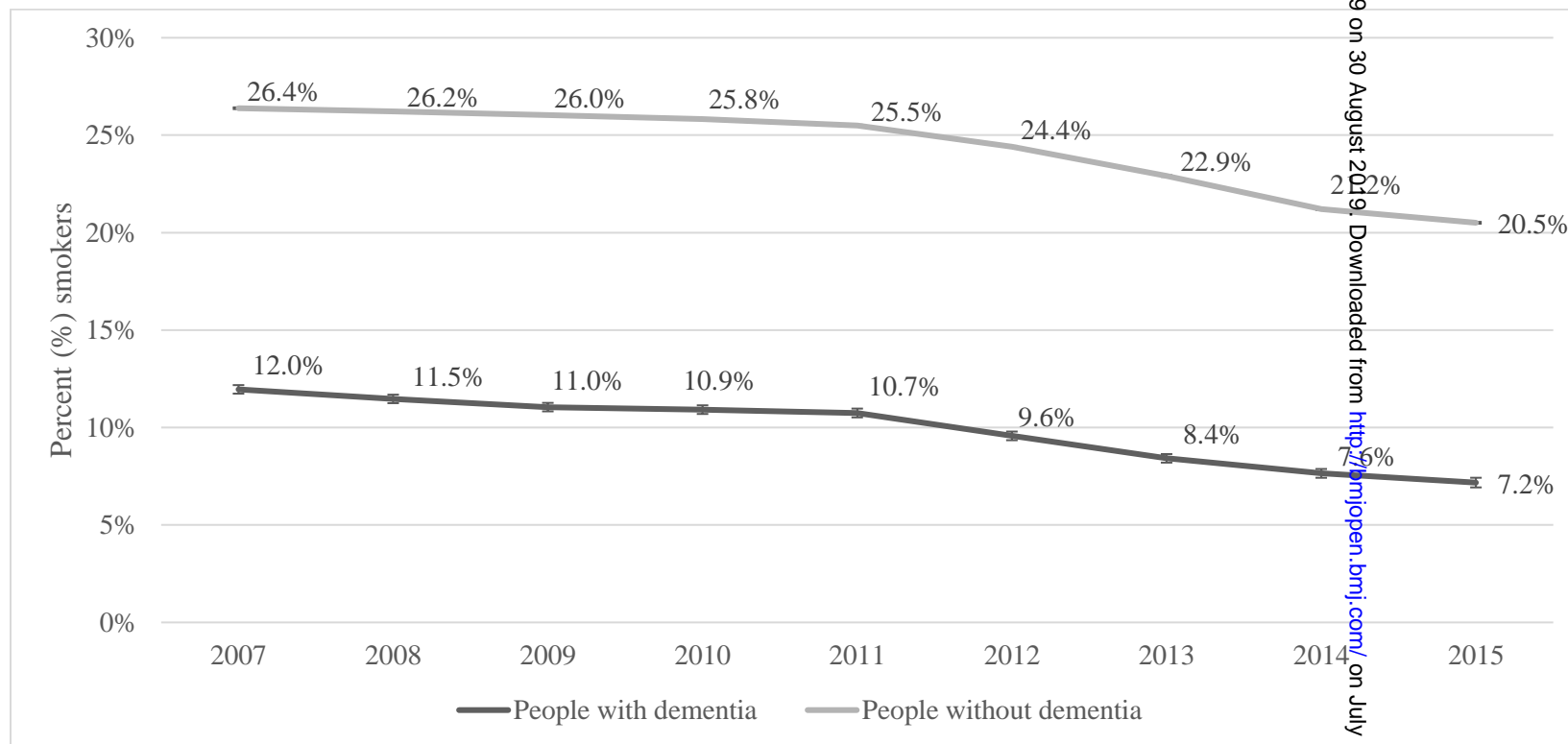
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Table 1. Baseline characteristics of people with or without dementia by exposure group, N (%)

Characteristic	People with dementia (N=447)			People without dementia (N=234,867)		
	NRT (N=409)	Varenicline (N=38)	Total	NRT (N=159,327)	Varenicline (N=75,540)	Total
Age at time of first prescription ¹	71.1 (12.2)	66.2 (15.1)	70.7 (12.6)	46.2 (15.5)	44.4 (13.2)	45.6 (14.8)
Sex (male)	186 (45.5%)	19 (50.0%)	205 (45.9%)	73,674 (46.2%)	37,676 (49.9%)	111,350 (47.4%)
Index of multiple deprivation score (IMD) ^{2†}	3	4	3	3	3	3
Number of GP visits 1-year prior to first prescription ¹	11.5 (9.0)	15.3 (9.9)	11.8 (9.1)	8.9 (7.4)	7.3 (6.1)	8.4 (7.0)
BMI [†]	24.6 (5.1)	25.7 (6.3)	24.7 (5.4)	26.5 (5.7)	26.5 (5.4)	26.5 (5.6)
Year of first prescription ²	2010	2010	2010	2009	2010	2009
Days of history ¹	3,573.8 (2181.2)	3,450.3 (2327.4)	3,563.3 (2191.5)	3,052.9 (1907.1)	3,164.8 (1986.2)	3,088.9 (1933.6)
Comorbidity ever (Charlson Index)	354 (86.6%)	28 (73.7%)	382 (85.5%)	59,489 (37.3%)	4,017 (31.8%)	83,506 (35.6%)
Alcohol misuse ever	104 (25.4%)	11 (29.0%)	115 (25.7%)	13,890 (8.7%)	4,759 (6.3%)	18,649 (7.9%)
Self-harm ever	67 (16.4%)	9 (23.7%)	76 (17.0%)	17,232 (10.8)	6,652 (8.8%)	23,884 (10.2%)
Ever anxiety and stress related disorders	151 (36.9%)	16 (42.1%)	167 (37.4%)	44,381 (27.9%)	17,377 (23.0%)	61,758 (26.3%)
Other behavioural/neurologic disorder ever	30 (7.3%)	6 (15.8%)	36 (8.1%)	8,693 (5.5%)	2,956 (3.9%)	11,649 (5.0%)
Ever depression	217 (53.1%)	26 (68.4%)	243 (54.4%)	65,343 (41.0%)	26,097 (34.6%)	91,440 (38.9%)
Ever antidepressants	273 (66.7%)	28 (73.7%)	301 (67.3%)	79,584 (50.0%)	32,230 (42.7%)	111,814 (47.6%)
Ever antipsychotics	175 (42.8%)	13 (34.2%)	188 (42.1%)	28,972 (18.2%)	9,792 (13.0%)	38,764 (16.5%)
Ever hypnotics/anxiolytics	238 (58.2%)	20 (52.6%)	258 (57.7%)	60,092 (37.7%)	25,134 (33.3%)	85,226 (36.3%)

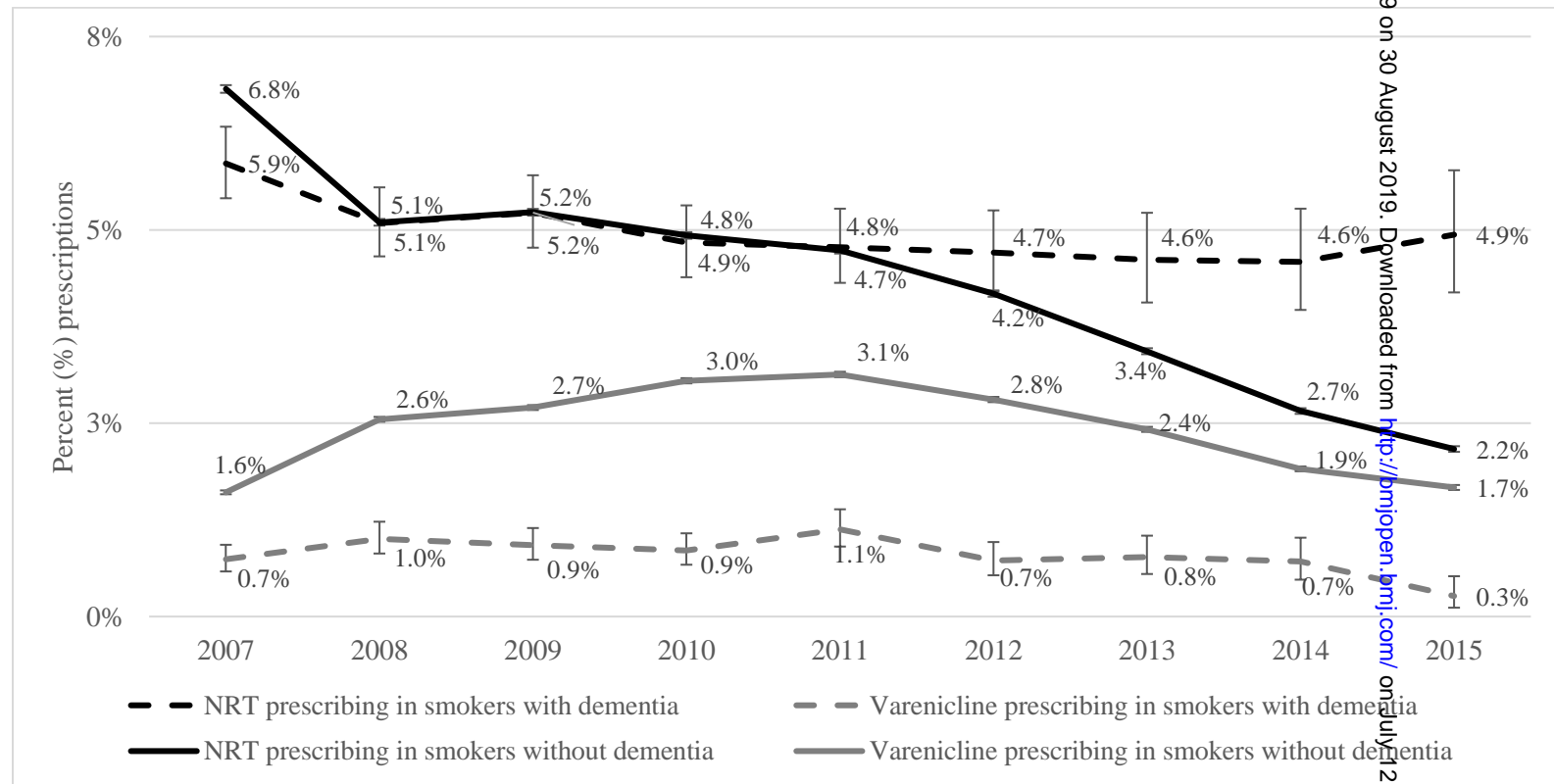
1 Data presented are mean and standard deviation. 2 Data presented are median. †Missing data: BMI data was missing for 14.1% (N= 33,059); IMD data was missing for 43.6% (N= 102,657).

Figure 1. Percentage (%) of primary care patients with an electronic medical record indicating smoking, from 2007 to 2015, in people with or without dementia



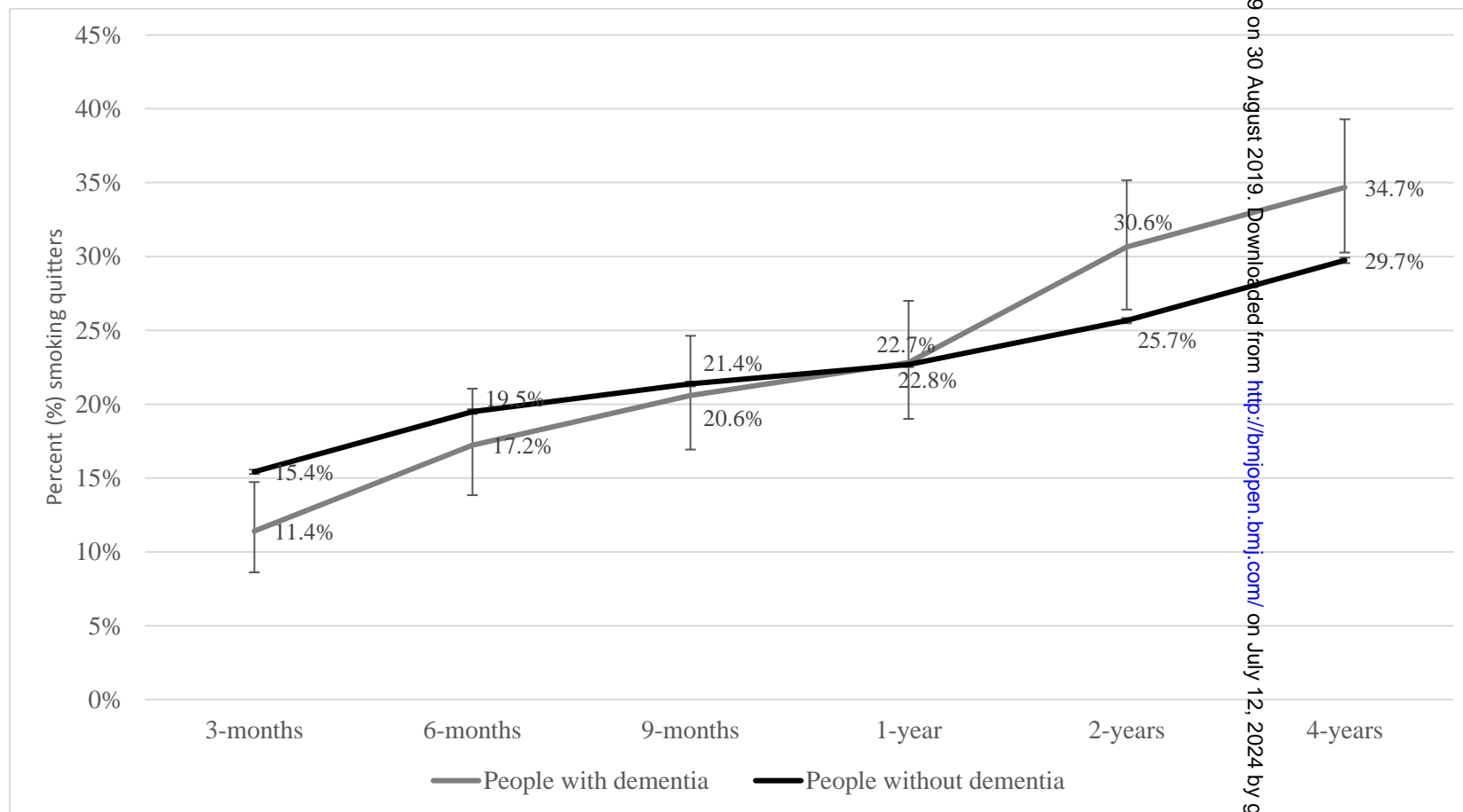
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Figure 2. Prescription prevalence of varenicline or NRT in primary care, from 2007 to 2015, in smokers with dementia, compared to smokers without dementia



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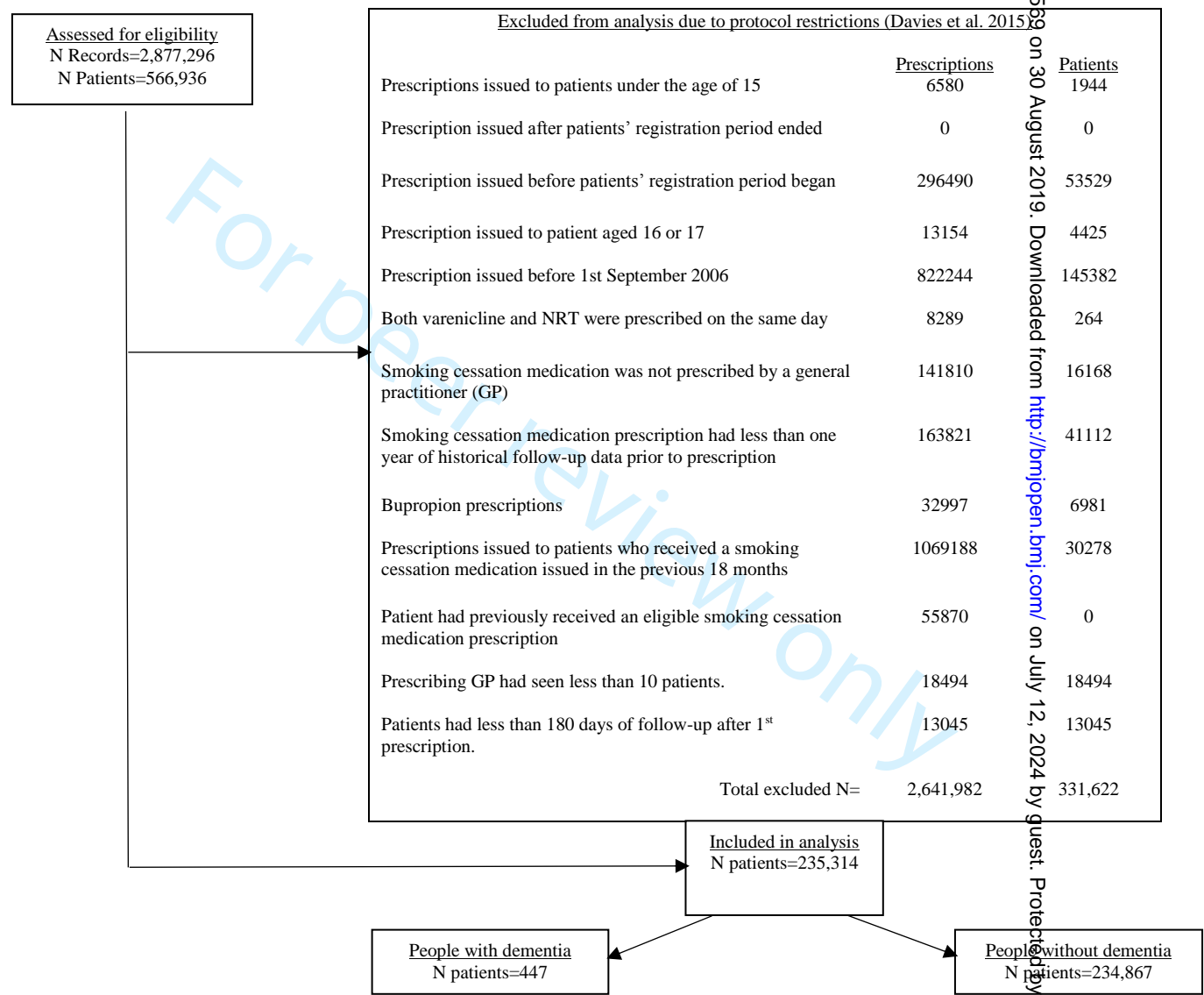
Figure 3. Percentage (%) of people with an electronic medical record indicating smoking cessation at up to 2-years follow-up, in people with and without dementia



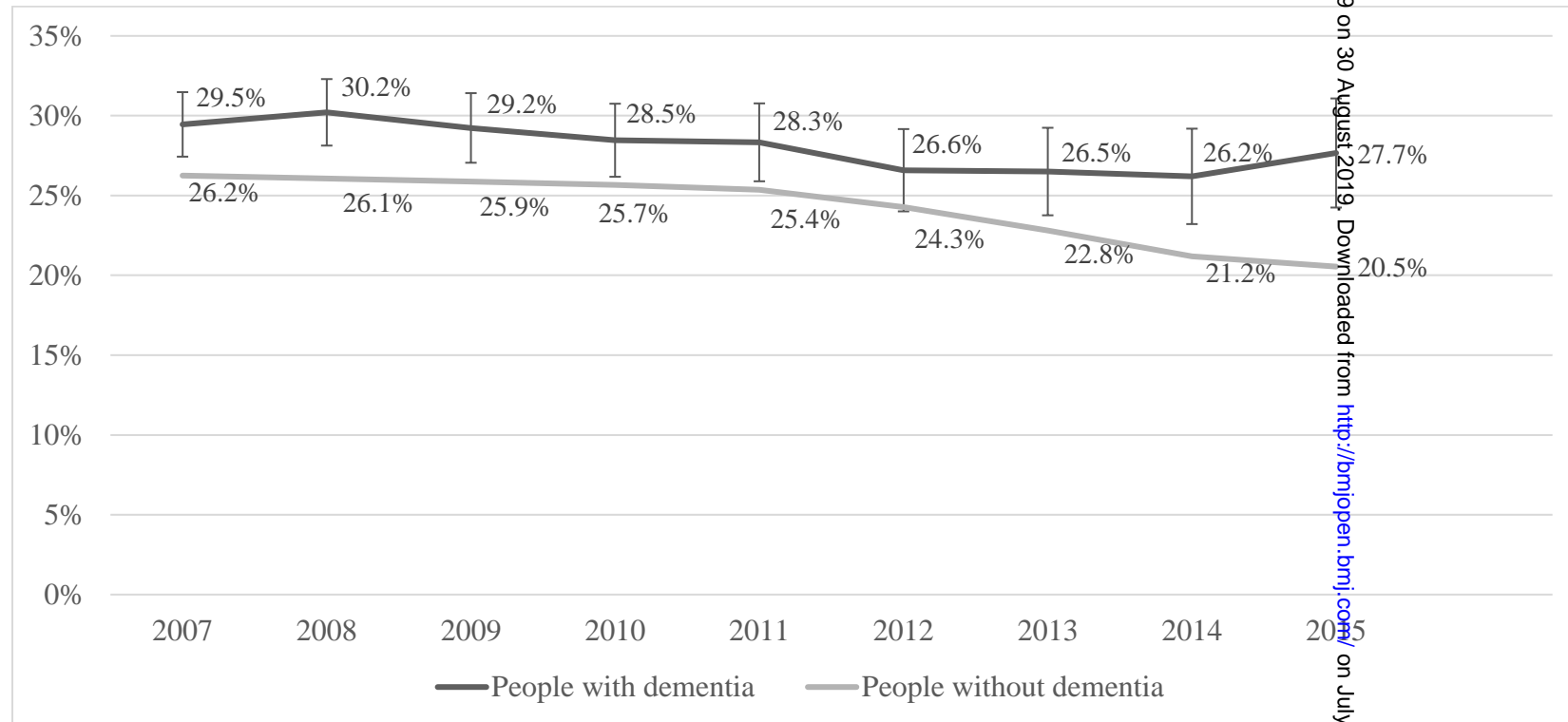
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eFigure 1. Flow chart of eligible study participants as per protocol restrictions



eFigure 2. Age and sex standardised percentage (%) of primary care patients with an electronic medical record indicating smoking, from 2007 to 2015, in people with or without dementia



Note on age and sex standardisation

Smoking prevalence rates were directly age- and sex- standardised to account for differences in age and sex between groups with and without dementia. We used the CPRD population in 2015 as our standard population for calculating standardised smoking rates. Age was grouped into three categories (18-49, 50-59, 60+ years).

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eTable 1. Distributions of imputed characteristics in the imputation datasets

Characteristic	People with dementia (N=447)				People without dementia (N=234,867)				% of data imputed					
	NRT (N=409)		Varenicline (N=38)		NRT (N=159,327)		Varenicline (N=75,540)							
	Mean	Standard error	Mean	Standard error	Mean	Standard error	Mean	Standard error	Mean	Standard error	Mean	Standard error		
Body mass index	24.6	0.28	26.6	1.02	24.7	0.27	10.3	26.4	0.02	26.5	0.02	26.4	0.01	14.1
Index of multiple deprivation	3.3	0.08	3.3	0.3	3.3	0.09	53.2	3.3	0.004	3.2	0.007	3.3	0.003	43.6

eTable 2. Raw numbers for smoking prevalence calculations amongst people with and without dementia, 2007-2015

Year	2007	2008	2009	2010	2011	2012	2013	2014	2015
<i>People with dementia</i>									
Numerator (number of smokers with dementia)	10,121	9,548	8,883	8,415	7,807	6,478	5,200	4,077	3,018
Denominator (number people with dementia)	84,647	83,265	80,419	77,085	72,662	67,692	61,771	53,326	42,075
<i>People without dementia</i>									
Numerator (number of smokers without dementia)	1,003,374	1,007,563	1,012,508	1,010,618	983,064	927,818	864,445	747,040	628,116
Denominator (number of people without dementia)	3,802,954	3,841,941	3,888,309	3,912,413	3,855,853	3,801,122	3,773,753	3,523,296	3,062,917

eTable 3. The likelihood of smokers with ever dementia diagnosis being prescribed varenicline versus NRT, as compared to smokers with no ever dementia diagnosis, N=235,314

	Partially adjusted odds ratio (95% confidence interval) †	Fully adjusted odds ratio (95% confidence interval) ††
Ever dementia diagnosis	0.21 (0.15 to 0.29)	0.21 (0.18 to 0.36)
†Partially adjusted models were adjusted for: age, sex, year of first prescription. †† Fully adjusted models were adjusted for: age, sex, days in history, IMD, number of GP visits 1-year prior to first prescription, BMI, year of first prescription, history of major physical morbidity (Charlson Index), alcohol misuse ever, drug misuse ever, depression ever, neurotic disorder ever, self-harm ever, antidepressant prescription ever, antipsychotic prescription ever, hypnotics/anxiolytics prescription ever, other psychotropic medication ever, and other behavioral/neurologic disorder ever. Missing BMI and IMD values were imputed using multiple imputation. Models were estimated using cluster robust standard errors to account for potential clustering of patients between practices.		

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eTable 4. Number and percentage (%) of people with an electronic medical record indicating smoking cessation at 3, 6 and 9-months, and 1, 2, and 4-years follow-up, in people with and without dementia

	3-months	6-months	9-months	1-year	2-years	4-years
People without dementia N=234867	36223 (15.4%)	45796 (19.5%)	50193 (21.4%)	53263 (22.7%)	60257 (25.7%)	69842 (29.7%)
People with dementia N=447	51 (11.4%)	77 (17.2%)	92 (20.6%)	102 (22.8%)	137 (30.6%)	155 (34.7%)

eTable 5. Fully adjusted odds ratios and 95% confidence intervals for the association between the diagnosis of dementia and smoking cessation at 3, 6 and 9-months and 1, 2, and 4-years after prescription

Fully adjusted odds ratio (95% confidence interval) †						
	3-months	6-months	9-months	1-year	2-years	4-years
(N=235,314)	0.56 (0.41 to 0.75)	0.64 (0.49 to 0.83)	0.71 (0.56 to 0.90)	0.75 (0.60 to 0.94)	1.0 (0.81 to 1.23)	1.04 (0.85 to 1.26)

† Fully adjusted models were adjusted for: type of prescription, age, sex, days in history, IMD, number of GP visits 1-year prior to first prescription, BMI, year of first prescription, history of major physical morbidity (Charlson Index), alcohol misuse ever, drug misuse ever, depression ever, neurotic disorder ever, self-harm ever, antidepressant prescription ever, antipsychotic prescription ever, hypnotics/anxiolytics prescription ever, other psychotropic medication ever, and other behavioral/neurologic disorder ever. Missing BMI and IMD values were imputed using multiple imputation.

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eTable 6. List of dementia medications codes used in study cohort

Prodcode	Product name
39363	Ebixa 20mg tablets (Lundbeck Ltd)
58937	Exelon 13.3mg/24hours transdermal patches (Novartis Pharmaceuticals UK Ltd)
6225	Memantine 10mg tablets
11751	Rivastigmine 3mg capsules
7329	Galantamine 20mg/5ml oral solution sugar free
60723	Rivastigmine 6mg capsules (Waymade Healthcare Plc)
58780	Voleze 9.5mg/24hours transdermal patches (Focus Pharmaceuticals Ltd)
39362	Ebixa tablets treatment initiation pack (Lundbeck Ltd)
56631	Rivastigmine 13.3mg/24hours transdermal patches
37132	Rivastigmine 9.5mg/24hours transdermal patches
56771	Rivastigmine 3mg capsules (Dr Reddy's Laboratories (UK) Ltd)
20404	Exelon 4.5mg capsules (Novartis Pharmaceuticals UK Ltd)
57171	Erastig 9.5mg/24hours transdermal patches (Teva UK Ltd)
61676	Donepezil 1mg/ml oral solution sugar free
24088	Reminyl XL 24mg capsules (Shire Pharmaceuticals Ltd)
11635	Galantamine 12mg tablets
60192	Galantex XL 8mg capsules (Creo Pharma Ltd)
57627	Erastig 4.6mg/24hours transdermal patches (Teva UK Ltd)
11654	Galantamine 8mg tablets
2930	Donepezil 5mg tablets
5616	Exelon 6mg capsules (Novartis Pharmaceuticals UK Ltd)
58969	Rivastigmine 4.6mg/24hours transdermal patches (A A H Pharmaceuticals Ltd)
48482	Galsya XL 8mg capsules (Consilient Health Ltd)
48442	Donepezil 5mg orodispersible tablets
55928	Exelon 4.5mg capsules (Waymade Healthcare Plc)
53882	Rivastigmine 2mg/ml oral solution
58709	Donepezil 10mg tablets (A A H Pharmaceuticals Ltd)
59871	Donepezil 10mg/5ml oral suspension

55720	Gatalin XL 24mg capsules (Aspire Pharma Ltd)
7361	Galantamine 24mg modified-release capsules
61476	Acumor XL 24mg capsules (Generics (UK) Ltd)
57139	Ebixa 10mg tablets (DE Pharmaceuticals)
62164	Alzest 9.5mg/24hours transdermal patches (Dr Reddy's Laboratories (UK) Ltd)
53922	Donepezil 10mg orodispersible tablets (Consilient Health Ltd)
36848	Aricept Evess 5mg orodispersible tablets (Eisai Ltd)
60493	Galantex XL 24mg capsules (Creo Pharma Ltd)
29288	Reminyl 4mg/ml oral solution (Shire Pharmaceuticals Ltd)
9966	Ebixa 5mg/pump actuation oral solution (Lundbeck Ltd)
58947	Donepezil 10mg tablets (Accord Healthcare Ltd)
5247	Aricept 10mg tablets (Eisai Ltd)
11716	Exelon 3mg capsules (Novartis Pharmaceuticals UK Ltd)
61920	Luventa XL 8mg capsules (Fontus Health Ltd)
10187	Galantamine 4mg tablets
37444	Exelon 4.6mg/24hours transdermal patches (Novartis Pharmaceuticals UK Ltd)
18587	Reminyl XL 8mg capsules (Shire Pharmaceuticals Ltd)
56421	Gatalin XL 8mg capsules (Aspire Pharma Ltd)
10255	Galantamine 8mg modified-release capsules
4597	Rivastigmine 1.5mg capsules
9854	Reminyl 4mg tablets (Shire Pharmaceuticals Ltd)
61385	Nemdatine 10mg tablets (Actavis UK Ltd)
11546	Exelon 1.5mg capsules (Novartis Pharmaceuticals UK Ltd)
14309	Galantamine 16mg modified-release capsules
37188	Aricept Evess 10mg orodispersible tablets (Eisai Ltd)
5334	Reminyl 12mg tablets (Shire Pharmaceuticals Ltd)
56709	Gatalin XL 16mg capsules (Aspire Pharma Ltd)
2931	Donepezil 10mg tablets
61921	Luventa XL 24mg capsules (Fontus Health Ltd)
11827	Rivastigmine 2mg/ml oral solution sugar free
37957	Exelon 9.5mg/24hours transdermal patches (Novartis Pharmaceuticals UK Ltd)

48015	Galsya XL 24mg capsules (Consilient Health Ltd)
53842	Aricept 5mg tablets (Waymade Healthcare Plc)
18800	Ebixa 10mg tablets (Lundbeck Ltd)
59993	Galantex XL 16mg capsules (Creo Pharma Ltd)
36976	Rivastigmine 4.6mg/24hours transdermal patches
56600	Donepezil 5mg tablets (Zentiva)
35088	Donepezil 10mg orodispersible tablets sugar free
5400	Aricept 5mg tablets (Eisai Ltd)
59330	Voleze 4.6mg/24hours transdermal patches (Focus Pharmaceuticals Ltd)
18062	Reminyl 8mg tablets (Shire Pharmaceuticals Ltd)
11752	Rivastigmine 4.5mg capsules
38976	Memantine 5mg+10mg+15mg+20mg Tablet
35179	Donepezil 5mg orodispersible tablets sugar free
60107	Donepezil 5mg tablets (Alliance Healthcare (Distribution) Ltd)
20140	Reminyl XL 16mg capsules (Shire Pharmaceuticals Ltd)
39240	Memantine 20mg tablets
18556	Exelon 2mg/ml oral solution (Novartis Pharmaceuticals UK Ltd)
9786	Rivastigmine 6mg capsules
11837	Memantine 10mg/ml oral solution sugar free
48443	Donepezil 10mg orodispersible tablets
61618	Nemdatine 20mg tablets (Actavis UK Ltd)
12843	Ginkyo 120mg tablets (Ceuta Healthcare Ltd)
30120	Ginkyo 50mg tablets (Ceuta Healthcare Ltd)
61128	HealthAid Ginko Vital (Biloba) 5g capsules (HealthAid Ltd)

eTable 7. List of dementia diagnoses codes used in study cohort

Medcode	Readterm
26270	[X]Lewy body dementia
44674	Senile dementia with depressive or paranoid features
19393	[X]Vascular dementia, unspecified
4693	[X] Unspecified dementia
25704	[X]Presenile dementia,Alzheimer's type
19477	Arteriosclerotic dementia
55313	[X]Other vascular dementia
30032	Presenile dementia with paranoia
18386	Senile dementia with paranoia
56912	Arteriosclerotic dementia with delirium
33707	Senile and presenile organic psychotic conditions
4357	[X] Senile dementia NOS
12710	Dementia annual review
41089	Senile dementia with depressive or paranoid features NOS
9509	[X]Dementia in Parkinson's disease
15165	Presenile dementia
49513	Presenile dementia with delirium
42602	Uncomplicated presenile dementia
7572	Lewy body disease
30706	[X]Dementia in Alzheimer's dis, atypical or mixed type
1916	Senile dementia
55467	Arteriosclerotic dementia with paranoia
9565	[X]Arteriosclerotic dementia
8934	[X]Subcortical vascular dementia
31016	[X]Mixed cortical and subcortical vascular dementia
43089	Uncomplicated arteriosclerotic dementia
11175	[X]Multi-infarct dementia
42279	Arteriosclerotic dementia NOS

29386	[X]Dementia in Alzheimer's disease, unspecified
38438	Presenile dementia NOS
8634	Multi infarct dementia
1917	Alzheimer's disease
61528	[X]Alzheimer's disease type 2
1350	Senile/presenile dementia
38678	[X]Dementia in Alzheimer's disease with late onset
27677	Presenile dementia with depression
46762	[X]Alzheimer's disease type 1
11379	[X]Senile dementia,Alzheimer's type
21887	Senile dementia with depression
2882	Senile or presenile psychoses NOS
25386	Dementia in conditions EC
49263	[X]Dementia in Alzheimer's disease with early onset
59122	[X]Other Alzheimer's disease
34944	[X] Primary degenerative dementia NOS
40805	Excepted from dementia quality indicators: Informed dissent
37015	Senile dementia with delirium
6578	[X]Vascular dementia
7323	Uncomplicated senile dementia
55838	[X]Predominantly cortical dementia
29512	Senile degeneration of brain
12621	[X]Dementia in other diseases classified elsewhere
7664	[X]Dementia in Alzheimer's disease
60059	[X]Primary degen dementia, Alzheimer's type, presenile onset
15249	Other senile and presenile organic psychoses
43346	[X]Primary degen dementia of Alzheimer's type, senile onset
53446	[X]Delirium superimposed on dementia
43292	Arteriosclerotic dementia with depression
32057	Alzheimer's disease with late onset
8195	[X]Alzheimer's dementia unspec

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64267	[X]Dementia in other specified diseases classif elsewhere
46488	[X]Vascular dementia of acute onset
27759	[X] Senile dementia, depressed or paranoid type
16797	Alzheimer's disease with early onset
48501	[X] Presenile dementia NOS
109047	Antipsychotic drug therapy for dementia
28402	[X]Dementia in Pick's disease
54106	[X]Dementia in Creutzfeldt-Jakob disease
37014	[X]Dementia in Huntington's disease
41185	[X]Dementia in human immunodef virus [HIV] disease
11136	Pick's disease
62132	Drug-induced dementia
2731	Cerebral atrophy
54744	Cerebral degeneration due to cerebrovascular disease

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STROBE Statement—Checklist of items that should be included in reports of *cohort studies*

	Item No	Recommendation	Page
Title and abstract	1	(a) Indicate the study's design with a commonly used term in the title or the abstract	P3L43
		(b) Provide in the abstract an informative and balanced summary of what was done and what was found	P4L69
Introduction			
Background/rationale	2	Explain the scientific background and rationale for the investigation being reported	P5L92
Objectives	3	State specific objectives, including any prespecified hypotheses	P6L112
Methods			
Study design	4	Present key elements of study design early in the paper	P7L120
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection	P7L136
Participants	6	(a) Give the eligibility criteria, and the sources and methods of selection of participants. Describe methods of follow-up	P7L136
		(b) For matched studies, give matching criteria and number of exposed and unexposed	NA
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable	P8-10L154-185
Data sources/ measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group	P7-8L136-152
Bias	9	Describe any efforts to address potential sources of bias	P11L192-195
Study size	10	Explain how the study size was arrived at	Supplementary eFigure 1
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why	P11L201-P12L218
Statistical methods	12	(a) Describe all statistical methods, including those used to control for confounding	P11L201-P12L218
		(b) Describe any methods used to examine subgroups and interactions	NA
		(c) Explain how missing data were addressed	P10L181-183, P11L192-195
		(d) If applicable, explain how loss to follow-up was addressed	NA
		(e) Describe any sensitivity analyses	NA
Results			
Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed	Supplementary eFigure 1
		(b) Give reasons for non-participation at each stage	Supplementary eFigure 1
		(c) Consider use of a flow diagram	Supplementary eFigure 1
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic,	Table 1

		clinical, social) and information on exposures and potential confounders	
		(b) Indicate number of participants with missing data for each variable of interest	Table 1
		(c) Summarise follow-up time (eg, average and total amount)	Table 1
Outcome data	15*	Report numbers of outcome events or summary measures over time	Supplementary eTables 2 and 4
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included	Supplementary eTables 3 and 5
		(b) Report category boundaries when continuous variables were categorized	NA
		(c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period	NA
Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses	NA
Discussion			
Key results	18	Summarise key results with reference to study objectives	P17L319-322
Limitations	19	Discuss limitations of the study, taking into account sources of potential bias or imprecision. Discuss both direction and magnitude of any potential bias	P15L264-276
Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence	P17L319-322
Generalisability	21	Discuss the generalisability (external validity) of the study results	P16L284-287
Other information			
Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based	P18L324-335

*Give information separately for exposed and unexposed groups.

Note: An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at <http://www.plosmedicine.org/>, Annals of Internal Medicine at <http://www.annals.org/>, and Epidemiology at <http://www.epidem.com/>). Information on the STROBE Initiative is available at <http://www.strobe-statement.org>.

BMJ Open

Use of varenicline and nicotine replacement therapy in people with and without general practitioner-recorded dementia: retrospective cohort study of routine electronic medical records.

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Primary Subject Heading:	Smoking and tobacco
Secondary Subject Heading:	Epidemiology
Keywords:	Dementia < NEUROLOGY, Smoking cessation, Smoking prevalence

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PAPER TITLE

Use of varenicline and nicotine replacement therapy in people with and without general practitioner-recorded dementia: retrospective cohort study of routine electronic medical records.

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ABSTRACT

Objectives: Our primary objective was to estimate smoking prevalence and prescribing rates of varenicline and NRT in people with and without GP-recorded dementia. Our secondary objective was to assess and compare quit rates of smokers with versus without general practitioner (GP)-recorded dementia who were prescribed varenicline or nicotine replacement therapy (NRT) for smoking cessation.

Design: A retrospective cohort study based on the analysis of electronic medical records within the Clinical Practice Research Datalink (2007-2015).

Setting: 683 general practices in England.

Participants: People with and without GP-recorded dementia, aged 18 years and have a code indicating that they are a current smoker.

Intervention: Index prescription of varenicline or NRT (from 1st September 2006).

Outcome measures: The primary outcomes were smoking prevalence and prescribing rates of varenicline and NRT (2007-2015). The secondary outcome was smoking cessation at 2 years.

Results: Age and sex-standardised prevalence of smoking was slightly higher in people with GP-recorded dementia than in those without. There were 235,314 people aged 18 years and above prescribed NRT or varenicline. Amongst smokers with GP-recorded dementia (N=447), 409 were prescribed NRT and 38 varenicline. Smokers with GP-recorded dementia were 74% less likely (95% confidence interval: 64% to 82%) to be prescribed varenicline than NRT, compared to smokers without GP-recorded dementia. Compared to people without GP-recorded dementia, people with GP-recorded dementia had consistently lower prescribing rates of varenicline from 2007 to 2015.

Two years after prescription, there was no clear evidence for a difference in the likelihood of smoking cessation after prescription of these medications between individuals with and without dementia (OR 1.0, 95% CI: 0.8, 1.2).

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3 **Conclusions:** Between 2007 and 2015, people with GP-recorded dementia were less likely to be
4 prescribed varenicline than those without dementia. Quit rates following prescription of either
5 NRT or varenicline were similar in those with and without dementia.
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8 **Strengths and limitations of this study**

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13 • This study used primary care data from the Clinical Practice Research Datalink (CPRD)
14 which are representative of the UK primary care population.
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17 • Expert-reviewed codelists were developed to define both the exposure and the outcome
18 which would reduce misclassification bias.
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22 • Due to the small sample size of people with GP-recorded dementia, we were not able to test
23 the relative effectiveness of varenicline versus NRT on smoking cessation using regression
24 models.
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29 • Data on smokers who purchase over-the-counter NRT were not available, and therefore the
30 prevalence of NRT might be underestimated.
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INTRODUCTION

Smoking is a leading cause of mortality and morbidity worldwide. About 12% of global deaths were linked to smoking in 2015.¹ There is substantial evidence that smoking is associated with an increased risk of developing dementia.^{2,3} For instance, it is estimated that 14% of Alzheimer's disease (AD) cases worldwide are attributable to smoking.⁴ Smoking is thought to accelerate the onset of dementia mainly via vascular risk factors such as narrowing of blood vessels in the heart and the brain, thereby triggering oxidative stress.^{4,5}

Few studies report smoking prevalence among people with dementia. In a cross-sectional analysis of patients treated for AD in a neurology clinic during a 2-year period, past smoking prevalence was 29% (N=21/72).⁶ In a case-control study of patients with vascular dementia, the rate of current tobacco use was 9% (N=17/198) as compared to 6% (N=11/199) in the control group.⁷ Beyond the harmful health effects of smoking in people with dementia, there are concerns that smokers in this group may have a higher likelihood of fire accidents due to their compromised cognitive state.⁸

Since there are currently no available treatments to cure dementia, there is a growing interest in identifying modifiable risk factors for reducing the occurrence of the disease, to delay dementia onset, and reduce its burden.^{4,9} Smoking cessation could potentially decrease the risk or slow the development of dementia¹⁰ and could improve the quality of life of older adults through improved physical, and mental wellbeing.^{9,11} Little is known about whether people with dementia are prescribed smoking cessation agents and whether they are effective in this group. Based on a Cochrane review of 136 trials, it was reported that NRT (compared to placebo or no treatment) can help people who make a quit attempt to increase their chances of successfully

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3 stopping smoking (Hartmann-Boyce et al., 2018). Data from observational studies^{12 13} and meta-
4 analyses of randomized controlled trials¹⁴ indicate that varenicline is more effective than single
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6 form nicotine replacement therapy (NRT) for smoking cessation in the general population.
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10 However, it is unclear whether varenicline or NRT could help smokers with dementia to quit
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12 smoking.
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16 Therefore in this study we aimed to: 1) describe the rates of smoking prevalence and smoking
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18 cessation medication prescribing amongst people with and without GP-recorded dementia in UK
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20 primary care settings from 2007 to 2015; and; 2) assess and compare associations of varenicline
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22 and NRT on smoking cessation in people with GP-recorded dementia, compared to those
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24 without, at 3, 6, 9 months and 1, 2, 4 years after first prescription.
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METHODS

Data source and population

We conducted a retrospective cohort study using electronic medical records from 683 general practices in England from 2007 to 2015 using data from the Clinical Practice Research Database (CPRD). Patient data from the CPRD are broadly representative of the UK primary care population in terms of age, sex and ethnicity.¹⁵ These data have been validated, audited, and quality checked.¹⁶ The study's protocol (15_115R) was approved by the Independent Scientific Advisory Committee for MHRA Database Research (<https://www.cprd.com/isac/>).

Code lists

We defined variables using medical and product codes within the CPRD. All code lists were developed using a list from a previously published study.¹² These codelists were derived from the the British National Formulary (BNF) and the International Classification of Diseases (ICD-10) and then agreed upon by field experts (DR, KHT). A previous systematic review that checked the validity of coding of various diagnoses in what was then the General Practice Research Database (now CPRD) suggests that coding for dementia and Alzheimer's is relatively accurate.¹⁷

Study subjects

During the study period (2007 to 2015), we included people (aged ≥ 18 years) with information about their smoking status (either smokers or non-smokers) for smoking prevalence estimates, and we included smokers prescribed either varenicline or NRT for prescribing prevalence and for

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3 comparing quit rates. We used an open cohort design, with new patients entering the cohort
4 throughout the observation period.
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8 For the primary objective, people were categorised as having dementia if: i) they had ever been
9 diagnosed with dementia (based on ICD-10 diagnoses F00-F03), or ii) if they were prescribed
10 dementia medications: (BNF chapter 4.11) (see supplementary file for a list of all Read and
11 product codes used in this study). Then, the earliest record of GP-recorded dementia in the
12 CPRD was taken forward ensuring that all records used were within the registration period of
13 each patient. Patients with no records of the above-mentioned diagnosis/prescriptions were
14 considered to have no GP-recorded dementia (for clarity, we hence forth refer to this as
15 dementia).
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19 For the secondary objective, we constructed a cohort of eligible first varenicline/NRT
20 prescriptions (see eFigure 1 for a flow chart of numbers of patients excluded and reasons for
21 exclusion). Within that cohort of eligible prescriptions, we considered individuals with dementia
22 to be those with recorded Read codes for ever dementia/dementia medications prior to first
23 varenicline/NRT prescription; we did this to ensure that a diagnosis of dementia preceded the
24 exposure (prescription of a smoking cessation medicine).
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42 **Variables**

43 *Smoking and prescribing prevalence estimates*

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46 For smoking prevalence estimates, a patient's smoking status (aged ≥ 18 years) was defined by a
47 record indicating smoking/non-smoking or prescription of NRT/varenicline in that year. In case
48 of missing information about smoking, the patient's smoking status was carried forward until
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3 there was evidence of a change in smoking status or carried backwards if smoking status was
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5 only recorded in the final year of registration. Records that were outside the registration period
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8 for each patient were excluded.
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Exposure

Exposure was defined as prescription of varenicline or NRT (e.g., patches, etc. on prescription as opposed to over-the-counter, hence forth we refer to this as NRT).

Prescriptions used to define exposure groups were issued between September 1st, 2006 and August 31st, 2016, with no prior record of use of a related product in the preceding 18-months. We used the first treatment episode to ensure that intervention groups were “new users” of the medication.¹⁸ We did not model multiple and repeated prescriptions of smoking cessation medications during follow-up because this is likely to be strongly related to patient characteristics.

Outcome: smoking cessation

Smoking cessation was defined as having an electronic record indicating a non-smoking status. The closest smoking record to each follow-up period was selected to determine each study participant’s smoking status; i.e., the most recent smoking record identified between cohort entry and each follow-up period (e.g., 3-months, 6-months). People with missing smoking data (beyond 180 days) were assumed to be continuing smokers¹⁹ which has been previously found to be robust in sensitivity analyses.¹²

Covariates

Covariates included patients' age at time of prescription, sex, index of multiple deprivation score (IMD), mean number of GP visits one year prior to first prescription, year of first prescription of a smoking cessation medication, body mass index (BMI), days registered in the CPRD, the Charlson Index (a measure of chronic illness),²⁰ alcohol misuse, history of mental disorder or psychoactive medication prescriptions, evidence of other psychoactive medication prescription or other less common psychiatric disorders. We used multiple imputation to handle missing data on BMI and IMD. This was done using the ICE command in Stata where we produced 20 imputed datasets (eTable 1). We included all exposures, covariates, and outcomes in the imputation model.²¹

Follow-up

The secondary outcome was smoking cessation at 2 years, and this was also assessed at 3, 6, 9 months and 1, and 4 years after first prescription of varenicline or NRT.

Statistical analysis

Smoking prevalence

Smoking rates were calculated by dividing the number of people with dementia who had Read codes indicating current smoking for each year between 2007 and 2015 by the total number of people with dementia and a smoking status code (indicating current or non/ex-smoking) each year between 2007 and 2015. For comparison, smoking prevalence was also estimated amongst people without dementia.

Prescribing prevalence

The prevalence of varenicline and NRT prescribing amongst current smokers was calculated by dividing the number of prescriptions each year from 2007 to 2015 (there were no varenicline prescriptions for patients with dementia in 2006) by the number of current smokers in each year. Prevalence was estimated for people with and without dementia. Individuals with missing smoking information were excluded from the denominators.

Association of varenicline and NRT prescriptions with smoking cessation

We had originally planned to compare the effectiveness of NRT and varenicline for smoking cessation in individuals with dementia. However, given the small numbers of individuals prescribed varenicline, we had insufficient power to conduct this analysis. Therefore, we compared the effectiveness of being prescribed either varenicline or NRT on smoking cessation in individuals with dementia compared to individuals without dementia. This was determined by estimating quit rates at each follow-up period for individuals prescribed either of these medications. This was calculated by dividing the number of non-smokers in each group by the total number of people in that group at each follow-up period. All analyses were conducted using Stata 14 MP.

Patient and Public Involvement

This study was based on the analysis of anonymised primary care data. No patients were involved during the design and analysis of this study.

RESULTS

Smoking prevalence and smoking cessation medication prescribing estimates

Unadjusted smoking prevalence amongst people with dementia steadily decreased from 11% (N=2,965/27,432 in 2007 to 7% (N=2,690/36,249) in 2015 (Figure 1). These estimates were consistently lower than in people without dementia, 26% (N= 1,010,530/ 3,860,169) in 2007 and 21% (N=628,444/3,068,743) in 2015 respectively (eTable 2). However, after age and sex standardization, the smoking prevalence amongst people with dementia was slightly higher than in people without (eFigure 2).

The rate of NRT prescribing in people without dementia was 7% (68,935/1,010,530) in 2007 which decreased to 2% (N=13626/628,444) by 2015, whereas NRT prescribing rates amongst people with dementia increased during the same period. Compared to people without dementia, people with dementia had lower prescribing rates of varenicline (Figure 2).

Smoking cessation amongst individuals prescribed NRT and Varenicline

Of the 235,314 people included in this analysis, 447 were people with dementia, whereas 234,867 were people without (eFigure 1). Overall, 159,736 smokers were prescribed NRT and 75,578 prescribed varenicline (Table 1). The mean age of people with dementia at the time of smoking medication prescription was about 72 years (SD=12.6), while that of people without dementia was 46 years (SD=14.8) People with dementia were about 25 years older, had more GP visits 1-year prior to the first prescription, suffered from more comorbidities, and received more mental health-related prescriptions than those without dementia (Table 1).

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3 Smokers with dementia were 74% (95% confidence interval: 64% to 82%) less likely to be
4 prescribed varenicline than NRT, compared to smokers without dementia (eTable 3). The
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6 proportion of people with and without dementia who quit smoking after being prescribed either
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8 varenicline or NRT increased throughout the study's follow-up period (3-months to 4- years). At
9
10 2 years follow-up, people with dementia were more likely to quit smoking (30.6%, 95% CI:
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12 25.8% to 35.1%) than those without (25.7%, 95% CI: 25.4% to 25.8%) when prescribed either
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14 varenicline or NRT (Figure 3) (eTable 4). However, after adjusting for all covariates, we found
15
16 no evidence for a difference in quit rates between individuals with and without dementia
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22 (OR=1.0, 95% CI: 0.81-1.23) (eTable 5).
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DISCUSSION

People with dementia were less likely to be prescribed varenicline compared to those without dementia. There was no clear evidence for a difference in quit rates in individuals with and without dementia following prescription of NRT or varenicline.

A strength of this study is that we used primary care data from the CPRD which are representative of the UK primary care population.¹⁵ Hence, smoking rates in people with dementia in this study are likely to be generalisable to the dementia population in the UK and in similar countries. Additionally, we used expert-reviewed codelists to define both the exposure and the outcome which would reduce misclassification bias (i.e., classifying people with dementia as people without and vice versa).

There are several limitations to this research. Due to the small sample size of people with dementia, we were not able to test the relative effectiveness of varenicline versus NRT on smoking cessation using regression models. We had no data on smokers who purchase over-the-counter NRT, therefore we might be underestimating the prevalence of NRT use, particularly amongst people without dementia. Hence, it is likely that the prevalence of NRT use amongst people without dementia is larger than the prevalence of NRT prescribing in this study.

Moreover, outcome definition (smoking vs. non-smoking status) was based on self-reported data rather than biochemical verification of smoking status. Additionally, social desirability bias may occur when unsuccessful quitters don't disclose their smoking status truthfully. We also relied on point estimates (i.e. smoking status reported at a single timepoint) for making conclusions about smoking status. This may not have captured long-term abstinence. In other words, it is possible that smoking status may have fluctuated between GP visits. A further limitation is having no information about patient adherence in taking their prescribed smoking cessation medications.

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3 We are not aware of previous population-based studies that estimated the smoking rates amongst
4 people with dementia as the available evidence has been limited to small cross-sectional studies.
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6 For instance, a community study in China found that about 17% (N=69/186) of the elderly
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8 sample with dementia were current smokers compared to 25% (N=415/1664) in people without
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10 dementia.²² Results from the Toyama dementia survey in Japan show that only 4% of people
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12 with dementia smoked compared to 10% in those without.²³ This high variability in the results
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14 points to the need for larger and more representative studies in people with dementia to be
15
16 conducted. Meanwhile, we found that smoking prevalence has decreased steadily amongst
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18 people without dementia, from 26% in 2007 to 21% in 2015. This was fairly similar to the
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20 general population in England as evidenced by results from the Smoking Toolkit Study (24.2%
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22 in 2007 to 18.7% in 2015)²⁴ and therefore speaks to the external validity of our study.
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29 We observed a low prevalence of varenicline prescribing during our study period in people with
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31 dementia. Our estimate for individuals without dementia was consistent with findings from a
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33 previous study that examined the use of varenicline for smoking cessation treatment in UK
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35 primary care using data from THIN database in 2011. Compared to our results from that year,
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37 our estimates appear slightly lower (1.1% versus 1.8% in the THIN study).²⁵ While NRT
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39 prescribing rates increased from 4% in 2007 to 5% in 2015 in people with dementia, these rates
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41 declined over time in people without dementia. A recent report from the [British Lung Foundation](#)
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43 found that NRT prescribing through primary care in England has dropped about 75% during the
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45 last 10 years. That was mainly due to cuts to public health funding that would have adversely
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47 impacted specialist stop smoking services.²⁶
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53 Our study is among the first to investigate longer term smoking cessation after being prescribed
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55 varenicline and NRT amongst individuals with dementia in a real world setting. Our results
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3 suggest that both varenicline and NRT could produce long term smoking cessation in people
4 with dementia as they do in those without. Almost one third of smokers with dementia quit
5 smoking after 2 years follow-up. Regardless of the smoking cessation medication prescribed to
6 people with dementia, it is important to acknowledge that achieving smoking cessation in this
7 group may carry health benefits which would potentially improve their general health status and
8 and/or extend life expectancy.²⁷ This should ideally be coupled with improving diet quality and
9 increasing physical activities that may shield quitters from weight gain after smoking cessation.²⁸

10
11 It is not clear why individuals with dementia are less likely to be prescribed varenicline than
12 NRT compared to individuals without. Previous clinical and observational studies have
13 established that varenicline is superior to single form NRT in achieving smoking cessation in
14 different groups.^{12 29 30} Additionally, varenicline did not seem to be associated with an increased
15 risk of documented cardiovascular events, depression, or self-harm when compared with NRT in
16 primary care in England.³¹ On the other hand, a recent study based on CPRD data concluded that
17 NRT appears to increase cardiovascular events for patients prescribed NRT, compared with
18 those receiving smoking cessation advice after 52 weeks of follow-up.³² This was consistent with
19 the evidence shown by a meta-analysis of 120 studies involving 177, 390 individuals.³³ It is
20 possible that GPs are less likely to prescribe varenicline to individuals with dementia because of
21 lower likelihood of adherence; in a recent systematic review of the literature, older patients with
22 dementia were found to have a low level of medication adherence.³⁴

23
24 In summary, age- and sex-adjusted smoking prevalence amongst individuals with dementia was
25 similar to those without dementia and smoking cessation rates were similar following
26 prescription of smoking cessation medications between these groups. However, individuals with
27 dementia were less likely to be prescribed varenicline than individuals without dementia.

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Data statement

This study is based in part on data from the Clinical Practice Research Datalink obtained under licence from the UK Medicines and Healthcare Products Regulatory Agency. The data are provided by patients and collected by the NHS as part of their care and support. The interpretation and conclusions contained in this study are those of the authors alone.

Data and analytic code availability

Codelists that were used for this study are available in the supplementary file (eTables 6 and 7).

Contributorship Statement

TI contributed to data cleaning, data analysis, interpretation of results and writing the manuscript. RMM, GT, ND, AT and KT contributed to study conceptualization, study design, interpretation of results, data analysis and writing the manuscript. MM, and DR contributed to study conceptualization, study design, interpretation of results and writing the manuscript. TJ extracted the data and contributed to writing the manuscript. TI, AT, and ND had full access to all of the data in the study and takes responsibility for the integrity of the data and the accuracy of the data analysis.

Figure legends

Figure 1. Percentage (%) of primary care patients with an electronic medical record indicating smoking, from 2007 to 2015, in people with or without dementia

Figure 2. Prescription prevalence of varenicline or NRT in primary care, from 2007 to 2015, in smokers with dementia, compared to smokers without dementia

Figure 3. Percentage (%) of people with an electronic medical record indicating smoking cessation at up to 2 years follow-up, in people with and without dementia

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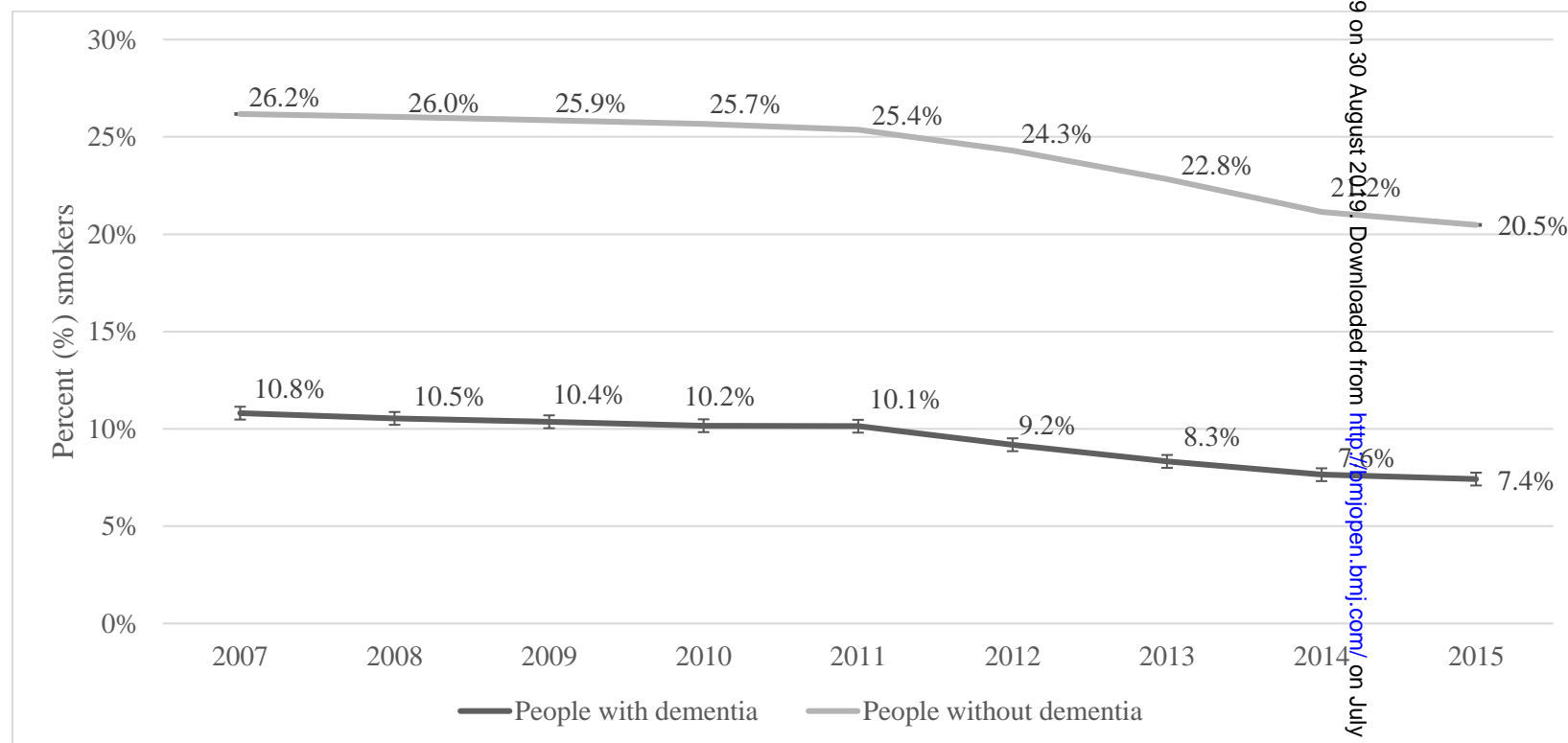
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Table 1. Baseline characteristics of people with or without dementia by exposure group, N (%)

Characteristic	People with dementia (N=447)			People without dementia (N=234,867)		
	NRT (N=409)	Varenicline (N=38)	Total	NRT (N=159,327)	Varenicline (N=75,540)	Total
Age at time of first prescription ¹	71.1 (12.2)	66.2 (15.1)	70.7 (12.6)	46.2 (15.5)	44.4 (13.2)	45.6 (14.8)
Sex (male)	186 (45.5%)	19 (50.0%)	205 (45.9%)	73,674 (46.2%)	37,676 (49.9%)	111,350 (47.4%)
Index of multiple deprivation score (IMD) ^{2†}	3	4	3	3	3	3
Number of GP visits 1-year prior to first prescription ¹	11.5 (9.0)	15.3 (9.9)	11.8 (9.1)	8.9 (7.4)	7.3 (6.1)	8.4 (7.0)
BMI [†]	24.6 (5.1)	25.7 (6.3)	24.7 (5.4)	26.5 (5.7)	26.5 (5.4)	26.5 (5.6)
Year of first prescription ²	2010	2010	2010	2009	2010	2009
Days of history ¹	3,573.8 (2181.2)	3,450.3 (2327.4)	3,563.3 (2191.5)	3,052.9 (1907.1)	3,164.8 (1986.2)	3,088.9 (1933.6)
Comorbidity ever (Charlson Index)	354 (86.6%)	28 (73.7%)	382 (85.5%)	59,489 (37.3%)	4,017 (31.8%)	83,506 (35.6%)
Alcohol misuse ever	104 (25.4%)	11 (29.0%)	115 (25.7%)	13,890 (8.7%)	4,759 (6.3%)	18,649 (7.9%)
Self-harm ever	67 (16.4%)	9 (23.7%)	76 (17.0%)	17,232 (10.8)	6,652 (8.8%)	23,884 (10.2%)
Ever anxiety and stress related disorders	151 (36.9%)	16 (42.1%)	167 (37.4%)	44,381 (27.9%)	17,377 (23.0%)	61,758 (26.3%)
Other behavioural/neurologic disorder ever	30 (7.3%)	6 (15.8%)	36 (8.1%)	8,693 (5.5%)	2,956 (3.9%)	11,649 (5.0%)
Ever depression	217 (53.1%)	26 (68.4%)	243 (54.4%)	65,343 (41.0%)	26,097 (34.6%)	91,440 (38.9%)
Ever antidepressants	273 (66.7%)	28 (73.7%)	301 (67.3%)	79,584 (50.0%)	32,230 (42.7%)	111,814 (47.6%)
Ever antipsychotics	175 (42.8%)	13 (34.2%)	188 (42.1%)	28,972 (18.2%)	9,792 (13.0%)	38,764 (16.5%)
Ever hypnotics/anxiolytics	238 (58.2%)	20 (52.6%)	258 (57.7%)	60,092 (37.7%)	25,134 (33.3%)	85,226 (36.3%)

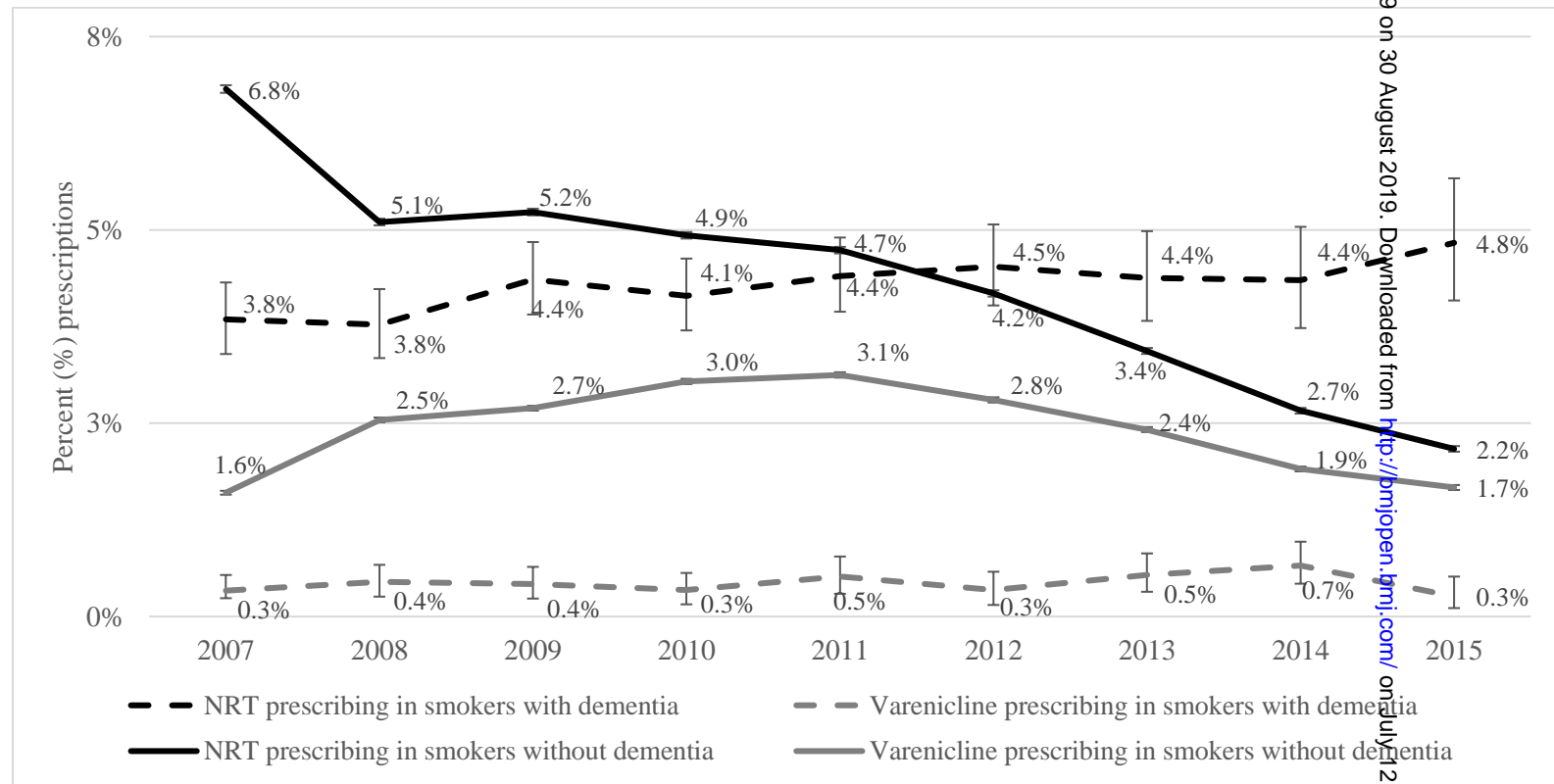
1 Data presented are mean and standard deviation. 2 Data presented are median. †Missing data: BMI data was missing for 14.1% (N= 33,059); IMD data was missing for 43.6% (N= 102,657).

Figure 1. Percentage (%) of primary care patients with an electronic medical record indicating smoking, from 2007 to 2015, in people with or without dementia



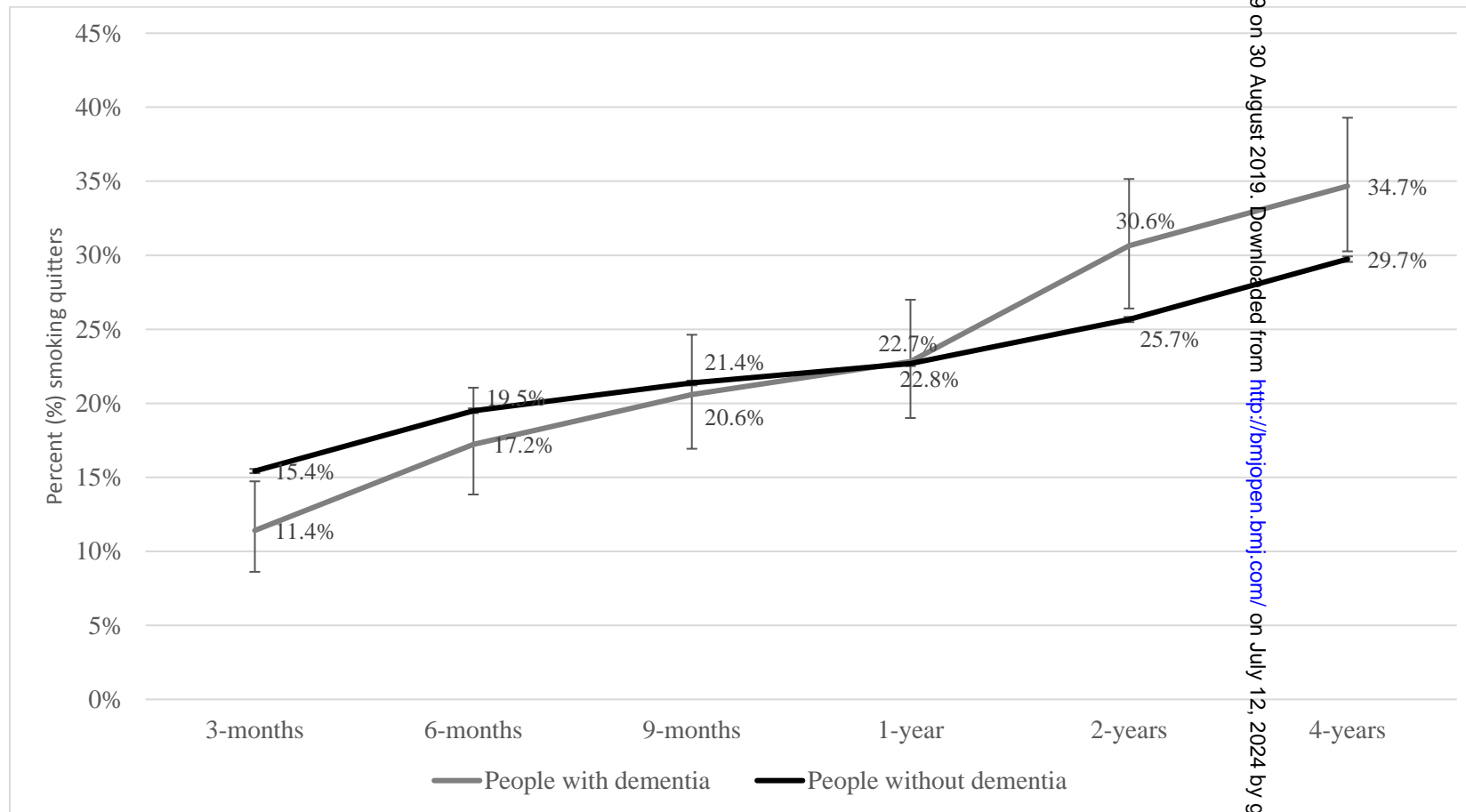
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Figure 2. Prescription prevalence of varenicline or NRT in primary care, from 2007 to 2015, in smokers with dementia, compared to smokers without dementia



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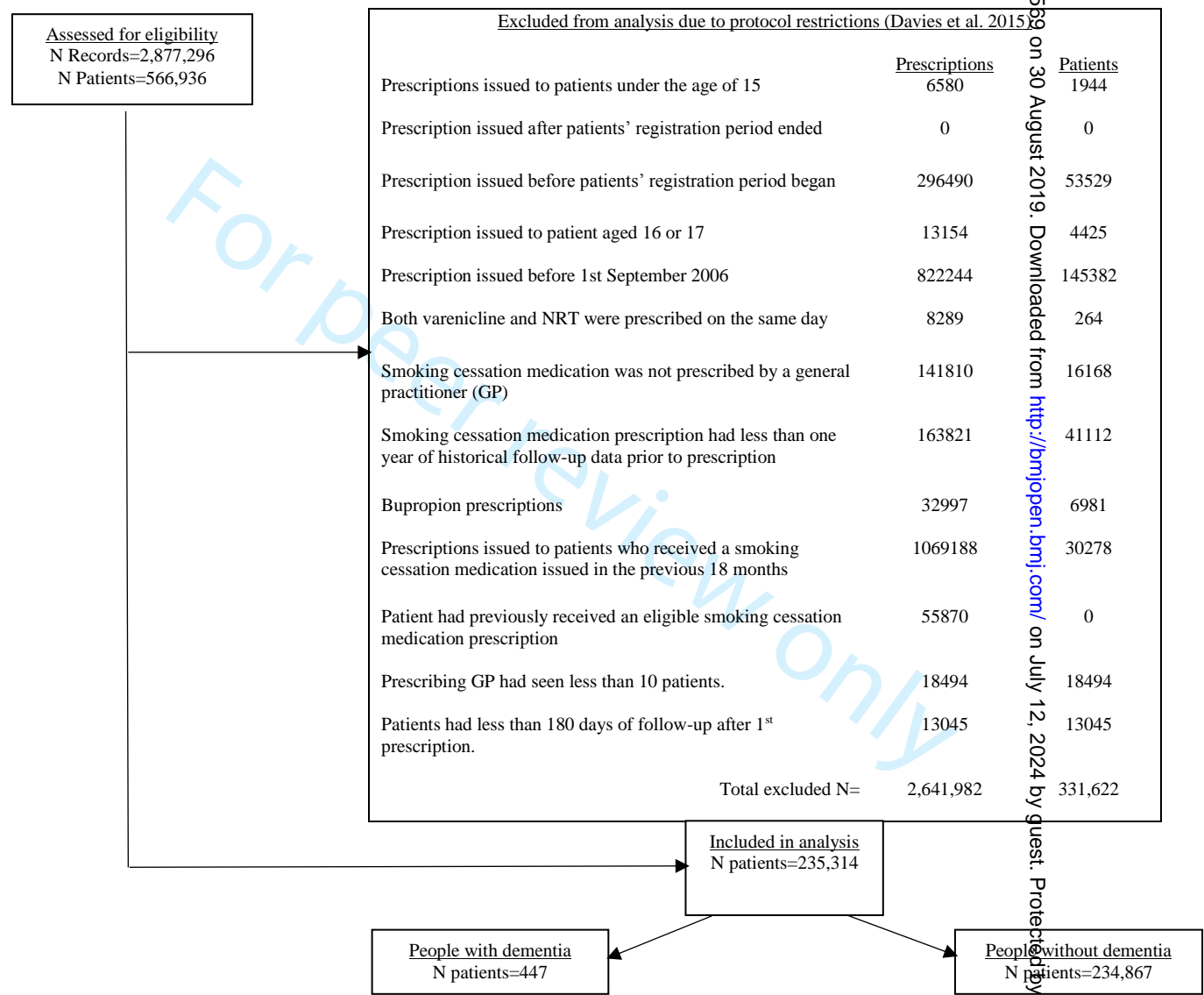
Figure 3. Percentage (%) of people with an electronic medical record indicating smoking cessation at up to 2-years follow-up, in people with and without dementia



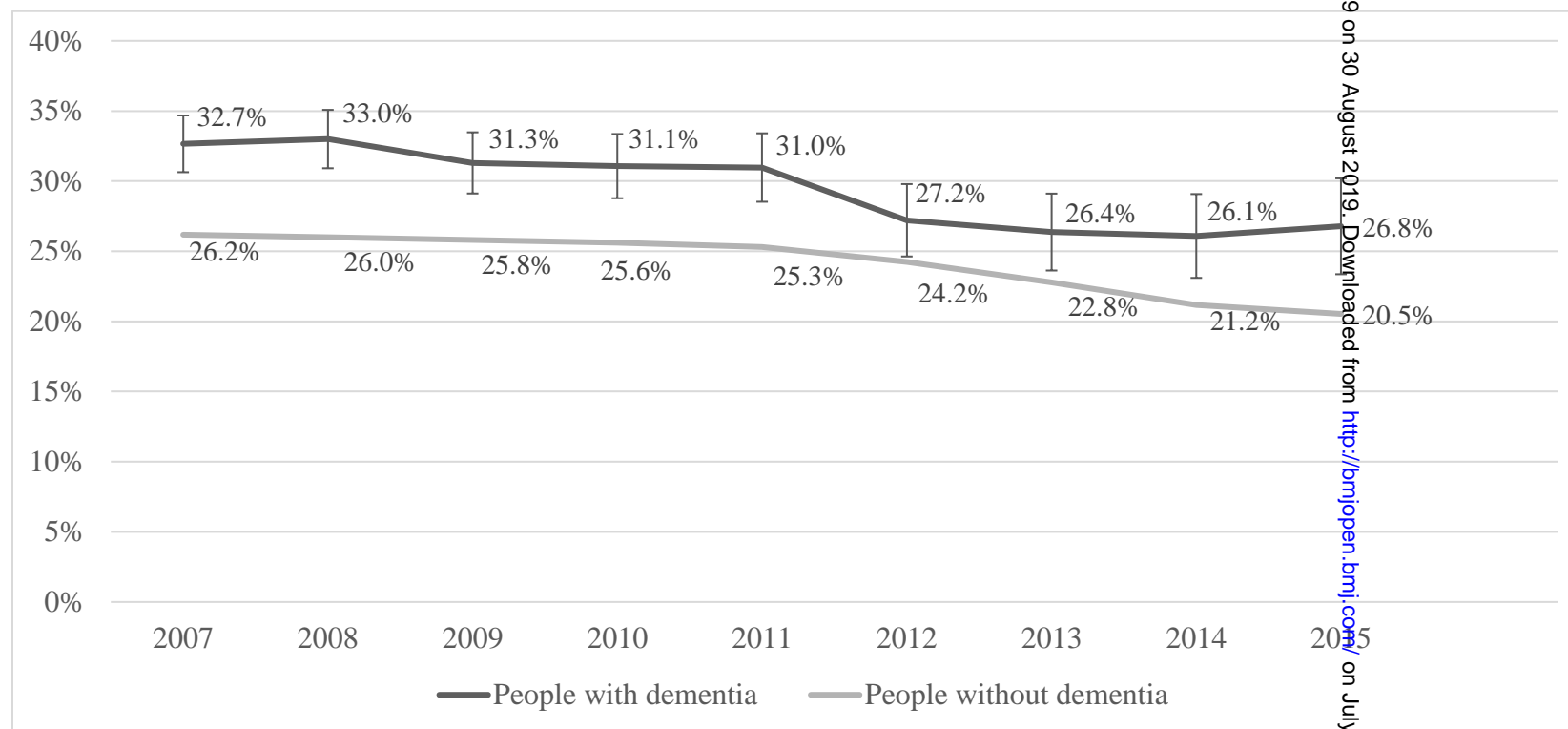
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eFigure 1. Flow chart of eligible study participants as per protocol restrictions



eFigure 2. Age and sex standardised percentage (%) of primary care patients with an electronic medical record indicating smoking, from 2007 to 2015, in people with or without dementia



Note on age and sex standardisation

Smoking prevalence rates were directly age- and sex- standardised to account for differences in age and sex between groups with and without dementia. We used the CPRD population in 2015 as our standard population for calculating standardised smoking rates. Age was grouped into three categories (18-49, 50-59, 60+ years).

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eTable 1. Distributions of imputed characteristics in the imputation datasets

Characteristic	People with dementia (N=447)							People without dementia (N=234,867)						
	NRT (N=409)		Varenicline (N=38)		Total		% of data imputed	NRT (N=159,327)		Varenicline (N=75,540)		Total		% of data imputed
	Mean	Standard error	Mean	Standard error	Mean	Standard error		Mean	Standard error	Mean	Standard error	Mean	Standard error	
Body mass index	24.6	0.28	26.6	1.02	24.7	0.27	10.3	26.4	0.02	26.5	0.02	26.4	0.01	14.1
Index of multiple deprivation	3.3	0.08	3.3	0.3	3.3	0.09	53.2	3.3	0.004	3.2	0.007	3.3	0.003	43.6

eTable 2. Raw numbers for smoking prevalence calculations amongst people with and without dementia, 2007-2015

Year	2007	2008	2009	2010	2011	2012	2013	2014	2015
<i>People with dementia</i>									
Numerator (number of smokers with dementia)	2,965	3,126	3,326	3,495	3,678	3,513	3,335	3,033	2,690
Denominator (number people with dementia)	27,432	29,664	32,090	34,391	36,284	38,251	40,043	39,665	36,249
<i>People without dementia</i>									
Numerator (number of smokers without dementia)	1,010,530	1,013,985	1,018,065	1,015,538	987,193	930,783	866,310	748,084	628,444
Denominator (number of people without dementia)	3,860,169	3,895,542	3,936,638	3,955,107	3,892,231	3,830,563	3,795,481	3,536,957	3,068,743

eTable 3. The likelihood of smokers with ever dementia diagnosis being prescribed varenicline versus NRT, as compared to smokers with no ever dementia diagnosis, N=235,314

	Partially adjusted odds ratio (95% confidence interval) †	Fully adjusted odds ratio (95% confidence interval) ††
Ever dementia diagnosis	0.21 (0.15 to 0.29)	0.21 (0.18 to 0.36)
†Partially adjusted models were adjusted for: age, sex, year of first prescription. †† Fully adjusted models were adjusted for: age, sex, days in history, IMD, number of GP visits 1-year prior to first prescription, BMI, year of first prescription, history of major physical morbidity (Charlson Index), alcohol misuse ever, drug misuse ever, depression ever, neurotic disorder ever, self-harm ever, antidepressant prescription ever, antipsychotic prescription ever, hypnotics/anxiolytics prescription ever, other psychotropic medication ever, and other behavioral/neurologic disorder ever. Missing BMI and IMD values were imputed using multiple imputation. Models were estimated using cluster robust standard errors to account for potential clustering of patients between practices.		

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eTable 4. Number and percentage (%) of people with an electronic medical record indicating smoking cessation at 3, 6 and 9-months, and 1, 2, and 4-years follow-up, in people with and without dementia

	3-months	6-months	9-months	1-year	2-years	4-years
People without dementia N=234867	36223 (15.4%)	45796 (19.5%)	50193 (21.4%)	53263 (22.7%)	60257 (25.7%)	69842 (29.7%)
People with dementia N=447	51 (11.4%)	77 (17.2%)	92 (20.6%)	102 (22.8%)	137 (30.6%)	155 (34.7%)

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eTable 5. Fully adjusted odds ratios and 95% confidence intervals for the association between the diagnosis of dementia and smoking cessation at 3, 6 and 9-months and 1, 2, and 4-years after prescription

Fully adjusted odds ratio (95% confidence interval) †						
	3-months	6-months	9-months	1-year	2-years	4-years
(N=235,314)	0.56 (0.41 to 0.75)	0.64 (0.49 to 0.83)	0.71 (0.56 to 0.90)	0.75 (0.60 to 0.94)	1.0 (0.81 to 1.23)	1.04 (0.85 to 1.26)

† Fully adjusted models were adjusted for: type of prescription, age, sex, days in history, IMD, number of GP visits 1-year prior to first prescription, BMI, year of first prescription, history of major physical morbidity (Charlson Index), alcohol misuse ever, drug misuse ever, depression ever, neurotic disorder ever, self-harm ever, antidepressant prescription ever, antipsychotic prescription ever, hypnotics/anxiolytics prescription ever, other psychotropic medication ever, and other behavioral/neurologic disorder ever. Missing BMI and IMD values were imputed using multiple imputation.

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eTable 6. List of dementia medications codes used in study cohort

Prodcode	Product name
39363	Ebixa 20mg tablets (Lundbeck Ltd)
58937	Exelon 13.3mg/24hours transdermal patches (Novartis Pharmaceuticals UK Ltd)
6225	Memantine 10mg tablets
11751	Rivastigmine 3mg capsules
7329	Galantamine 20mg/5ml oral solution sugar free
60723	Rivastigmine 6mg capsules (Waymade Healthcare Plc)
58780	Voleze 9.5mg/24hours transdermal patches (Focus Pharmaceuticals Ltd)
39362	Ebixa tablets treatment initiation pack (Lundbeck Ltd)
56631	Rivastigmine 13.3mg/24hours transdermal patches
37132	Rivastigmine 9.5mg/24hours transdermal patches
56771	Rivastigmine 3mg capsules (Dr Reddy's Laboratories (UK) Ltd)
20404	Exelon 4.5mg capsules (Novartis Pharmaceuticals UK Ltd)
57171	Erastig 9.5mg/24hours transdermal patches (Teva UK Ltd)
61676	Donepezil 1mg/ml oral solution sugar free
24088	Reminyl XL 24mg capsules (Shire Pharmaceuticals Ltd)
11635	Galantamine 12mg tablets
60192	Galantex XL 8mg capsules (Creo Pharma Ltd)
57627	Erastig 4.6mg/24hours transdermal patches (Teva UK Ltd)
11654	Galantamine 8mg tablets
2930	Donepezil 5mg tablets
5616	Exelon 6mg capsules (Novartis Pharmaceuticals UK Ltd)
58969	Rivastigmine 4.6mg/24hours transdermal patches (A A H Pharmaceuticals Ltd)
48482	Galsya XL 8mg capsules (Consilient Health Ltd)
48442	Donepezil 5mg orodispersible tablets
55928	Exelon 4.5mg capsules (Waymade Healthcare Plc)
53882	Rivastigmine 2mg/ml oral solution
58709	Donepezil 10mg tablets (A A H Pharmaceuticals Ltd)
59871	Donepezil 10mg/5ml oral suspension

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4	55720 Gatalin XL 24mg capsules (Aspire Pharma Ltd)
5	7361 Galantamine 24mg modified-release capsules
6	61476 Acumor XL 24mg capsules (Generics (UK) Ltd)
7	57139 Ebixa 10mg tablets (DE Pharmaceuticals)
8	62164 Alzest 9.5mg/24hours transdermal patches (Dr Reddy's Laboratories (UK) Ltd)
9	53922 Donepezil 10mg orodispersible tablets (Consilient Health Ltd)
10	36848 Aricept Evess 5mg orodispersible tablets (Eisai Ltd)
11	60493 Galantex XL 24mg capsules (Creo Pharma Ltd)
12	29288 Reminyl 4mg/ml oral solution (Shire Pharmaceuticals Ltd)
13	9966 Ebixa 5mg/pump actuation oral solution (Lundbeck Ltd)
14	58947 Donepezil 10mg tablets (Accord Healthcare Ltd)
15	5247 Aricept 10mg tablets (Eisai Ltd)
16	11716 Exelon 3mg capsules (Novartis Pharmaceuticals UK Ltd)
17	61920 Luventa XL 8mg capsules (Fontus Health Ltd)
18	10187 Galantamine 4mg tablets
19	37444 Exelon 4.6mg/24hours transdermal patches (Novartis Pharmaceuticals UK Ltd)
20	18587 Reminyl XL 8mg capsules (Shire Pharmaceuticals Ltd)
21	56421 Gatalin XL 8mg capsules (Aspire Pharma Ltd)
22	10255 Galantamine 8mg modified-release capsules
23	4597 Rivastigmine 1.5mg capsules
24	9854 Reminyl 4mg tablets (Shire Pharmaceuticals Ltd)
25	61385 Nemdatine 10mg tablets (Actavis UK Ltd)
26	11546 Exelon 1.5mg capsules (Novartis Pharmaceuticals UK Ltd)
27	14309 Galantamine 16mg modified-release capsules
28	37188 Aricept Evess 10mg orodispersible tablets (Eisai Ltd)
29	5334 Reminyl 12mg tablets (Shire Pharmaceuticals Ltd)
30	56709 Gatalin XL 16mg capsules (Aspire Pharma Ltd)
31	2931 Donepezil 10mg tablets
32	61921 Luventa XL 24mg capsules (Fontus Health Ltd)
33	11827 Rivastigmine 2mg/ml oral solution sugar free
34	37957 Exelon 9.5mg/24hours transdermal patches (Novartis Pharmaceuticals UK Ltd)
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4	48015 Galsya XL 24mg capsules (Consilient Health Ltd)
5	53842 Aricept 5mg tablets (Waymade Healthcare Plc)
6	18800 Ebixa 10mg tablets (Lundbeck Ltd)
7	59993 Galantex XL 16mg capsules (Creo Pharma Ltd)
8	36976 Rivastigmine 4.6mg/24hours transdermal patches
9	56600 Donepezil 5mg tablets (Zentiva)
10	35088 Donepezil 10mg orodispersible tablets sugar free
11	5400 Aricept 5mg tablets (Eisai Ltd)
12	59330 Voleze 4.6mg/24hours transdermal patches (Focus Pharmaceuticals Ltd)
13	18062 Reminyl 8mg tablets (Shire Pharmaceuticals Ltd)
14	11752 Rivastigmine 4.5mg capsules
15	38976 Memantine 5mg+10mg+15mg+20mg Tablet
16	35179 Donepezil 5mg orodispersible tablets sugar free
17	60107 Donepezil 5mg tablets (Alliance Healthcare (Distribution) Ltd)
18	20140 Reminyl XL 16mg capsules (Shire Pharmaceuticals Ltd)
19	39240 Memantine 20mg tablets
20	18556 Exelon 2mg/ml oral solution (Novartis Pharmaceuticals UK Ltd)
21	9786 Rivastigmine 6mg capsules
22	11837 Memantine 10mg/ml oral solution sugar free
23	48443 Donepezil 10mg orodispersible tablets
24	61618 Nemdatine 20mg tablets (Actavis UK Ltd)
25	12843 Ginkyo 120mg tablets (Ceuta Healthcare Ltd)
26	30120 Ginkyo 50mg tablets (Ceuta Healthcare Ltd)
27	61128 HealthAid Ginko Vital (Biloba) 5g capsules (HealthAid Ltd)
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eTable 7. List of dementia diagnoses codes used in study cohort

medcode	readcode	readterm
26270	Eu02500	[X]Lewy body dementia
44674	E002.00	Senile dementia with depressive or paranoid features
19393	Eu01z00	[X]Vascular dementia, unspecified
4693	Eu02z00	[X] Unspecified dementia
25704	Eu00011	[X]Presenile dementia,Alzheimer's type
19477	E004.00	Arteriosclerotic dementia
55313	Eu01y00	[X]Other vascular dementia
30032	E001200	Presenile dementia with paranoia
18386	E002000	Senile dementia with paranoia
56912	E004100	Arteriosclerotic dementia with delirium
33707	E00..00	Senile and presenile organic psychotic conditions
4357	Eu02z14	[X] Senile dementia NOS
12710	6AB..00	Dementia annual review
41089	E002z00	Senile dementia with depressive or paranoid features NOS
9509	Eu02300	[X]Dementia in Parkinson's disease
15165	E001.00	Presenile dementia
49513	E001100	Presenile dementia with delirium
42602	E001000	Uncomplicated presenile dementia
7572	F116.00	Lewy body disease
30706	Eu00200	[X]Dementia in Alzheimer's dis, atypical or mixed type
1916	E00..11	Senile dementia
55467	E004200	Arteriosclerotic dementia with paranoia
9565	Eu01.11	[X]Arteriosclerotic dementia
8934	Eu01200	[X]Subcortical vascular dementia
31016	Eu01300	[X]Mixed cortical and subcortical vascular dementia
43089	E004000	Uncomplicated arteriosclerotic dementia
11175	Eu01100	[X]Multi-infarct dementia
42279	E004z00	Arteriosclerotic dementia NOS
29386	Eu00z00	[X]Dementia in Alzheimer's disease, unspecified
38438	E001z00	Presenile dementia NOS
8634	E004.11	Multi infarct dementia
1917	F110.00	Alzheimer's disease
61528	Eu00013	[X]Alzheimer's disease type 2
1350	E00..12	Senile/presenile dementia
38678	Eu00100	[X]Dementia in Alzheimer's disease with late onset
27677	E001300	Presenile dementia with depression
46762	Eu00111	[X]Alzheimer's disease type 1
11379	Eu00112	[X]Senile dementia,Alzheimer's type
21887	E002100	Senile dementia with depression
2882	E00z.00	Senile or presenile psychosis NOS
25386	E041.00	Dementia in conditions EC
49263	Eu00000	[X]Dementia in Alzheimer's disease with early onset
59122	Fyu3000	[X]Other Alzheimer's disease
34944	Eu02z13	[X] Primary degenerative dementia NOS

40805	9hD1.00	Excepted from dementia quality indicators: Informed dissent
37015	E003.00	Senile dementia with delirium
6578	Eu01.00	[X]Vascular dementia
7323	E000.00	Uncomplicated senile dementia
55838	Eu01111	[X]Predominantly cortical dementia
29512	F112.00	Senile degeneration of brain
12621	Eu02.00	[X]Dementia in other diseases classified elsewhere
7664	Eu00.00	[X]Dementia in Alzheimer disease
60059	Eu00012	[X]Primary degen dementia, Alzheimer's type, presenile onset
15249	E00y.00	Other senile and presenile organic psychoses
43346	Eu00113	[X]Primary degen dementia of Alzheimer's type, senile onset
53446	Eu04100	[X]Delirium superimposed on dementia
43292	E004300	Arteriosclerotic dementia with depression
32057	F110100	Alzheimer's disease with late onset
8195	Eu00z11	[X]Alzheimer's dementia unspec
64267	Eu02y00	[X]Dementia in other specified diseases classif elsewhere
46488	Eu01000	[X]Vascular dementia of acute onset
27759	Eu02z16	[X] Senile dementia, depressed or paranoid type
16797	F110000	Alzheimer's disease with early onset
48501	Eu02z11	[X] Presenile dementia NOS
109047	8BPa.00	Antipsychotic drug therapy for dementia
106311	8CMZ.00	Dementia care plan
103445	8H1a.00	Referral to dementia care advisor
44341	9hD..00	Exception reporting: dementia quality indicators
28402	Eu02000	[X]Dementia in Pick's disease
54106	Eu02100	[X]Dementia in Creutzfeldt-Jakob disease
37014	Eu02200	[X]Dementia in Huntington's disease
41185	Eu02400	[X]Dementia in human immunodef virus [HIV] disease
5931	1461	H/O: dementia
11136	F111.00	Pick's disease
62132	E02y100	Drug-induced dementia
2731	F11z.11	Cerebral atrophy
54744	F11x200	Cerebral degeneration due to cerebrovascular disease

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STROBE Statement—Checklist of items that should be included in reports of *cohort studies*

	Item No	Recommendation	Page
Title and abstract	1	(a) Indicate the study's design with a commonly used term in the title or the abstract	P3L43
		(b) Provide in the abstract an informative and balanced summary of what was done and what was found	P4L69
Introduction			
Background/rationale	2	Explain the scientific background and rationale for the investigation being reported	P5L92
Objectives	3	State specific objectives, including any prespecified hypotheses	P6L112
Methods			
Study design	4	Present key elements of study design early in the paper	P7L120
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection	P7L136
Participants	6	(a) Give the eligibility criteria, and the sources and methods of selection of participants. Describe methods of follow-up	P7L136
		(b) For matched studies, give matching criteria and number of exposed and unexposed	NA
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable	P8-10L154-185
Data sources/ measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group	P7-8L136-152
Bias	9	Describe any efforts to address potential sources of bias	P11L192-195
Study size	10	Explain how the study size was arrived at	Supplementary eFigure 1
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why	P11L201-P12L218
Statistical methods	12	(a) Describe all statistical methods, including those used to control for confounding	P11L201-P12L218
		(b) Describe any methods used to examine subgroups and interactions	NA
		(c) Explain how missing data were addressed	P10L181-183, P11L192-195
		(d) If applicable, explain how loss to follow-up was addressed	NA
		(e) Describe any sensitivity analyses	NA
Results			
Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed	Supplementary eFigure 1
		(b) Give reasons for non-participation at each stage	Supplementary eFigure 1
		(c) Consider use of a flow diagram	Supplementary eFigure 1
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic,	Table 1

		clinical, social) and information on exposures and potential confounders	
		(b) Indicate number of participants with missing data for each variable of interest	Table 1
		(c) Summarise follow-up time (eg, average and total amount)	Table 1
Outcome data	15*	Report numbers of outcome events or summary measures over time	Supplementary eTables 2 and 4
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included	Supplementary eTables 3 and 5
		(b) Report category boundaries when continuous variables were categorized	NA
		(c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period	NA
Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses	NA
Discussion			
Key results	18	Summarise key results with reference to study objectives	P17L319-322
Limitations	19	Discuss limitations of the study, taking into account sources of potential bias or imprecision. Discuss both direction and magnitude of any potential bias	P15L264-276
Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence	P17L319-322
Generalisability	21	Discuss the generalisability (external validity) of the study results	P16L284-287
Other information			
Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based	P18L324-335

*Give information separately for exposed and unexposed groups.

Note: An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at <http://www.plosmedicine.org/>, Annals of Internal Medicine at <http://www.annals.org/>, and Epidemiology at <http://www.epidem.com/>). Information on the STROBE Initiative is available at <http://www.strobe-statement.org>.

Correction: *Use of varenicline and nicotine replacement therapy in people with and without general practitioner-recorded dementia: retrospective cohort study of routine electronic medical records*

Itani T, Martin R, Rai D, *et al.* Use of varenicline and nicotine replacement therapy in people with and without general practitioner-recorded dementia: retrospective cohort study of routine electronic medical records. *BMJ Open* 2019;9:e027569. doi: 10.1136/bmjopen-2018-027569.

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